

The Center for Nanotechnology in Society at Arizona State University

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Annual Report for the Period September 1, 2014 to August 31, 2015

This report includes work conducted at three collaborating universities of NSEC/CNS-ASU: Arizona State University, Georgia Institute of Technology, and the University of Wisconsin-Madison.

2. Table of Contents

| Project Summary | 3 |
|---|-------------|
| List of Center Participants, Advisory Boards, and Participating Institutions | 5 |
| Quantifiable Outputs – Table 1 | 54 |
| Mission, Significant Advances, and Broader Impacts | 54 |
| Highlights | 71 |
| Strategic Research Plan | 81 |
| Research Program, Accomplishments, and Plans | 84 |
| a. RTTA 1 b. RTTA 2 c. RTTA 3 d. RTTA 4 e. TRC 1 f. TRC 2 g. VIRI | |
| Center Diversity – Progress and Plans | 127 |
| Education | 131 |
| Outreach and Knowledge Transfer | 149 |
| Shared and Other Experimental Facilities | 160 |
| Personnel | 162 |
| Publications and Patents | 166 |
| Biographical Information | 309 |
| Honors and Awards | |
| Fiscal Sections | 315 |
| Cost-Sharing | |
| Leverage | |
| | |
| Current and Pending Support | <i>ა</i> 54 |

(2. Table of Contents continued)

Tables

| Table 1 | 54 |
|----------|-----|
| Table 2 | 126 |
| Table 3A | 147 |
| Table 3B | |
| Table 4A | |
| Table 4B | |
| Table 5 | 345 |
| Table 6 | 346 |

3. Project Summary

The Nanoscale Science and Engineering Center/Center for Nanotechnology in Society at Arizona State University (NSEC/CNS-ASU) combines research, training, and engagement to develop a new approach to governing emerging nanotechnologies. CNS-ASU uses the research methods of "real-time technology assessment" to enable a strategic vision of anticipatory governance through enhanced foresight capabilities, engagement with lay publics, and integration of social science and humanistic work with nanoscale science and engineering research and education.

CNS-ASU has two types of integrated research programs, as well as educational and outreach activities (themselves well-integrated with research). Its real-time technology assessment programs are: RTTA 1, Research and Innovation Systems Assessment, which uses bibliometric and patent analyses to understand the evolving dynamics of the NSE enterprise; RTTA 2, Public Opinion and Values, which uses surveys and quasi-experimental media studies to understand changing public and scientists' perspectives on NSE; RTTA 3, Anticipation and Deliberation, which uses scenario development and other futuring techniques to foster deliberation on plausible NSE applications; and RTTA 4, Reflexivity and Integration, which uses participant-observation and other techniques to assess the Center's influence on reflexivity among NSE collaborators and other Center participants. Second, the thematic research clusters (TRCs), which pursue fundamental knowledge and create linkages across the RTTAs, are: TRC 1, Equity, Equality and Responsibility; and TRC 2, Urban Design, Materials, and the Built Environment ("Nano and the City").

The Center's major conceptual-level achievements have been validating anticipatory governance as a richly generative strategic vision and advancing the related agenda of responsible innovation, e.g., with the workshop on synthetic biology. Its major operations-level achievements include: 1) demonstrating capacities for foresight, engagement, and integration that constitute anticipatory governance; 2) completing the "end-to-end" activities by linking multiple RTTA capacities for (the earlier) TRC 2 to create novel insights in a study of nanotechnology and the brain and for TRC 1 to create novel insights into equity and nanotechnology; 3) deepening the integration of NSE researchers into CNS-ASU; and 4) building collaborations for informal science education (ISE) on the societal aspects of NSE. Programmatic achievements in the reporting year include: reporting to OSTP on international NSE publication and patent data; mounting a third study of public opinion regarding nano and other emerging technologies; analyzing data from the at-scale conduct of the Futurescape City Tours; analyzing data from the major study of CNS-ASU participants; conducting workshops to train scientists and engineers to engage with the developing world; pioneering collaborative work on anticipatory life cycle assessment.

The Center's principal **intellectual merit** derives from the large-scale, interdisciplinary ensemble that underpins it. The ability to generate creative scholarship, embrace and facilitate interactions among disparate approaches to understanding nanotechnologies, and build complementary capacities to tap that knowledge for governance, is the critical intellectual contribution to which CNS-ASU aspires. The Center's work has a substantial impact on scholarship, not only in terms of publications and citations but also through hosting international visitors. For **broader impact**, the Center has coupled research, education, and outreach activities exceptionally well by training significant numbers of new scholars from the social sciences and NSE, incorporating forefront research into a new winter school for early career scholars, new courses and ISE opportunities, and returning lessons learned and techniques developed for outreach back to the classroom. The Center has broadened the participation of under-represented groups by cultivating junior scholarship and raising issues of equity, gender, and disability as objects of programmatic study. The Center has enhanced the infrastructure for research and education by leading the creation of a new journal, organizing community-defining conferences, producing community-defining sources of knowledge, serving as an international hub for scores of scholars, sharing data and instruments widely, and disseminating its results aggressively to its academic peers as well as to public, scientific, industry, and policy audiences through traditional means and increasingly through new media.

4. List of Center Participants, Advisory Boards, and Participating Institutions

4. (a) LIST OF CENTER PARTICIPANTS

Participants receiving Center support:

| Λ | C | T | 1 |
|------------------------|----|---|---|
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| Peter Crozier | Professor | Engineering Matter, Transport & Energy |
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Khan Rahi Loka Institute, Staff

Community Research Network

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Steve Rayner Said Bus. Sch., Dir. & Prof. Institute for Science, Innovation & Society

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Center for Contemporary History & Policy Jody Roberts Chem. Her. Found., Asso. Dir. Melanie Roberts Director Emerging Leaders in Science & Society

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University of Basque Country Hannot Rodriguez Assistant Professor

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Daniele Ruggiu Chuck Runyan Terry Ryan

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Claudia Schwarz
Dane Scott
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Philip Shapira
Mark Shapiro
Gwyneth Shaw
Bret Shaw
Joshua Sheehan
Brian Sherman
Tania Shibata
Katherine Shilton

Sharon Shindel Veekas Shrivastava Elena Simakova

David Sittenfeld

Rasmus Slaatelid Mitchell Small Alex Smith Laurel Smith-Doerr James Soudriette Ahmad Soueid Kayte Spector-Bagdady Joe Spencer

Joe Spencer
James Stack
Tina Stanford
Nicholas Steneck
Karl Stephan

Long Island Univ., Asst. Prof.

Participant

Assistant to President Univ. of Bergen, Researcher Denver, Assoc. Prof. Greenwall Fellow Univ. of Padua, Res. Fellow

Head, Patent Prosecution

Participant

Participant
Educational Researcher
Wisconsin, Professor
Penn State, Asst. Professor
Participant

CO Schl. of Mines, Asst. Prof. Executive Emeritus

Economist

Executive Emeritus

Radboud Univ., Project Mgr. Univ. of Basel, Assoc. Res. Univ. of Vienna, Researcher Montana, Director Univ. of Vienna, Professor

Karlsruhe, Research Fellow Univ. South Fl., Assoc. Prof. Director

FAA, Envir. Program Mgr. **Georgia Tech, Professor** Ctr for Investigative Journalism

Writer UW-Madison, Asst. Prof. Sr. Mgr. Guest Experience Managing Dir./VP Bus. Dev. Product Application Manager Maryland, Assistant Professor

Participant
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Program Manager, Forum
Univ. of Bergen, Assoc. Prof.
Carnegie Mellon, Professor
Tech. Development Specialist

Participant

Program Director Cert. Mgmt. Consul./Partner Principal & Sr. Vice Pres.

Associate Director Production Manager Senior Switch Tech SRI Intl, Educ. Researcher Michigan, Professor

Texas State, Assoc. Professor

Sociology & Anthropology

Will Bruder & Partners Ltd. Centre for Science

International Futures Georgetown Univ. Law Ctr.

CIGA

Gallagher & Kennedy

SRI International

Life Sciences Communication Science, Technology & Society

Liberal Arts & International Studies Arizona Technology Council

Inst. for Ecological Economy Research

Arizona Technology Council Center for Society & Genomics

Science Research Social Studies of Science Ethics & Public Affairs

Anthropology

Instit. Tech. Assessment & Sys. Analysis

Management

Pacific Science Center Environment & Energy

Public Policy

New Haven Independent Life Sciences Communication Arizona Science Center Arizona Commerce Authority

BrasEq

Information Studies

Innovation

Museum of Science Sciences and Humanities

Public Policy

Tempe City Government
National Science Foundation
The Galaxy Organization

HDR Architecture

Pres. Comm. Study Bioethical Issues

ALD NanoSolutions Cricket Communications

Center for Learning & Technology

Research Ethics Program

Engineering

Jack Stilgoe Roger Stout

Roger Strand Michael Sullivan Arho Suominen

Steve Suppan John Sweeney Tsjalling Swiersta

Albert Teich Frank Theys **Brian Thibeault**

Kamlynn Thomas Paul Thompson

David Tomblin Joanna Tornow Julia Trosman Elizabeth Tran

Paul Turgeon **Christina Tzavellas**

Jeff Ubois

Simone Van der Burg

Rinie van Est Carl Van Horn

Harro Van Lente Thomas Van Valey

Stephanie Vasko Rene Von Schomberg

Catherine Vrentas Jonce Walker Julie Walker

Jue Wang Stephanie Wang Vivian Weil

Martin Weinel Peter Weingart

Jianying Wen Kyle Powys Whyte

Fern Wickson Matthias Wienroth Terence Wilkins James Wilsdon

Robert Wilson

David Winickoff **Gregor Wolbring**

Amy Wolfe **Edward Woodhouse** John Wooding Joan Woolfrey **Anthony Wrigley**

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Director

University Teacher Senior Policy Analyst Envir. Health & Safety Offc.

Twente, Professor

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Project Scientist

Manager Guest Experience Michigan State Univ., Prof.

STS Director

Office of the Director

Director

Associate Program Officer Georgia Tech, Proj. Coord.

Participant

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Coordinator Rutgers, Professor

Utrecht Univ., Assoc. Prof.

Professor Emeritus

Pennsylvania, Sen. Res. Asst.

Directorate General Research Mol. Biologist/Sci. Out. Spec.

Sustainability Manager Project Manager

Florida Intl., Asst. Prof.

UT-Battelle, Behavioral Res. Illinois Inst. Tech., Prof./Dir.

Cardiff Univ., Research Assoc. Social Sciences

University of Bielefeld, Prof.

Professor

Assistant Professor, Philosophy GenØk, Associate Professor Edinburgh, Acad. Res. Fellow University of Leeds, Professor

Director

Adjunct Instruct. of Law California, Berkeley, Prof. Univ. of Calgary, Asst. Prof. UT-Battelle, Group Leader Rensselaer Poly. Inst., Prof. Massachusetts, Lowell, Prof. West Chester, Assoc. Prof.

Keele University, Lecturer Wisconsin, Assoc. Professor

Rutgers, Project Director

Business

ON Semiconductor Science Theory

Hispanic Research Center University of Turku

Inst. Agriculture & Trade Policy

Harvard Philosophy

International Science & Technology Policy

Savage Film

California, Santa Barbara **Arizona Science Center**

Philosophy

University of Maryland

National Science Foundation Center for Business Models National Science Foundation Nanotechnology Research Center

The Bassetti Foundation

Scientific Inst. Quality Healthcare

Rathenau Institute Planning & Public Policy **Emerging Technologies**

Western Michigan University

Rock Ethics Institute European Commission

U.S. Department of Agriculture

Maricopa County Assoc. of Governments

Windmill Ranch, LLC. **Religious Studies**

Oak Ridge National Laboratory

Ethics / CSEP

Institute for Science & Technology Studies

University of Jiangsu, China Michigan State University Center for Biosafety Genomics Forum

Inst. of Particle Science & Engineering

The Royal Society Rio Salado College **Bioethics & Society**

Bioethics, Culture, and Disabilities **Environmental Sciences**

Science & Technology Studies Economic & Social Development

Philosophy

Centre for Professional Ethics

Communication Arts Workforce Development Go Yoshizawa Project Lecturer
Peter Yeadon Associate Professor/Architect
Edward You Supv. Special Agent
Paul Youngman UNC-Charlotte, Assoc. Prof.
Jan Youtie Georgia Tech, Sr. Research.
G. Zenner Petersen Wisconsin-Madison, Dir. Ed.
Basile Zimmermann
Chakanaka Zinyemba Mapping & Planning Support

Lee Zwanziger Designated Federal Official
Steven Zylstra Pres. & Chief Exec. Officer

Tokyo University
RISD/Decker Yeadon LLC
FBI Weapons of Mass Destruction

FBI Weapons of Mass Destruction Humanities, Technology & Science Enterprise Innovation Institute

Materials Research Science & Engineering

Chinese Studies Social Geographer

Food & Drug Administration Arizona Technology Council

ASU

Post-Doctoral Scholars

Troy Benn Post-doctoral Fellow Civil & Environmental Engineering Doe Daughtrey **Religious Studies** Post-doctoral Fellow Hsiang-Kai D. Dong **Post-doctoral Fellow** Organizational Research & Design **Biodesign Institute Michael Fisher Post-doctoral Fellow Rider Foley** Post-doctoral Fellow Sustainability Megan Halpern **Center for Nanotechnology in Society** Post-doctoral Scholar **Daniel Higgins** Post-doctoral Fellow Center for Nanotechnology in Society Punarvasu Joshi Post-doctoral Fellow Elect. Comptr. & Energy Engineering **Electrical Engineering** Anastasios Panaretos Post-doctoral Fellow Kiera Reifschneider **Post-doctoral Fellow** National Nano. Infrastructure Network Center for Nanotechnology in Society Michael Reinsborough Post-doctoral Fellow Cathy Slade Post-doctoral Fellow **Public Policy**

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Olgica Trenchevska Post-doctoral Fellow Biodesign Institute
Walter Valdivia Post-doctoral Fellow Public Administration

Kathryn VignoneBerea Williams

Post-doctoral Fellow
Post-doctoral Fellow
Center for Nanotechnology in Society
Chemistry & Biochemistry

ASU

Graduate Researchers

Miguel Bueno

Alexis AbboudBiology and SocietyDulce Perez AguileraSocial JusticeParul AgrawalMaterials Science & Engineering

Rebecca Allen Biodesign Institute

Carlo Altamirano-AllendeHuman and Social Dimen. of Sci. & Tech.Judd AndermanScience & Technology PolicyCaroline AppletonBiology & Society

Deron Ash
Science and Technology Policy
Piyush Awasthi
Computer Science & Engineering
Electrical Engineering

Ebraheem Azhar Electrical Engineering
Marci Baranski Biology & Society
Ceyhan Beckham Electrical Engineering
Michael Bernstein Sustainability

ichaci Dei nstein Sustamavint

Monamie Bhadra Human & Social Dimen. of Sci. & Tech. Shreya Bhattacharyya Chemistry & Biochemistry

Bradley Brennan Chemistry & Biochemistry
Jennifer Brian Biology & Society

Miles Brundage Human & Social Dimen. of Sci. & Tech.

Nanoscience

Michael Burnam-Fink Andrew Candelaria Melissa Cannon Joe Carpenter Angela Cazel-Jahn Jorly Chatouphonexay

George Che
Santhosh Chenna
Vinuta Chopra
Shannon Conley
Cherish Connolly
Jessica Corman
William Curran
Michelle Davis
Robert Davis
Natalie DeGraaf
Shannon DiNapoli
Ajit Dhamdhere
Tess Doezema
Kyle Doudrick

Justin Flory Lori Fobbs-Guillory Christina Foster Ariana Fox

Daniel Eisenberg

Heather Fischer

Jinglin Fu

Srikanth Gangam Gretchen Gano

Manuel Garay Valenzuela

Elizabeth Garbee
Aixa Garcia-Mont
Meredith Gartin
Cliff Ghigheri
Sandeep Kaur Gill
Cecilia Gonzalez
Cesar Gonzalez Esquer
Gwyneth Gordon

Annie Hale Kelly Hale Dongran Han Jessica Han William Heasley Nate Hisamura Keivon Hobeheidar

Troy Hottle
Qian Hu
Jenifer Hunt
Douglas Huron
Sebastian Husein

Energy

Human & Social Dimen. of Sci. & Tech.

Nanoscience

Science and Technology Policy Material Science and Engineering

Sustainable Solutions

Applied Mathematics for Life Sciences Exploration Sys. Design & Instrumentation Engineering of Matter, Transport & Energy Civil, Environmental & Sustainable Engr.

Political Science

Science & Technology Policy

Biology

Electrical Engineering Behavioral Health Political Science

Science & Technology Policy

Life Sciences Nanoscience

Human & Social Dimen. of Sci. & Tech. Sustainable Engineering & Built Envir.

Engineering

Geographical Sciences and Urban Planning

Chemistry & Biochemistry Science & Technology Policy

Curriculum & Instruction (Engineering)

Biology

Chemistry & Biochemistry **Electrical Engineering**

Human & Social Dimen. of Sci. & Tech. Education Leadership & Policy Studies Human and Social Dimen. of Sci. & Tech.

Education Global Health Nanoscience Nanoscience Life Sciences

SOLS Graduate Programs

Space and Earth Science

Science & Technology Policy

Anthropology Chemistry **BioChemistry**

Urban & Environmental Planning

Mathematics

Biological Sciences

Sustainable Engineering & Built Envir.

Public Affairs **History**

Global Technology Development

Engineering of Matter, Transport, and

Christoforos Ioannidis

Daniela Ivan Taylor Jackson **Karina Jacobs**

Alizee Jenck Jeffrey Jennings Lijing Jiang

Valerie Johnson Craig Jolley

Tomasz Kalinowski

Michael Katic Cameron Keys Andrew Kao

Risto Karinen Lauren Keeler

Eric Kennedy Julia Kerran

Ashley Kibel Youngjae Kim Mindy Kimball

Josh Klein

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Dhara Kothavala Christopher Kuzdas Mun Lahpan

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William Lepkowski Symon Levenberg Shannon Lidberg Daniel Livingston

Jewel Loree

Yi Lai Christine Luk Christopher Madden Bogdana Manole Kevin Margeson

Peyton McChesney Blakely McConnell **Patrick McGurrin**

John C. McKnight Chris Mercer

Jacob Messner Emily Molfino Chad Monfreda

Tanmay Monga Sharlissa Moore Vicki Moore

Romarie Morales Jeffrey Moran Philosophy English

Biology & Society

Science & Technology Policy

BioDesign Institute

Science & Technology Policy Chemistry & Biochemistry

Design Biophysics Biological Design

Applied Mathematics for Life Sciences

PSM Nanoscience School of Public Affairs

Political Science Sustainability

Human & Social Dimen. of Sci. & Tech.

Urban & Environmental Planning

Physics

Public Administration

Sustainability NeuroScience Nanoscience

Human & Social Dimen. of Sci. & Tech.

SustainabilitySustainability **Nanoscience**

Chemistry and Biochemistry

Geography

Electrical Engineering Chemistry & Biochemistry BioDesign Institute

Human & Social Dimen. of Sci. & Tech.

Nanoscience

Science & Technology Policy

Human & Social Dimen. of Sci. & Tech.

Chemistry & Biochemistry **Environmental Social Science** Science & Technology Policy

Nanoscience

Art

NeuroScience

Human & Social Dimen. of Sci. & Tech.

Sustainability
Nanoscience
Political Science

Human & Social Dimen. of Sci. & Tech.

Electrical Engineering

Human & Social Dimen. of Sci. & Tech.

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Valentina Prado David Proffitt Alecia Radatz Tim Reblitz Caroline Reid

Stuart Rice

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Lee Seabrooke
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Adrienne Smith
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Abigail Sullivan
Yuri Sylvester
Trista Taylor
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Public Administration

Applied Mathematics for Life & SocSc

Public Policy

Chemistry & Biochemistry Science & Technology Policy

Applied Mathematics for Life Sciences

Mathematics Political Science

Sustainable Engineering & Built Envir.

Urban & Environmental Planning

Human & Social Dimen. of Sci. & Tech. Elect. Comptr. & Energy Engineering

Urban & Environmental Planning **Educational Policy & Evaluation** Science & Technology Policy Technology & Innovation

Human & Social Dimen. of Sci. & Tech. Educational Tech. (Arts, Media & Engin.)

Science, Technology & Ethics Mathematics & Statistics

Human & Social Dimen. of Sci. & Tech.

Biomedicine

Human & Social Dimen. of Sci. & Tech.

Biomedical Engineering

Chemistry

Molecular and Cell Biology

Physics

Electrical Engineering

Justice Studies

Environmental Social Science

Political Science **Public Administration Geographical Sciences**

Human and Social Dimen. of Sci. & Tech.

Science and Technology Policy

Political Science

Human and Social Dimen. of Sci. & Tech. Sustainable Engineering & Built Envir.

Biology & Society

SOLS Graduate Programs

SustainabilityPolitical Science

Civil, Environmental & Sustainable Engr.

Chemistry & Biochemistry

Civil, Envir., & Sust. Engineering

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Yvonne Cuijpers Utrecht University Innovation Studies
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Technology, Innovation & Culture

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Harmeet Gandhi
Cecilie Glerup
Copenhagen Business School
Copenhagen Business School
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Public Policy

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Ted Greenhalgh Nevada, Las Vegas Environmental Studies

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Mary Moore R. John Naranja Jr. Hari Narayanan **Christina Ndoh**

Jayme Neiman **Gustavo Oliveira**Tanner Osman

Chinese Academy of Science

Georgia Tech Georgia Tech

Beijing Institute of Tech.Wisconsin

Wisconsin Georgia Tech University of Manchester

Massachusetts Amherst Rensselaer Poly. Institute

Wisconsin Wisconsin

North Carolina State Univ.

Georgia Tech Groningen Virginia Tech

Bowling Green State Univ.

Wisconsin Georgia Tech Ecole des Mines Wisconsin

Portland State University

Wisconsin Georgia Tech Wisconsin

Tsinghua University Michigan State University

Georgia Tech Wisconsin

Venezuelan Ins. Sci. Research

Massachusetts Amherst University of Bielefeld University of Twente Beijing Institute of Tech.

Birmingham, U.K. Georgia Tech

Univ. Federal do Estado do Rio de Janiero

Georgia Tech Georgia Tech **Virginia Tech**

Virginia Polytechnic Inst. Univ. of New South Wales

Grenoble Inst. of Technology Wisconsin

Northeastern Georgia Tech

North Carolina State Univ. Univ. of Nebraska, Lincoln Univ. of Illinois, Chicago

Georgia Tech

Information Science Industrial Management

International Affairs, Science & Tech.

ManagementPublic Policy

Quantitative Finance & ISYE

Innovation Research

Environmental Conservation

Science & Technology

Journalism & Mass Communication
Life Sciences Communication
Journalism & Mass Communication

Public Administration

Public Policy Science & Society **Urban Planning** Applied Philosophy

Life Sciences Communication

Public Policy
Public Policy
Sociology

Urban Studies & Planning Life Sciences Communication

Public Policy

Life Sciences Communication Science, Technology & Society

Philosophy Management

Life Sciences Communication

Studies of Science

Anthropology and Archeology Science and Technology Studies

Philosophy Management

Geog., Earth and Envir. Sciences Industrial & Systems Engineering

EducationPublic Policy
Public Policy

Natural Resources Policy Science & Technology Studies

Humanities

Science & Technology Democracy

Computer Science

Law

Quantitative Finance & ISYE

Public Administration

Public Policy

Public Administration

Public Policy

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Jeong Yim Seo Ehwa Women's Univ., Korea Nanotech

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Harmeet Singh Georgia Tech Quantitative F
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John Willingham

Thomas Woodson

Xuanting Ye

North Carolina State

Georgia Tech

Beijing Institute of Tech.

International Studies

Public Policy

Political Science

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Heming Zhang
Shuliang Zhang
Shuliang Zhang
Yi Zhang
Seijing Institute of Tech.
Management
Management

Oin Zhu Purdue University Engineering Education

ASU

Undergrad Interns & Researchers

Krizia AlbaKalil Abdullah
Eric Beeler
Nidhi Bhalla
Nolan Bidese

Brandon Borsheim William Bowman Linda Boyd

Tanner Brants
Robert Bui
Connie Burdis

Geoffrey Byers
David Calderon
Ricky Carmago

Wyatt Chafin Rahul Chhabra Josh Choi Kelley Conley

Aaron Cornejo Amie Dabu Rob Davis

Kendall Denike Emilie Doering Daniel Dykas David Edwards Tara Egnatios

Daniel Escolin Alicia Fremling Tereza Fritz Andrew Gaddis

Andrew Gaddis
Ian Griffith

Alexandria High Hannah Hall Catherine Hoke Sarah Hoke Rebecca Hudson

Joleen Jansen Thomas Kajder David Kreie Dania Lopez

Benjamin Lowenstein Rachel Lowenstein Alexander MacLean Keith Martin

Colin McDonald-Smith

Tobie Milford Timothy Norris Sidra Omer **Graphic Design**

Molecular Biotechnology

Sustainability Political Science

Biomedical Engineering

Sustainability

Materials Science & Engineering

Geography Management

Electrical Engineering
Supply Chain Management
Business Tourism/Management
Molecular Bioscience & Biotechnology

Marketing/Management

Marketing Chemistry

Biomedical Engineering & Economics

Psychology

Biomedical Engineering

Sustainability Biology

Industrial Design Global Studies Industrial Design

English & Creative Writing

Public Policy

Film and Media Production

Management, Political Science, Spanish

Global Studies

Industrial Engineering Film & Media Studies

BusinessSustainability

Mechanical Engineering

Asian Language

Business

Industrial Design Computer Science Graphic Design Biochemistry Sociology Business Honors

Film
Computer

Computer Science Biology & Society Architectural Studies

Journalism & Mass Communication

Girish Pathangev

Mark Petersen

Zachary Pirtle

Jaron Reed

David Renolds

Lucas Rogers

Sarah Rupprecht

Dusana Schnell-Vivas

Jesse Shedd

Suzanne Shlom

Nicole Smith

Rachel Smith

Chad Stearns

Evan Taylor

Jonah Thomas

Duncan Thomason

Clelia Tommi

Daryl Traylor

Xavier Vargas

Kaitlin Vortherms

Tai Wallace

Amelia Walsh

Julia Weakley

Brian Young

Ke Wu

Affiliated Undergrad Interns & Researchers

Annie Bidgood

Georgia Tech Audrey Campbell Georgia Tech

Brescia Cassellius

Wisconsin Georgia Tech

Gordon Cutler Sharyn Finney

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Graphic Design

Earth Space Exploration

Microbiology

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Sustainability

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Global Studies

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Biology & Society

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Innovation Studies University of Edinburgh Associate Professor University of New Mexico

Lynn Carruthers Graphic Recording Artist

Jae Cheon Graduate Student Duke University

Christopher Coenen Scientific Staff, Innov. Processes

& Impact of Technology Karlsruhe Institute of Technology

Jim Collins Professor Arizona State University

Bob Cook-Deegan Research Professor Duke University

Kevin Costa Managing Director University of California - Berkeley

Michael Crow President Arizona State University
Sarah Davies Marie Curie Research Fellow University of Copenhagen
Jason Delborne Associate Professor North Carolina State University
Tess Doezema Graduate Student Arizona State University

Susan Ehrlich Retired Judge

Luis Campos

Karin Ellison Administrative Professional Arizona State University
Margret Engelhard Senior Researcher European Academy GmbH
Daniel Escolin Graduate Student Arizona State University
Levi Espinoza Graduate Student Arizona State University

Samuel Evans Research Fellow Massachusetts Institute of Technology

Erik Fisher Assistant Professor Arizona State University
Bob Friedman VP, Policy & Univ. Relations
Emma Frow Lecturer University of Edinburgh
Eriko Fukumoto Graduate Student Arizona State University
Silvio Funtowicz Professor II

Silvio Funtowicz Professor II University of Bergen
Steve Gamboa Graduate Student Arizona State University

Graduate Student Arizona State University

William State University

Gretchen Gano Research Fellow University of Massachusetts - Amherst

David Gillum Associate Director, Biosafety Arizona State University

Theresa Good Acting Dep. Director, Program

Director National Science Foundation
Dave Guston Co-Director, Professor Arizona State University

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|--------------------|--|--|
| Ed Hackett | Professor | Arizona State University |
| Megan Halpern | Post-doctoral Scholar | Arizona State University |
| Jaydee Hanson | Policy Director | Center for Food Safety |
| Sarah Harper | Graduate Student | Arizona State University |
| Barbara Harthorn | Director, NSEC | University of California - Santa Barbara |
| Karmella Haynes | Assistant Professor | Arizona State University |
| Nathan Hillson | Computational Staff Scientist | Lawrence Berkeley National Labs |
| Ben Hurlbut | Assistant Professor | Arizona State University |
| Richard Johnson | Retired Partner | Arnold & Porter, LLP |
| Pierre-Benoit Joly | Director du LISIS | Institut Francilien Recherche, Innov. et Société |
| Ellen Jorgensen | Exec. Dir. & Co-founder | Genspace |
| Greg Kaebnick | Research Scholar | The Hastings Center |
| Linda Kahl | Director, Legal Program | BioBricks Foundation |
| George Khushf | Professor, Director Medical Humanitarian Minor | University of South Carolina |
| Sheron King | Graduate Student | North Carolina State University |
| Eric Klavens | Assoc. Professor, Elec. Eng. | University of Washington |
| Harald Koenig | Scientific Staff, Innov. Processes | Oniversity of washington |
| Harara Roeing | & Impacts of Technology | Karlsruhe Institute of Technology |
| Frederick Kronz | Program Director | National Science Foundation |
| Todd Kuiken | Sr. Research Associate | The Woodrow Wilson Center |
| Jennifer Kuzma | Distinguished Prof., Co-Director | The Woodrow Wilson Center |
| Johnner Razma | Genetic Eng. & Society Prg. | North Carolina State University |
| Steve Laderman | Director, Molecular Tools Lab. | Agilent Technologies |
| Jason Leard | Federal Ageng | U.S. Federal Bureau of Investigation |
| Stephanie Leite | Evaluator | Arizona State University |
| Rachel Levinson | Industrial/Govt. Res. Liaison | Arizona State University |
| Cliff Li | Graduate Student | University of Exeter |
| Xiao Liang | Graduate Student | Manchester Institute of Innovation Research |
| Jennifer Liu | Assistant Professor | University of Waterloo |
| Gary Marchant | Exec. Dir., Regent's Professor | Arizona State University |
| Claire Marris | Sr. Research Fellow, Deputy Leader of SSHM's BPPP | , |
| | Research Group | King's College - London |
| Debra Mathews | Assist. Dir., Science Programs | Johns Hopkins University |
| Mary Maxon | Executive Director | Lawrence Berkeley National Lab |
| Irene Mendoza | Associate Biosafety Officer | Arizona State University |
| Morgan Meyer | Assistant Professor | Agro ParisTech |
| Clark Miller | Asst. Director, Assoc. Prof. | Arizona State University |
| Susan Molyneux- | | |
| Hodgson | Sr. Lecturer, Director of Science & Technology Rsh. Grp. | University of Sheffield |
| Jeff Morris | National Program Director for | · |
| D' 1 134 | Nanotechnology | U.S. Environmental Protection Agency |
| Richard Murray | Thomas E & Doris Everhart | |
| m: N111 | Professor | California Institute of Technology - Pasedena |
| Tina Ndoh | Graduate Student | North Carolina State University |
| Ken Oye | Associate Professor | Massachusetts Institute of Technology |
| Megan Palmer | William J. Perry Fellow | Stanford University The West learn Wiles of Contagn |
| Eleonore Pauwels | Public Policy Scholar | The Woodrow Wilson Center |

Amy Wolfe

Britt Wray

Jan Youtie

Ed You

Matthew Ykema

Elizabeth Pitts **Graduate Student** George Poste Chief Scientist, Regent's Prof. Margaret Race Sr. Research Scientist Sujatha Raman Deputy Dir., Assist. Prof. Brian Rappert **Professor** Jody Roberts Director **Assistant Professor** Mark Robinson Patty Ryan Program Coordinator Dan SarewitzC Center Director, Professor Kathryn Scheckel Asst. dir. Special Projects John E. Ross Professor Dietram Scheufele Graduate Student Debby Scott Mark Segal Sr. Microbiologist Phil Shapira Professor, Dir. Science, Tech. & Innovation Policy David Sittenfeld Program Manager, Forum **Dirk Stemerding** Sr. Researcher Tech. Assess. Research Programme Jim Thomas Manager and Writer Ginni Ursin **Technology Prospecting** Lead, Research Russell VanHerik **Executive Director** Willem Vermass Graduate Student Director, Science Technology Kathleen Vogel & Society Program Wendell Wallach Lecturer, Consultant, Ethicist Xiao Wang **Graduate Student** Jamey Wetmore Assoc. Director, Assoc. Prof. Lauren Withycombe-Keeler Post-doctoral Scientist Assoc. Prof.., Comm. Rehab. **Gregor Wolbring**

& Disability. Studies

Supervisory Special Agent

Dir, Policy Research Services

Team Leader

Graduate Student

Graduate Student

North Carolina State University Complex Adaptive Systems Institute University of CA - Santa Cruz, SETI Institute University of Nottingham University of Exeter Chemical Heritage Foundation DePaul University Arizona State University Arizona State University Arizona State University University of Wisconsin - Madison **Rutgers University** U.S. Environmental Protection Agency Univ. of Manchester Georgia Inst. of Tech. Museum of Science, Boston Rathenau **ETC Group** Monsanto Company Great lakes Protection Fund Arizona State University North Carolina State University Yale Interdisciplinary Center for Bioethics Arizona State University Arizona State University

Leuphana Universität Lüneburg
University of Calgary
Oak Ridge National Laboratory
University of Copenhagen
Arizona State University

U.S. Federal Bureau of Investigation Georgia Institute of Technology

4. (b) LIST OF ADVISORY BOARDS

i. Executive Committee

Elizabeth Corley, Associate Professor, ASU Department of Public Affairs

David H. Guston, Professor, ASU School of Government, Politics, & Global Studies

Deirdre Meldrum, Dean, ASU Fulton Schools of Engineering

Clark A. Miller, Associate Professor, ASU School of Government, Politics, & Global Studies

Dietram Scheufele, Professor, Journalism and Mass Communication, and Life Sciences, University of Wisconsin-Madison

Jan Youtie, Manager, Policy Services, Georgia Institute of Technology

ii. Board of Visitors

Lawrence Bell, Sr. Vice President, Strategic Initiatives, Museum of Science Boston

Edward Cupoli, Professor Emeritus, State University of New York at Albany

Heather Douglas, Associate Professor/Chair, Department of Philosophy, University of Waterloo

William Hallman, Director, Food Policy Institute, Rutgers University

Kristen Kulinowski, Policy Researcher, Science & Technology Policy Institute

Jennifer Kuzma, Associate Professor, Humphrey School of Public Affairs, University of Minnesota

Andrew Maynard, Director, Risk Science Center, University of Michigan

Colin Milburn, Associate Professor, English & Science & Tech. Studies, University of California, Davis

Albert Teich, Research Professor, Center for International Science & Technology Policy, George

Washington University

iii. Nanotechnology Industry Liaison Committee

Gary Bild

Larry Bock, Chairman, Luxe Ventures

Ellen Feigal, Director of Medical Devices and Imaging, TGen

Douglas Goodman

Herb Goronkin

John Hughes

Anil Jain, Professor, Department of Computer Science & Engineering, Michigan State University

Donna Kent, Senior Vice President of Global Studies, Televerde

Anatoli Korkin, Director, ASU Office of Research and Economic Affairs

John McGarity

Michael Moffitt, Professor, Department of Computer Science and Engineering, University of Michigan

Sean Murdock, Nanotechnology Industry Association

Fred Weber

iv. Private Sector Engagement Committee

Larry Bell, Senior Vice President, Strategic Initiatives, Museum of Science

Lynn Bergeson, Owner, Bergeson & Campbell, P.C.

Susan Brienza, Attorney, Ryley Carlock & Applewhite

Kurt Creager, Executive Director, Stardust Center for Affordable Homes and the Family.

Jake Dunagan, Research Director, Technology Horizons Program, Institute for the Future

Erik Fisher, Assistant Professor, School of Government, Politics and Global Studies, Arizona State

University

Jason Gallo, Science and Technology Policy Analyst, Science and Technology Policy Institute Stephen Goodnick, Professor, Ira A. Fulton School of Engineering, Arizona State University David Guston, Professor, School of Government, Politics and Global Studies, Arizona State University Patti D. Hill, Founder, Penman Public Relations

Frederick Klaessig, Manager, Pennsylvania Bio Nano Systems

Celia Merzbacher, Vice President, Innovative Partnerships, Semi-Conductor Research Corporation Evan Michelson, Associate Director, The Rockefeller Foundation

Robert Ott, Associate Director, Occupational Health and Safety, Arizona State University Rax Raimond, Senior Mediator and Program Manager, Meridian Institute

David Roessner, Senior Fellow, Center for Science, Technology, and Economic Development, SRI International

Dietram Scheufele, Professor, Journalism and Mass Communication, and Life Sciences, University of Wisconsin-Madison

Cynthia Selin, Assistant Professor, Center for Nanotechnology in Society, Arizona State University Philip Shapira, Professor, School of Public Policy, Georgia Institute of Technology; Professor of Innovation Management and Policy, Manchester Institute for Innovation Research, University of Manchester

Ahmad Soueid, Principal and Senior Vice President, HDR Architecture, Inc.

Arnim Wiek, Assistant Professor, School of Sustainability, Arizona State University

Peter Yeadon, Co-founder, Decker Yeadon

Jan Youtie, Manager, Policy Services, Georgia Institute of Technology

Steven Zylstra, President & CEO, Arizona Technology Council

v. Expert and Oversight Panel for National Citizens' Technology Forum

Stephen Helms Tillery, Assistant Professor, Harrington Department of Bioengineering; Assistant Professor of Kinesiology, Arizona State University

Kristen Kulinowski, Policy Researcher, Science & Technology Policy Institute

Maxwell J. Mehlman, Arthur E. Petersilge Professor of Law; Professor of Bioethics, School of Medicine; Director of the Law-Medicine Center, Case Western Reserve University

Jason S. Robert, Associate Professor, Department of Basic Medical Sciences, The University of Arizona College of Medicine; Associate Professor, School of Life Sciences, Arizona State University Ida Andersen, Danish Board of Technology

David Rejeski, Director, Project on Emerging Nanotechnologies, Woodrow Wilson International Center for Scholars

4. (c) LIST OF PARTICIPATING INSTITUTIONS

i. ASU Academic Participating Institutions

Applied Learning Technologies Institute

Arizona Institute for Nano-Electronics (AINE)

Arizona Technology Enterprises (AzTE)

Axon Technologies

Barrett, the Honors College

Biodesign Institute

Center for Biology & Society

Center for Research on Education in Science, Mathematics, Engineering, & Technology (CRESMET)

Center for the Study of Religion & Conflict

Center for Law, Science and Innovation

Center for Science and the Imagination

Center for Solid State Electronics Research

Center for Study of Institutional Diversity

College of Liberal Arts & Sciences

College of Public Programs

College of Technology & Innovation

Complex Adaptive Systems Initiative

Consortium for Science, Policy & Outcomes

Cyberinfrastructure Services

Decision Theater for a Desert City

Foundation, ASU

Global Institute of Sustainability

Graduate College

Hayden Library

Health Services

Herberger Institute for Design & the Arts

Hispanic Research Center

Institute of Human Origin

Ira A. Fulton Schools of Engineering

Learning Sciences Institute

LightWorks

Mary Lou Fulton College of Education

New Interdisciplinary Arts & Sciences

Office of China Initiatives and Strategy

Office of Knowledge and Enterprise Development (OKED)

Office of Public Affairs

Office of the President

Office of the Vice President and Provost

Office of University Initiatives

Occupational Health and Safety

Phoenix Urban Research Laboratory

Responsible Conduct of Research Program, School of Life Sciences

Sandra Day O'Connor School of Law

School of Earth & Space Exploration

School of Government, Politics, & Global Studies

School of Human Evolution & Social Change

School of International Languages & Cultures

School of Letters & Sciences

School of Life Sciences

School of Mathematical & Statistical Sciences

School of Philosophical, Historical, and Religious Studies

School of Social Transformation

School of Sustainability

Science Policy Assessment & Research on Climate (SPARC)

Stardust Center

Technology Based Learning Research

Transformative Healthcare Networks

University Art Museum

University Public Schools

W.P. Carey School of Business

Walter Cronkite School of Journalism & Mass Communication

ii. Academic Participating Institutions Other than at ASU

Aarhus University, Denmark

Ansal Institute of Technology

Antwerp University

Austrian Academy of Science

Baylor College of Medicine

Beijing Institute of Technology, China

Bioscience High School

Boise State University

Bowling Green State University

Brown University

California State University, Sacramento

Cardiff University

Carnegie Mellon University

Case Western Reserve University

Chandler Gilbert Community College

Chinese Academy of Sciences

Claremont Graduate University

Clark University

Collins College

Colorado School of Mines

Columbia College Chicago

Columbia University

Concordia University

Copenhagen Business School, Denmark

Corinthian Colleges

Cornell University

Dalian University of Technology, China

Delft University of Technology

DeVry University

Dublin City University

Durham University, United Kingdom

Ecole des Mines, France

ETH Zurich

Eugene Lang College the New School for Liberal Arts

Ewha Women's University

Federal University of Parana, Brazil

Federal University of Santa Catarina, Brazil

Flemish Institute of Science & Technology

Florida International University

George Mason University

George Washington University

Georgetown University

Georgia Institute of Technology

Glendale Community College

Grenoble Institute of Technology

Grove City College

Harvard University

Howard University

Illinois Institute of Technology

Indiana University

Institute of International Sociology of Gorizia

Institut d'Etudes Politiques de Grenoble, France

Iowa State University

James Martin Institute for Science & Civilization, Oxford University, UK

Johns Hopkins University

Karlsruhe Institute of Technology

Keele University

Korea Institute of Science and Technology, Seoul, Korea

Lancaster University, UK

Leeds University Business School, UK

Lehigh University

Litchfield Elementary School District

Long Island University

Macalester College

Manchester Business School

Maricopa Community Colleges

McGill University

Mesa Biotech Academy

Mesa Community College

Mesa High School

Michigan State University

MIT SENSEable City Lab

Montana State University

Nagoya University, Japan

National Academy of Sciences

National University of Singapore & Asia

New York University

North Carolina State University

Northeastern University

Northern Alberta Institute of Technology

Northwestern University

Norwegian University of Science & Technology, Norway

NSEC/CNS-University of California, Santa Barbara (UCSB)

Ohio State University

Osaka University, Japan

Pennsylvania State University

Plymouth University

Portland State University

Purdue University

Queens University

Radboud University

Rensselaer Polytechnic Institute

Rhode Island School of Design

Rice University

Rice University/ICON

Rio Salado College

Rochester Institute of Technology

Roger L. Putnam Vocational Technical Academy

Rutgers, The State University of New Jersey

RWTH Aachen University

Said Business School, Oxford

Sapienza University of Rome

Scottsdale Community College

Simon Fraser University, British Columbia

S.I.W.S. N.R. Swamy College, India

South Mountain Community College

Stanford University

State University of Campinas

Stony Brook University

Tamkang University

Technical University of Delft

Technical University of Denmark

Technical University of Darmstadt

Tennessee State University

Texas State University, San Marcos

The Center for International Development, Harvard University

Tokyo University

Tsinghua University, China

UCLA/Harvard/NBER: Collaborative Research; Personnel Exchanges

UMC St. Radboud

Unicamp University, Brazil

Universidad de Zacatecas, Mexico

Universidad del Pais Vasco, Spain

Universita Ca' Foscari Venezia

University College London

University at Albany

University of Alberta

University of Amsterdam

University of Antwerp, Belgium

University of Arizona

University of Athens

University of Basel

University of Basque Country

University of Bergen, Norway

University of Bielefeld, Germany

University of British Columbia

University of Calgary, Canada

University of California, Berkeley

University of California, Davis

University of California, Irvine

University of California, Los Angeles

University of California, San Diego

University of California, Santa Barbara

University of Cape Town

University of Central Florida

University of Chicago

University of Colorado, Boulder

University of Colorado, Denver

University of Copenhagen

University of Denver

University of Edinburgh

University of Exeter, United Kingdom

University of Florida

University of Geneva

University of Georgia

University of Gothenburg, Sweden

University of Groningen, Netherlands

University of Illinois, Chicago

University of Illinois, Springfield

University of Illinois, Urbana-Champaign

University of Iowa

University of Jiangsu, China

University of Lausanne, Switzerland

University of Leeds

University of Liege, Belgium

University of Manchester, United Kingdom

University of Maryland

University of Massachusetts, Amherst

University of Melbourne, Australia

University of Michigan

University of Minnesota

University of Montana

University of Nebraska, Lincoln

University of Nevada, Las Vegas

University of New Hampshire

University of New South Wales, Australia

University of North Carolina, Chapel Hill

University of North Carolina, Charlotte

University of North Texas

University of Notre Dame

University of Nottingham

University of Oslo

University of Oxford

University of Padua

University of Seville, Spain

University of South Carolina

University of South Florida

University of Southern California

University of Southern Indiana

University of Sussex, United Kingdom

University of Tennessee, Knoxville

University of Texas, Austin

University of Trieste, Italy

University of Twente, the Netherlands

University of Turku

University of Utah

University of Victoria

University of Vienna

University of Virginia

University of Washington

University of Waterloo

University of Wisconsin, Madison

UT-Battelle

Utrecht University

Vanderbilt University

Villanova University

Virginia Polytechnic Institute & State University

Virginia Tech University

VU University of Amsterdam

Washington University, Saint Louis

West Chester University of Pennsylvania

Western Michigan University

Yale University

York University

4. (d) Non-Academic Participating Institutions

Agilent Technologies

Airplayn

Alberta Centre for Advanced Micro Nano Technology Products

Alberta Innovates Technology Futures

ALD Nano Solutions

Alsek Research

American Association for the Advancement of Science (AAAS)

American Bar Foundation

Apriva ISS

Arizona Bioindustry Organization

Arizona Commerce Authority

Arizona Corporation Commission

Arizona Department of Education

Arizona Department of Health Services

Arizona Nanotechnology Cluster

Arizona Public Service (APS)

Arizona Research Institute for Solar Energy

Arizona Science Center

Arizona Technology Council

Army Military Command

Australian Government

Bank of America

Bassetti Foundation

Bioindustry Organization of Southern Arizona

Boudreaux and Associates

BrasEq

Brilliant Concepts LLC.

British Embassy

Brookings Institute

Buckeye Express

Burton Barr Central Library

Cambridge Public Health Department

Carnegie Mellon

CB Richard Ellis

CEA-Saclay

Cell Publishing

Center for Business Models in Health Care

Center for Naval Analysis

Center for Responsible Nanotechnology

Changeist, LLC.

Chemical Heritage Foundation

Children's Museum of Phoenix

City of Apache Junction

City of Edmonton

City of Phoenix

City of Scottsdale

Complex Global Risks

Corgan Associates

Council of Scientific and Industrial Research

Danish Board of Technology

David Crowley Gallery

Decker Yeadon LLC

Denise Meridith Consultants, Inc.

Department of Energy (DOE)

Department of the Treasury

Department of Transportation

Depave

Describe, LLC.

Desert Botanical Garden

Digital Thinking Network

Downtown Phoenix Journal

Ecological Society of America

EKLATEK Engineering

E.L. Smith Water Treatment Plant

Emerging Leaders in Science & Society (ELISS)

Engineering & Physical Sciences Research Council (EPSRC)

Environmental Protection Agency (EPA)

Equus Development Corporation

Eureka

European Commission

Exploratorium, San Francisco

Federal Aviation Administration Office of Environment & Energy

FBI Weapons of Mass Destruction

Food and Drug Administration (FDA)

Foundation for Genomics and Population Health

Gallagher and Kennedy

General Dynamics

Genøk Centre for Biosafety

Genome British Columbia

German Parliament

Global Business Network

Gould Evans

Gordon Research Conferences

Greenwall Foundation

Ground Work Portland

HafenCity University

HDR Architecture

Heatsync Labs

Heliae

Home Depot

Iconic Architecture

Ikologi

INSERM

Institute for Agriculture and Trade Policy

Institute for Ecological Economy Research, Germany

Institute for the Future

Institute of Technical Assessment & Systems Analysis

Intel

Intelligent Information Services Corporation (IISC)

International Nanotechnology in Society Network (INSN)

International Research Center

Ira Domsky Environmental

Italian National Research Council, Turin, Italy

ITel

Ivy Consulting, Inc.

Jennings, Strouss, & Salmon PLC

Kaiser Permanente

Kolbe Corp.

Kristine Wilcox Consulting

Las Vegas-Clark County Library District

Lasertel, Inc.

Lawrence Livermore Lab

Leathers Milligan & Associates

Loka Institute

London Science Museum

Luxe Ventures

Lyman and Merrie Wood Museum of Springfield History

Mabelson Law Group

Mapping & Planning Support (M.A.P.S.) Alberta Capital Region

Max Chandler Robot Art

Mayer-Reed Architects

Mayo Clinic - Scottsdale

Meridian Institute

Metacurrency Project

Microchip

MJS Designs, Inc.

Modern Insights

Museum of Life & Science, North Carolina

Museum of Science, Boston

Nano-Alberta

Nanoscale Informal Science Education Network (NISENet)

National Academy of Engineering

National Advisory Committee on Aeronautics (NASA)

National Building Museum

National Business Museum

National Geographic Society

National Institute of Nanotechnology

National Institute of Standards and Technology (NIST)

National Institutes of Health (NIH)

National Nanomanufacturing Network (NNN)

National Nanotechnology Coordinating Office

National Nanotechnology Infrastructure Network

National Research Council

National Research Council of Canada

National Science Foundation

Nature.com

Nature Publishing Group

New Haven Independent

Norwegian Institute

Nothing but NET

NRG Energy, Inc.

Nuclear Waste Review Board

Office of Naval Research

Oregon Museum of Science & Industry (OMSI)

PACeHR

Penman PR

Pennsylvania Bio Nano Systems, LLC.

Phoenix Public Library

Phoenix Rising

Phoenix Spokes People

Phoenix Zoo

Physician Services Group

PING Inc.

Pioneer Valley Transit Authority

Planetary ONE

Portland Bureau of Environmental Services

Practical Action

Presidential Commission for the Study of Bioethical Issues

Quantiam Technologies Inc.

QuantTera

Rathenau Institute

RCI Surveys, Inc.

Research Center Berlin

Research Council of Norway

Research Councils U.K. (RCUK) in the U.S.

Re/Max Fine Properties

Research Media Ltd.

Richard + Bauer Architecture

Rockefeller Foundation

Rose Community Development Corporation

Rutgers and Posch

Ryley, Carlock & Applewhite Attorneys

Salt River Project

Sandia National Laboratory

Savage Film

Science and Technology Institute

Sciencenter, New York

Science Foundation Arizona

Science Museum of Minnesota

SciStarter

SciTech Strategies, Inc.

Scottsdale League for the Arts

Search Technology

Semi-Conductor Research Corporation

SETI Institute

Shannon and Wilson, Inc.

SmithGroup

Snell and Wilmer Law

Social Sciences and Humanities Research Council of Canada

Sokolov, Sokolov, Burgess Solutions (SSB)

South of Market EcoDistrict

Spirit of the Senses Salon

Springer Publishing

SRI International

Startup Edmonton

Strategic Advantage, Inc.

Sundt Construction, Inc.

Synthetic Biology Engineering Research Ctr. (SynBERC)

SySTEM Schools, Inc.

Targeted Genetics Corporation (TGen)

Teach America

TEC Edmonton

Televerde

Telus World of Science

Tempe Festival of the Arts

Testani Design Troupe, Inc.

The Elumenati, LLC

The Embryo Project

The Energy and Resources Institute

The Foresight Institute

The Galaxy Organization

The Geek Group of Western Massachusetts

The Rockefeller Foundation

The Royal Society

The Washington Post

Town Hall Education Arts Recreation Campus

Translational Genomics Research Institute (TGEN)

TraskBritt Intellectual

TRIMET Transportation

Underwood Bros., Inc.

Unicorn Media, Inc.

U.S. Government Accountability Office (U.S. GAO)

U.S. Green Building Council

U.S. Department of Agriculture

U.S. Department of Homeland Security

U.S. Department of Transportation

U.S. DOE/Center for Integrated Nanotechnology (CINT)

Venezuelan Institute for Scientific Research

Western Massachusetts Electric Company

Will Bruder & Partners Ltd.

Winnipeg Art Gallery

Woodrow Wilson International Center for Scholars

5. Quantifiable Outputs

Table 1: Quantifiable Outputs - NSF Award #0937591 Reporting Reporting Reporting Reporting Year -1 Year-2 Year-3 Year-4 Year 5 Total 2010-2011 2011-2012 2012-2013 2013-2014 2014-2015 Outputs Publications resulted from NSEC Support in Peer Reviewed Journal in Peer Reviewed Conference Proceedings in Peer Reviewed Book Chapters **Technical Reports** З Working Papers Books Theses in Trade Journals Other Journal Publications Internet with Multiple Authors co-authored with NSEC faculty NSEC Technology Transfer Inventions Disclosed Patents Filed Patents Awarded Software Licensed Spin-off Companies Started Degrees to NSEC Students Bachelors Degrees Granted Masters Degrees Granted **Doctoral Degrees Granted** NSEC Graduates Hired by Industry NSEC participating Firms Other US Firms Government Academic Institutions Other Unknown NSEC Influence on Curriculum New Courses Based on NSEC Research Courses Modified to Include NSEC Research New Textbooks Based on NSEC Research Free-standing Course Modules or Instructional CDs New Full Degree Programs New Certificate Information Dissemation/Educational Outreach Workshops, Short Courses to Industry Workshops, Short Courses to Others Seminars, Colloquia, etc. World Wide Web courses Academic Presentations Industry Presentations Science Cafes Visiting Speakers Community Speaking Engagements Newsletters

6. Mission, Significant Advances, and Broader Impacts

The Center's mission is to: 1) *research* the societal dimensions of nanoscale science and engineering (NSE); 2) *train* a community of scholars with new insight into these dimensions; 3) *engage* various publics and NSE researchers in dialogues about the goals and implications of NSE; and 4) *partner* with the NSE enterprise to generate greater *reflexiveness* in research, development, education and policy. Using the methods of real-time technology assessment (RTTA; <u>Guston</u> and Sarewitz 2002), CNS-ASU weaves together these activities to support a broad-based societal capacity for the *anticipatory governance* of emerging technologies.

The Center has made significant strides in accomplishing this mission. In particular, the Center's RTTA methods and its anticipatory governance vision have been recognized in important scholarly venues, e.g., the field-defining *Handbook of Science and Technology Studies*, which includes Barben et al.'s (2008) chapter, and the series on innovation policy in *Nature*, which published <u>Guston's</u> (2008) commentary. The Center's work also includes a more detailed genealogy of anticipatory governance (Karinen and Guston 2010), a synoptic piece placing anticipatory governance in the NNI's approach to responsible development and in the context of some recent scholarly debate (Guston 2014), and a historical exploration of a critical case in anticipation of the atomic bomb (Guston 2012). Beyond such publications, a number of programs and scholars have begun to adopt anticipatory governance and scrutinize it for their own purposes, from the incorporation of anticipatory governance into the programmatic agenda of the Nano-scale Informal Science Education Network's (NISE Net) public forums (see Section 12 Outreach and Knowledge Transfer), to the work of a cadre of international scholars and practitioners who have visited CNS-ASU to imbibe its perspective (see Section 13 Shared and Other Experimental Facilities [International Collaborations]), to sessions at the annual meetings of the AAAS Science and Technology Policy Forum (May 09), the Society for the Study of Nanoscience and Emerging Technologies (annually since 09) and the Society for Social Studies of Science of Science (F 09: F 10) dedicated to anticipatory governance, and elsewhere. In the reporting year alone, Guston spoke to colleagues at University of California, Berkeley, University College, London, and University of Sussex (UK), about the mission, ambitions, and organization of the Center, as well as with visitors to ASU from the University of Nantes (France) and the Technical University of Ambatao (Ecuador) to discuss interdisciplinary research and training. Emerging dialogue and policy around responsible innovation (RI) also owes much to the emphasis on RI and anticipatory governance as well, as RI frameworks adopted, for example, by the UK Engineering and Physical Sciences Research Council show significant intellectual inheritances from anticipatory governance.

Moreover, anticipatory governance and its component capacities are represented in NNI and other official planning documents, including: endorsement of scenario development as a route to understanding nanotechnological futures, in the NNI 2007 strategic plan; highlighting of integration research as an important element in future NSE collaborations with social science, in the FY 2012 NNI budget summary from NSF; focusing importantly on anticipatory governance in the 2010 NSF/WTEC report on the future of nanotechnology; etc. <u>Guston</u> (2014) has begun to collect many of these responses in the community and respond to some critics that have emerged, and the funded supplement to CNS-ASU has begun to research the Center's various impacts and outcomes, including the uptake of anticipatory governance.

CNS-ASU research is having a substantial influence on the scholarly literature. The *Yearbook of Nanotechnology in Society* series (Springer; <u>Guston</u>, series editor) has published three volumes (<u>Fisher</u>, <u>Selin</u> and <u>Wetmore</u> 2008; <u>Cozzens</u> and <u>Wetmore</u> 2011; and Hays, <u>Robert</u>, <u>Miller</u> and <u>Bennett</u> 2013). A fourth – edited by de Ridder Vignone, <u>Miller</u> and <u>Barben</u> – is in its final stages of

preparation). The two-volume *Encyclopedia of Nanoscience and Society* (Sage; <u>Guston</u>, editor) was published in 2010. Both the *Yearbooks* and the *Encyclopedia* serve community-forging purposes. The *Yearbook* helps create a community of scholars around a narrow topic and then provides them with relatively high visibility. The *Encyclopedia* has brought together a larger community of scholars in its production – roughly 220 authors – and will help introduce a younger scholarly audience – high school and undergraduate students – to nearly 500 topics in nanotechnology in society. In total, Center researchers have 11 books published, under review or under contract around Center-related material, five of which are primary CNS publications.

The Center's researchers have published, had accepted or submitted for review 243 peer-reviewed journal articles (197 of which are primary CNS-supported publications), covering a range of outlets including:

- broad-based audiences in science and technology studies (e.g., *Science, Technology & Human Values; Science as Culture; Minerva; Social Studies of Science*),
- policy and innovation studies (e.g., Science and Public Policy; Research Policy; Journal of Technology Transfer, Technological Forecasting & Social Change, Review of Policy Research, Research Evaluation; Scientometrics; Journal of Responsible Innovation),
- law and ethics (Science and Engineering Ethics; Journal of Law, Medicine, and Ethics, Jurimetrics),
- communication (Science Communication; Journal of Mass Communication Quarterly; Public Understanding of Science; New Media and Society, International Journal of Public Opinion Research),
- urban sustainability issues (Cities; Journal of Urban Technology, Sustainability Science),
- other interdisciplinary specialty journals (*Risk Analysis*; *Leonardo*; *Appetite*; *Long-range Planning*; *China Intellectual Property*),
- broader science and engineering journals (*Proceedings of the National Academy of Sciences* and *Environmental Science and Technology*), and
- specific, NSE-related audiences for
 - o scientists (Journal of Nanoparticle Research; Nature Nanotechnology; Journal of NanoScience and Nanotechnology),
 - o policy makers and business leaders (*Nanotechnology Law and Business*),
 - o social scientists and humanists (NanoEthics) and
 - o educators (Journal of Nanotechnology Education).

The Center's researchers have produced seven special issues of peer-reviewed journals:

- Fisher, Science and Engineering Ethics 17(4), "Public Science and Technology Scholars"
- Bozeman and Sarewitz, Minerva 49(1) "Public Value Mapping"
- <u>Shapira</u> and <u>Youtie</u>, *Journal of Technology Transfer* 36(6) "Nanotechnology and Innovation Policy
- Guston, Review of Policy Research 30(5) "Nanotechnology and Political Science"
- Invernizzi and Davies, *Journal of Nanotechnology Law and Business* 9(3) "Studying Nanotechnology in the Private Sector"
- <u>Selin</u> and Pereira, *International Journal of Foresight and Innovation Policy* 9(2,3,4) "Conceptual and Methodological Dimensions of Plausibility; and
- <u>Selin</u>, Futures in press "Merging Art and Design in Foresight: Making Sense of Emerge."

Center faculty have assumed major leadership roles in creating and contributing to the new *Journal of Responsible Innovation*. Launched by Taylor & Francis in early 2014, <u>Guston</u> is the founding editor-in-chief and <u>Fisher</u> is one of the associate editors. Jennifer Brian, co-PI on the CNS-ASU

associated award to conduct a "Workshop on Research Agendas in the Societal Aspects of Synthetic Biology," edited a special section of *JRI*'s "Perspectives" derived from the workshop.

The Center has 54 non-peer-reviewed publications in trade journals and other journals, including commentaries by Brossard and <u>Scheufele</u> (2013) in *Science*, <u>Guston</u> (2008) and <u>Shapira</u> and <u>Wang</u> (2010) in *Nature*, <u>Scheufele</u> and <u>Corley</u> in *The Scientist* (2010), and <u>Wetmore</u> and <u>Posner</u> in *NanoToday*.

Center researchers have further published or have forthcoming 91 book chapters (77 of which are primary CNS-supported publications), including three contributions to the field-defining *Handbook of Science and Technology Studies*, many contributions to the *Yearbooks* and other new nano-insociety anthologies, and major international works on interdisciplinarity, governance, risk, and innovation policy and assessment. The *Encyclopedia of Nanoscience and Society* also drew on the expertise of Center-affiliated researchers for 59 entries, or about 12% of the total number, which are listed under "Other."

Although they are a somewhat crude measure of scholarly impact, citations to this body of published work are accumulating – more than 7100 citations as documented in Google Scholar (as of Apr 15), up from just more than 4900 citations in Apr 14, roughly 3300 citations in Apr 13, just over 1500 citations in Mar 12, 983 citations in Mar 11, roughly 500 citations in Apr 10, and 188 citations in Apr 09. The Center's H-index has risen to 47 from 38 last year, 28 the year before, 21 in 2012 and 19 in 2011 (indicating precisely 47 publications with 47 or more citations each). (This total does not include the more than 90% of the 459 Google Scholar citations to the original RTTA article by Guston and Sarewitz [2002] that have occurred since CNS-ASU was founded and which represent the visibility of the Center and its core intellectual ideas as well. It also excludes some publications that do not appear accessible on Google Scholar, as well as citations to *Yearbook* chapters not written by CNS researchers or individual *Encyclopedia* entries whether or not written by CNS researchers.) Particularly pleasing about the H-index publications is their inclusion of work from almost all of the research thrusts and intellectual perspectives of the Center. H-indexed papers account for slightly more than 4500, or 64% of the Center's citations.¹

CNS-ASU has also attempted to make its research and other products available in other formats, including 35 reports of various types available on the Internet and numerous video and audio clips available through the CNS website, YouTube, and other organized blogs. The occasional speaker series is available at vimeo.com/album/1542414 and the Science Café series at vimeo.com/album/1662457.

As evidence of its impact on education, the Center has primarily contributed to the completion of 47 student theses, including 22 doctoral theses, 3 master's theses, and 22 undergraduate honors theses, across a variety of disciplines. CNS has contributed to the completion of an additional 24 student theses, including undergraduate honors students, STIR collaborators, CNS-Biodesign fellows and others completing the PhD+. These numbers include only a handful of roughly one dozen doctoral students whose research is formally being guided by the STIR project, as well as

difference increased between 2013 (9.86 > 6.10) and 2014 (13.09 > 7.43).

57

¹ Last year, RTTA 1 colleagues performed a bibliometric analysis of CNS-ASU publications using combined Web of Science and Scopus data and returned similar results, finding 4038 citations in early 2014 compared to 2917 the previous year, and an H-index of 36, accounting for 54% of CNS citations. They also found that citations to CNS-ASU publications accounted for ~40% of all citations to nano social science papers through early 2014. They have further found that mean citation rate for CNS-ASU papers is higher than for non-CNS-ASU papers, and that this

additional students who have become affiliated with that project but are not formally part of it and other students advised by Center faculty outside CNS-ASU on related topics.

Data and instruments produced by CNS-ASU are sought by and shared with an increasing number of researchers across the globe. For example, the searchable definition of nanotechnology produced by RTTA 1 has been adopted by the European Nano Observatory. The public opinion survey instrument developed by RTTA 2 was not only developed in coordination with EuroBarometer but also has been shared with researchers in Singapore, Ireland, France, and Poland. Survey data has also been provided to policy officials, including the National Nanotechnology Communication Office. NCTF data have been used not only by the distributed groups of scholars who hosted local citizens' technology forums, but have also been provided at the request of researchers at NYU and in France. In Feb 12, CNS-ASU collaborated with librarians at UMass Amherst in submitting a \$48K proposal to Institute for Museum and Library Services for a planning activity, "Nanoscience and Emerging Technologies in Society: Sharing Research and Learning Tools," which occurred in June 13. While the Center has not succeeded in getting additional follow-up funding to this meeting, it is in discussion with ASU's new University Librarian about archiving the Center's work.

Center activities have also helped generate additional research projects, including nearly \$6M of associated and spin-off awards at ASU and roughly \$5.4M at the collaborating universities. At ASU, these awards include:

- Boradkar, et al., National Collegiate Inventors and Innovators Alliance, \$30K, Sep 07 May 08 (this award supported one year of InnovationSpace on CNS agenda);
- <u>Sarewitz</u> and <u>Bozeman</u>, NSF SciSIP, \$203K, Oct 07 Sep 10, Public Value Mapping: Developing a Non-Economic Model of the Social Value of Science and Innovation Policy (this award included collaborations with TRC 1 and RTTA 4);
- <u>Sarewitz</u> and <u>Fisher</u>, NSF SciSIP, \$35K, Aug 10-Sep 10, How to STIR Public Values for Policy Making: A Supplemental Proposal for Web-based Dissemination of Two SciSIP Projects (a supplement to the PVM award above, this award extended outreach and dissemination via video for both PVM and STIR projects across RTTA 1 and RTTA 4);
- Herkert, Wetmore, et al., NSF Ethics Education in Science and Engineering, \$300K, Jan 08 –
 Dec 10 (this award tested a number of macro-ethics education interventions, several initially piloted by CNS-ASU);
- <u>Guston</u>, NSF Conference Award for the Gordon Research Conference, \$60K, Aug 08 (this award supported the GRC on "Governing Emerging Technologies");
- <u>Guston</u>, Greenwall Foundation Conference Award for the Gordon Research Conference, \$10K, Aug 08 (this award supported the GRC on "Governing Emerging Technologies");
- <u>Fisher</u> and <u>Guston</u>, NSF Socio-Technical Integration and Research, \$540K, Apr 09-Mar 12 (this award extends the RTTA 4 agenda to create an international team of doctoral students doing interventionist-oriented comparative laboratory ethnographies);
- <u>Fisher</u>, National Nanotechnology Infrastructure Network, 09-10, \$5,300 (this award documents the integration of social and ethical considerations into a number of NSEC and NNIN sites);
- <u>Corley</u>, Marchant and Sylvester, DOE, \$245K, Sep 10-Aug 12, Governing Nanotechnology Risks and Benefits in the Transition to Regulation: Innovative Public and Private Approaches (this award draws on and extends Corley's RTTA 2 work);
- <u>Corley</u>, Lincoln Center for Applied Ethics, ASU, \$20K, May 10 Dec 11, An Exploration of the Ethical Implications of Human Exposure to Nano-Materials in University Research Laboratories (this award draws on and extends Corley's RTTA 2 work);

- <u>Selin</u>, Science Museum Minnesota, \$9K, Sep 11-Dec 11, Civic Scenarios on Climate Change Adaptation (this award extends Selin's RTTA 3 research and outreach);
- <u>Wiek</u>, Housing and Urban Development, \$2.9M, Reinvent Phoenix: Cultivating Equity, Engagement, Economic Development and Design Excellence with Transit-Oriented Development (continues TRC 2 work to address socio-technical change as a key aspect of sustainability transition research);
- <u>Guston</u>, NSF, Workshop on Anticipatory Governance of Complex, Engineered Nanomaterials,
 \$34K (to apply anticipatory governance framework to advanced generation nanomaterials);
- Graduate students Foley and Kalinowski, \$2K, ASU Graduates in Integrative Society and Environment Research on "Future Visions at M52: Investigating Social, Ethical, and Legal Constraints;"
- <u>Lobo</u> et al. DOE, \$98K, Sunshot Seed grant for "Forecasting and Influencing Technological Progress in Solar Energy;"
- Wender et al., \$2K, ASU Graduates in Integrative Society and Environment Research on "Burdens and Barriers to Terrawatt-scale Photovoltaic Energy;"
- Seager, <u>Selin</u> et al., NSF NUE, \$200K, Cross-disciplinary Education in the Social and Ethical Aspects of Nanotechnology, Nov 13 Oct 15;
- Wetmore et al., NSF, \$248K, Capacity Building in Computer Science as a Driver of Innovation, Oct 13 Sept 15;
- Guston and Fisher, NSF, \$500K, NSF SAVI: Virtual Institute for Responsible Innovation, Oct 13 Sept 16;
- Finn and <u>Guston</u>, NSF, \$50K, Informal Learning and Scholarship in Science and Society: A Multi-disciplinary Workshop on Scientific Creativity and Social Responsibility, Mar 14 Feb 15:
- <u>Guston</u>, Farooque, and <u>Bennett</u>, NASA, \$200K, "A Participatory Technology Assessment of NASA's Asteroid Initiative," Apr 14 to June 15.
- <u>Guston</u>, Murray and Brian, NSF, \$150K, Workshop on Research Agendas for the Societal Aspects of Synthetic Biology, June 14 May 15.

At GA Tech, these awards include:

- <u>Porter</u>, NSF National Partnership for Managing Upstream Innovation, \$45K, Nov 04 present;
- <u>Shapira, Youtie, Rogers</u>, NSF Measurement and Analysis of Highly Creative Research, \$340K, Jan 08 Dec 10;
- Porter et al., NSF Measuring and Tracking Research Knowledge Integration \$393K, Sep 08 Aug 11;
- <u>Porter</u> et al., NSF NER: Representations of Active Nanostructures Across Scientific, Popular, and Policy Realms of Discourse, \$85K, Jan 07 Aug 09;
- Porter et al., UK Royal Commission, \$20K, Jan 08 Apr 08;
- Porter, Youtie and Meyers, Euronano, \$21K, Jul 07 Jan 08;
- <u>Fernandez-Ribas</u>, <u>Kauffman</u> and GA Research Alliance, Small Businesses International Nano Patent Strategies, \$16K, Jun 08 May 09;
- Randles, Shapira, et al. National Research Council of Canada, UK Nanoclusters, \$40K, Jan 09

 Apr 09;
- Rogers, Youtie, Porter, Shapira, NSF Assessment of Nanoscale Science and Engineering Systems, \$200K, Oct 09 Sep 10;
- Shapira, Tang, Meng. Chemical Heritage Foundation, Development of Advanced Materials in China: Case Studies of Nanotechnology Materials Innovations, \$10K, Sep 09 Aug 11;
- <u>Shapira, Youtie</u>. National Nanotechnology Infrastructure Network, Social and Ethical Issues Seed Grant Competition, 2010, Nanotechnology's Transition from Discovery to

- Commercialization in Small and Medium-sized Enterprises: An Exploration of Evidence from Novel Unstructured Sources, \$19,712, May 10 April 11;
- Porter et al., NSF SciSIP, TLS: Revealing Innovation Pathways, April 2011- Jan 2014, \$419k,
- <u>Shapira</u>, et al., UK Economic and Social Research Council, Emerging Technologies, Trajectories and Implications of Next Generation Innovation Systems Development in China and Russia \$350k, Sep 2012-Sep 2014;
- Arora, Georgia Tech Research and Innovation Conference, \$1.5K, Feb 12;
- <u>Shapira</u>, UNIMAN, Nesta, "Mapping innovation and growth in a strategic emerging technology: New data sources and methods for tracking graphene research and innovation," \$75K, 2013-2014;
- Shapira (with Gok, PI), Novel data analysis, synthetic biology. \$12K, 2014;
- Shapira, Youtie, et al., EU-SPRI, Manchester Summer School on Emerging Technologies, \$20K 2014; and
- <u>Porter</u> and <u>Youtie</u> NSF, "Forecasting Innovation Pathways of Big Data & Analytics" \$50K April 2015 to March 2016.

At Wisconsin, these awards include:

- <u>Scheufele</u>, University of Wisconsin—Madison Graduate School, Science and Social Responsibility: Tapping Values and Perceptions among Researchers in Nanotechnology, \$9,029, Sp 07;
- <u>Scheufele</u>, NSF, Media, Talk, and Trust: The Social Amplification of Risk during Site Selection for a Bio-research Facility, \$400K, Sep 08- Oct 10;
- Scheufele (co-PI with PI Berube at NCSU), NIRT: Intuitive Toxicology and Public Engagement, \$1.4M (\$150K at UW), Sep 08- Oct 10;
- <u>Scheufele</u> (consultant with PI Hallman at Rutgers), USDA CSREES National Research Initiative (NRI) Food Nanotechnology: Understanding the Parameters of Consumer Acceptance, \$200K, Sep 08- Oct 10;
- <u>Scheufele</u> (with PI Wilson), DOE, Developing a User Experience for the Next Generation Nuclear Fuel Cycle Simulator, \$1.2M, Sep 11-Oct 14;
- Scheufele (sub-PI with PI's Larry Bell, Paul Martin & Robert J. Semper), NSF, Nanoscale Informal Science Education Network Award # DRL-0940143 \$160K (total center grant: \$4.2 million) 2011-2015; and
- Scheufele, Summer Online Course Development Award: Science, Media and Society, \$25,627, 2013-15.

CNS-ASU has been a force for institutional change at ASU and its collaborating universities. Programs have adopted CNS-ASU tools and approaches as well as the broader theme of anticipatory governance, which has emerged as an important element in the conceptualization of new ASU initiatives. In addition to having created numerous undergraduate and graduate courses and its PhD+, CNS-ASU has:

- collaborated with ASU's Biodesign Institute to require integrated societal training of the doctoral students in its Biological Design PhD program;
- collaborated with ASU's Professional Science Master's program in Nanoscience to offer a societal training course in the new curriculum;
- collaborated with ASU's Professional Science Master's program in Solar Energy Engineering and Commercialization to offer integrated societal training in the new curriculum;
- collaborated with ASU's NNIN node to develop a training program in the societal dimensions of nanotechnology and in informal science education for its users;
- helped instigate the creation of a PhD+ program at GA Tech;

- provided leverage for a proposal by Scheufele at Wisconsin for a "Science and Culture" cluster hire to add personnel to the infrastructure that CNS has supported there;
- collaborated with ASU's university-wide energy initiative, LightWorks, to integrate research on the social and governance challenges of energy systems transitions; and
- collaborated with a number of proposals to NSF (STC, ERC, IGERT and NUE), DOE (ARPA-E and Hub) and NIH emerging from ASU containing programs that CNS pioneered. Funded NSE and emerging technology awards at ASU with CNS-ASU partnerships and activities include over \$33M in awards:
 - <u>Lindsay</u>, NSF NIRT for organic photo-voltaics, \$1.1M, Sep 06 Aug 10;
 - <u>Posner</u>, NSF CBER, Interaction of Engineered Nanomaterials with Artificial Cell Membranes, \$313K, Sep 09 Aug 12;
 - <u>Posner</u>, NSF CBER, Collaborative Research: Rational Design of Enhanced Catalytic Nanomotors, \$600K, Mar 09 Feb 12;
 - Phelan, NSF PSM, Professional Science Master's in Solar Energy Engineering and Commercialization, \$700K, Jul 10 – Jun 13;
 - Honsberg, NSF ERC, Quantum Energy and Sustainable Solar Technologies, \$20M, Aug 11
 Jul 16;
 - <u>Panchanathan</u>, NSF IGERT, Person-Centered Technologies and Practices for Persons with Disabilities, \$3M, Aug 11 Aug 16;
 - Vermass, NSF IGERT, Solar Utilization Network, \$3M, Jun 12 May 17; and
 - <u>Westerhoff</u>, NSF/EPA NCCLCs: Material Life Cycle of Nanomaterials, \$5M, Sept 13-Aug 17.

Additionally, CNS-ASU researchers at both ASU and GA Tech have been involved in their university's respective NNCI proposals: At ASU, Wetmore is co-PI with a societal share of \$400K on a \$7.9M proposal, and at GA Tech, Youtie is senior investigator and SEI coordinator with a societal share of \$419K on a \$9.9M proposal. In addition, CNS-ASU researchers have the following associated or collaborative proposals that incorporate CNS ideas under review or in preparation:

- A \$4M proposal to NSF on infrastructure and hazard mitigation, with <u>Wetmore</u> receiving approximately \$1M as co-PI;
- A \$3M proposal to NSF on citizen-curated virtual museum and responsible innovation, with <u>Guston</u> and <u>Ostman</u> as co-PIs;
- A \$1M proposal to NSF on mobile devices with societal consequences, with Bennett receiving approximately \$100K as senior investigator;
- A \$430K proposal to NSF with <u>Wetmore</u> as PI and <u>Bennett</u> and <u>Ostman</u> as co-PIs to extend collaborations between CNS-ASU and science centers across the country; and
- A \$400K proposal to NSF with Wetmore as PI and <u>Bennett</u> and <u>Ostman</u> as co-PIs to partner with the Oregon Museum of Science and Industry to explore and evaluate a promising method for engaging science and engineering students with the ethical nature of their work.

While **Section 13 Shared and other Experimental Facilities** details the visits and other contributions by more than international scholars and practitioners to the Center from roughly two dozen countries, CNS-ASU scholars have also engaged in substantial international collaborations based on their Center-related work. For example:

• <u>Selin</u> is a senior researcher on a EU 7th Framework funded project led by Strand (Bergen) on "Integrated Assessment of Societal Impacts of Emerging Science and Technology from within Epistemic Networks," to investigate how different methods of analyzing and

assessing new and emerging fields of technology can be better integrated, \$2.1M, Apr 12-Mar 15.

- <u>Guston</u> is a named international associate on a five-year project funded by the Leverhulme Trust led by Nerlich (Nottingham) on "Making Science Public," to investigate how changes in public engagement with science affect the theory and practice of democracy, \$2.84M, May 12-Apr 17.
- Shapira and Youtie are principals with the Innovation Co-Lab a collaboration of researchers at Georgia Institute of Technology, the University of Manchester (UK), and the Beijing Institute of Technology (China) to advance methodologies and analyses to anticipate the trajectories of emerging technologies. The Co-Lab's focal technologies include graphene, other nanotechnologies and advanced green goods. Co-Lab projects are sponsored by the British Council, the UK Economic and Social Science Research Council, and Chinese Ministry of Science and Technology. Georgia Tech CNS-ASU researchers Porter and Rogers and students Arora, Carley, and Li are among those also engaged in the Innovation Co-Lab.
- <u>Shapira</u> was appointed in 2011 to the advisory board of the Foresight Centre, National Research University Higher School of Economics (HSE), Moscow, Russia, which focuses on the analysis of emerging technologies including nanotechnology. The Georgia Tech RTTA1 group is a partner with HSE and the Beijing Institute of Technology in a successful University of Manchester proposal to examine nanotechnology emergence in the rising powers of China and Russia.
- <u>Scheufele</u> is member of the External Advisory Committee for the *Wellcome Trust Monitor*, a national tracking survey conducted by the Wellcome Trust in London, UK. He advises on questionnaire construction, data analysis etc.
- Wetmore was a "Bright Ideas" Visiting Research Fellow in Summer 2011 and in Summer 2012 at the ESRC Genomics Policy & Research Forum, University of Edinburgh, Scotland to continue his collaborations on developing new ways to help scientists and engineers better understand the social implications of their work.
- <u>Fisher</u> serves on the Scientific Advisory Boards for the "Applied Metagenomics of the Watershed Microbiome" project (Tang, PI), funded by Genome Canada, and for the "Exploring Possibilities for Patient Involvement in Translational Medicine" project (Boenink, PI), funded by the Netherlands Genomics Institute and Centre for Translational Molecular Medicine.

The following section briefly summarizes the most significant advances of the Center over the last year in terms of fundamental knowledge and technology (here conceived as applied and/or reflexive knowledge, processes, and capacities, often but not exclusively for internal use).

<u>Fundamental knowledge</u>. Each research program, and most individual research projects, contributed significant advances in fundamental knowledge of the societal aspects of nanotechnology in the last year. This section provides some highlights.

- RTTA 1 Research Program Analysis: Analyzing extensive global databases of Science Citation Index records, other publication databases, and patent databases (MicroPatents, PatStat), CNS-ASU researchers have found:
 - o RTTA 1/1: Nano patents are not more green than other technology categories (Lobo and Strumsky);
 - o RTTA 1/1: China continues to surpass the US in NSE research publications and now surpasses it in citations as well (Lee et al. 2014), although there is evidence of "clubbing" in Chinese citations (Tang, Shapira and Youtie 2015).

- o RTTA 1/2: Numbers of firms involved in NSE publishing and patenting is increasing, as is the share of small- and medium-sized enterprises among this group; the US has by far the largest number of firms, but the European share is half again as large as the US share (Shapira, Youtie and Li 2015).
- RTTA 2 Public Opinion and Values: From large scale public opinion surveys, CNS-ASU researchers have found:
 - o RTTA 2/1: "Spillover" from previous labeling controversies like genetically modified organisms (GMOs) affects the attitudes that people have toward labeling nanotechnology products. Members of the public who pay more attention to ethical, legal and social implications of nanotechnology in the press are more likely to support labeling (Scheufele et al. under review).
- RTTA 3/4 Futurescape City Tours, RTTA 3 researches have found:
 - o That the development and practice of FutureScape City Tours are desirable ends of public engagement and can enable laypersons to contribute productively to the democratization of science and technology (Selin et al. under review).
- RTTA 4/4: Reflexivity and Integration: Through a set of integrative research and educational activities with NSE researchers, CNS-ASU researchers have:
 - With others from the Communities of Integration project and workshops, developed a "comparative integration" framework that accommodates the variety of socio-technical integration projects as either "reformative," "problematizing," "facilitating," or "augmenting" (Fisher et al. 2015).
- TRC 1: Through field work in South Africa, combined with bibliometric and patent analysis and other documentary research, research on Equity, Equality and Responsibility has found:
 - o The pro-poor promise of a number of nanotechnologies is not playing out well in actual nanotechnology research agendas (various publications).
- TRC 2: Working in cross-disciplinary and intervention-oriented fashion, TRC 2 researchers have found:
 - o That the concept of anticipation may productively be applied to life cycle assessment in order to focus strategic attention and research into more specific and responsible approaches to developing emerging technologies (Wender et al. 2014 and other publications).
 - o Through the creation of new evaluation measures, that CNS interventions such as the Science Outside the Laboratory program and the Community Engagement Workshops conducted by TRC 1 are accomplishing their goals of changing the way that their participants think about science, technology, governance, and publics (Bernstein et al. 2015).

<u>Technology (in this case, mostly applied and/or reflexive knowledge, processes, methods and capacities; often these are developed in one part of CNS-ASU and used in another, thus forming the intellectual core of "ensemble-ization")</u>.

- RTTA 1 Research and Innovation System Analysis:
 - o RTTA 1 researchers have updated their corporate panel (Li, Youtie and Shapira 2015), refined their methodology for visualizing patent data (Kay et al. 2014), and scaled up their ability to perform "webscraping" (Arora et al. 2015)..
 - o They have conducted several targeted bibliometric studies supporting ongoing CNS-ASU work, shared data sets and search strategies with CNS-UCSB, etc.
- RTTA 2 Public Opinion and Values:
 - o The RTTA 2/1 researchers are coordinating data collections with related efforts at Wisconsin, Singapore, Rutgers, Universität Hamburg, and elsewhere to build

comparable data sets that will inform policy making and outreach efforts. Because RTTA 2/1 has played a prominent role in sharing these innovations with other scholars, the leaders of the POV team serve as consultants or co-PIs on other related NSF and USDA grants. This methodological outreach is being formalized by RTTA 2/1 researchers through the formal archiving and sharing of data collection instruments.

- RTTA 3 Anticipation and Deliberation:
 - o The development of the Futurescape City Tours (FCT) marks an innovation in public engagement methods that give priority to enabling citizen-set agendas, use multi-media tools to support 'material deliberation', and propose capacity-building as a worthwhile outcome. In the reporting year, FCT researchers developed a guidebook and website to help other prospective users design their own tours.
- RTTA 4 Reflexivity and Integration:
 - The STIR protocol continues to be an important technology in circulation as additional STIR studies are beginning or are planned by international collaborators.
- TRC 1 Equity, Equality and Responsibility
 - o To help engineers and scientists begin to recognize the need to listen and develop the skills necessary to engage in community development, TRC 1 has conducted and (positively) evaluated several workshops with transferable modules.
- TRC 2 Urban Design, Materials and the Built Environment
 - Continued work to develop the Nanotechnology in City Environments (NICE) database, which has drawn 1200 hits per month. Visits track from 1,000 different cities globally, helping to diffuse information on nanotechnology applications in urban environments.

Education and Training:

- At the post-doctoral and junior researcher level, CNS-ASU continues to train high-quality junior researchers and place them into important positions. Most recently, former graduate student and post-doctoral fellow Rider Foley began a tenure-track position at University of Virginia and post-doc Kiera Reifschneider began a professional position at the Government Accountability Office; post-doc Megan Halpern has been offered a tenure-track position at Michigan State University.
- At the graduate level, CNS-ASU has involved more than two dozen graduate students (funded, unfunded, and visiting) in its YR 10 research activities, not including another approximately 20 STIR students. The Center held its third Winter School in early 2015. We are collaborating to teach students at ASU's Professional Science Master's Program in Nanoscience, Professional Science Master's Program in Solar Energy, and in the Biological Design PhD program, and we continued other courses at the graduate level. The Center continues to play an integral role in the Human and Social Dimensions of Science and Technology doctoral program and the Professional Science Master's degree program in Science and Technology Policy, both coordinated by Center senior personnel Miller at ASU. CNS-ASU graduate students completing their doctoral dissertations have been placed in a tenure-track positions at SUNY Stony Brook (Thomas Woodson) and NTU-Singapore (Yu Meng), in a research/research administration position at UC-Berkeley (Gretchen Gano), and in a professional position in IISC-Atlanta (Stephen Carley). Achievements by current graduate students include winning the "best student poster – social sciences" at the AAAS annual meeting (Michael Bernstein) and winning the Miss Phoenix competition (Kaitlin Vortherms).
- At the undergraduate level, CNS-ASU continues to teach classes influenced by the Center, including "Introduction to Science and Technology Policy" which was also turned into an online course at ASU. Even though CNS did not sponsor any InnovationSpace teams in the

current year, CNS personnel continued to make contributions in the cross-training of business, design, and engineering students. The associated NUE award, "Nano Ethics at Play," created a set of workshops and a new undergraduate course that use Lego Serious Play to help teach more abstract concepts in the societal and environmental aspects of nanotechnology.

- In informal science education, CNS-ASU deepened its strategic and highly generative partnership with NISE Net, not only participating in NanoDays in Mar 15 but more importantly publishing with NISE Net a training guide for museum personnel to help them manage discussions about societal issues.
- In training for scientists and engineers, CNS-ASU continues its improved relationship with NNIN through the local node at ASU, providing both required social and ethical implications training and an informal science communication program to NNIN users and extending the model of training to the new NNCI proposal.

<u>Industrial collaborations</u>. The most significant private-sector collaborations that CNS-ASU participated in over the past year are:

- Publication of the first three issues (complete volume 1) of the *Journal of Responsible Innovation*, with Taylor & Francis;
- RTTA 4 continued a dialogue with the Association of Innovation Managers around responsible innovation;
- TRC 2 involved private sector partners in the highly collaborative aLCA article published in *Environmental Science & Technology* (Wender et al. 2014) and discussed the concept with, among other private sector actors, the local research offices of Intel.

The following section briefly describes the current and potential impacts of CNS-ASU on teaching, training, and learning; outreach to pre-college institutions; broadening the participation of underrepresented groups; enhancement of infrastructure of research and education; dissemination to scientific and technological communities; and benefits to society.

Teaching, training and learning. At any given time, CNS-ASU, across its three constituent universities, is training in various capacities approximately one-half dozen junior research faculty and post-doctoral fellows, two dozen graduate students, and one dozen undergraduate students in the societal aspects of nanotechnology. At the constituent universities, most of this training consists of working on CNS-related research projects under the subcontracts to those universities. In each location, but at Wisconsin in particular, the community of trainees is larger than that of funded student researchers because the data developed by the Center are too extensive to be analyzed entirely within the budget. At Wisconsin and ASU, CNS-related research is being incorporated into numerous classroom modules and activities. At ASU, CNS has engaged in extensive training and curriculum development and innovation. In this reporting year, CNS-ASU has continued to influence undergraduate courses in disciplinary areas, expanded its graduate training with new coursework and research opportunities for both social scientists and NSE students, and collaborated with NISE Net to expand the inclusion nano-in-society ideas in informal science education. Last year's graduate studio co-led by Wiek and Petrucci created a video depicting the future urban form of Phoenix enabled by nanotechnology. The video and related images continue to have life beyond the course, including in publications from the Center, press about the Center, and other ASU courses.

<u>Outreach to pre-college institutions</u>. CNS-ASU has arranged for continuing education credit for in-service teachers for attending its Science Cafes, although with changes in state requirements this method of fulfilling continuing education credits is less attractive than it had been. In

previous years we have reported on the development and teaching of what we believe to be the nation's only graduate-level course for in-service high school teachers in nanotechnology and society, and on our inability to find an appropriate financial model for attracting enrollment to the course. We previously modified the course for inclusion in the PSM in Nanoscience degree program, and we have taught it again the current year. The *Encyclopedia of Nanoscience and Society*, published in YR 6, has high school and college libraries as its target market. We are also orienting our interactions with NISE Net to help develop materials for the in-service teachers with whom science museums work. In conjunction with ECAST, CNS-ASU has developed a model for deliberative engagement with high school students over issues in science and emerging technologies. Three (on geoengineering, synthetic biology, and biodiversity) were conducted in prior years. At ASU, we continue our deepening relationship with Phoenix Bioscience High School. In the reporting year, we involved the school in the Futurescape City Tours and screened and discussed the video developed by the design studio about a nanoenabled Phoenix in 2050.

Broadening participation of under-represented groups. CNS-ASU, including its constituent universities, has developed a strong record of including women in key research and leadership positions and recruiting members of under-represented groups into graduate and undergraduate research positions. In most measurement categories, CNS-ASU equals or exceeds national averages. In previous years, we have focused attention on disability communities as an under-represented population through the activities of TRC 1 Equity and Responsibility and (former) TRC 2 Human Identity, Enhancement, and Biology. In a previous year, we replaced the symposium for under-represented students with a training activity more akin to the DC Summer Session and other training activities that CNS-ASU has made successful, but targeted for under-represented students in partnership with the Hispanic Research Center. Held for the first time in Sp 09 for two dozen graduate students from under-represented communities, the seven-week course was quite successful. We repeated it in Fall 11 and hoped to do more, but HRC lost the relevant funding stream. In the reporting year, the Center received a significant supplement to do even better in including individuals from under-represented groups and expanding their participation in STS and science policy more generally. To implement the supplement, the Center held a workshop with mentors from about ten collaborating institutions in conjunction with our Winter School, and with them we are in the midst of recruiting undergraduate students for the first of two planned DC workshops that we hope will provide these students with a more concrete understanding of STS and science policy and research opportunities in these fields, and thus better establish them on a trajectory toward graduate school.

Enhancement of infrastructure for research and education. CNS-ASU maintains a web site (http://cns.asu.edu) that provides information about its research, education and outreach programs to a general audience. It was redesigned last year and we continue to tweak it. CNS-ASU has most of its monthly seminars and occasional speakers' presentations available on the web site in audio, video, and PPT versions – including new video formats on YouTube, and the re-designed site will emphasize access to video and other resources. The website connections to several associated projects in-depth, including:

- The Plausibility Project site (http://www.cspo.org/projects/plausibility/), which has detailed information, references, and papers about the project;
- The STIR project website (http://cns.asu.edu/stir/) and Facebook site, which provides general information about the project and a password protected site for collaborative work among the far-flung international STIR network;

- The Virtual Institute for Responsible Innovation (http://cns.asu.edu/viri), which has a site publishing news and linking to a listserv established to link scholars and others with an interest in responsible innovation;
- The Futurescape City Tours site (http://futurescapecitytours.org), which has an electronic version of the FCT guidebook and a short video to provide background instruction for anyone hoping to conduct their own tours;
- The Synthetic Biology workshop site (http://cns.asu.edu/synbio), which maintains a record of the Workshop on Research Agendas for Societal Aspects of Synthetic Biology, including images, video and background papers; and
- The Policy, Science, Technology and Society (POSTS) Scholars program site (https://cns.asu.edu/diversity), which supports the program to increase diversity in STS and science policy fields.

CNS-ASU has been crucial in the creation and maintenance of the Society for the Study of Nanoscience and Emerging Technologies (S.NET; <u>Guston</u> was a founding member of the board, a member of the first and second program committees, and a co-chair of its third program committee). It co-hosted, with CNS-UCSB, the third annual meeting of S.NET in Nov 11, with more than 200 attendees from more than 20 countries. CNS-ASU co-sponsored, with NNIN, NISE Net and other ASU projects, the first Congress on Teaching the Social and Ethical Implications of Research, with more than 100 participants. CNS-ASU has also created a number of research tools and instruments, e.g., the searchable definition of nanotechnology and the databases derived with it, survey protocols and opinion data, and the NCTF reports, internet transcripts and video data that have been sought by and provided to other scholars. CNS-ASU has also received 110 international visiting students, scholars and practitioners seeking a vibrant intellectual community and training in the Center's methods.

Dissemination to scientific and technological communities. CNS-ASU has engaged in extensive dissemination activities, both to its social science and humanities colleagues, but also to the community of NSE researchers with whom it interacts. Roughly 20% of its published, forthcoming or under review journal articles appear in journals like *Nature Nanotechnology*, *Journal of NanoParticle Research*, *Journal of Nanoscience and Nanotechnology*, *EMBO Reports*, and others that are oriented toward science and engineering researchers. We have also published in trade and professional journals that target scientists, e.g., *Materials Today* and *Nano Today*, and in addition to having published commentaries and letters in both *Science* and *Nature*, we have published research in *Proceedings of the National Academy of Sciences*. CNS-ASU researchers have given nearly 870 presentations, roughly 60% of which were delivered to their social science colleagues and roughly one-third of the remainder to targeted audiences of scientists and engineers. Our dissemination activities have also included supported and unsupported invitations to our All Hands meeting, extended to roughly 10 individuals, including students, each year, and the workshops we conducted in YR 10. Dissemination to colleagues also includes the Winter School.

<u>Benefits to society</u>. In its Jul 07 memorandum, NSF describes a set of questions (sub-criteria) related to its broader impacts criterion. Here we articulate the contributions of CNS-ASU for each of these sub-criteria:

• "How well does the activity advance discovery and understanding while promoting teaching, training, and learning?" The integration of research, education, and outreach is a particular focus and strength of CNS-ASU, and many of its programs are designed toward this goal from the outset.

- CNS-ASU has teaching, training, and learning projects at all levels from the precollege education to post-doctoral training, as well as informal science education projects and training for scientists and engineers.
- o Most of these teaching, training, and learning projects integrate research, education, and outreach, e.g.:
 - Students and trainees participate in the NISE Net-sponsored NanoDays by staffing a booth of nano-demonstrations at a local arts festival;
 - Undergraduate research, e.g., as represented in the third *Yearbook*, is well-integrated with research programs;
 - Graduate course development, e.g., the design studio conducted in Sp 13 is driven by research interests and outreach opportunities;
 - Research frames are brought to bear on high school engagement programs in geoengineering, synthetic biology, and biodiversity;
 - CNS-ASU research activities become case studies for concurrent educational activities, e.g., integrating nanotechnology cases into the units of "Introduction to Science and Technology Policy;" and
 - CNS perspectives are incorporated into interdisciplinary graduate training through the participation of Miller and Guston in IGERT programs.
- o CNS-ASU partnerships with NSE researchers have enriched its Science Cafes, which local teachers have used for credit;
- CNS-ASU trains a small number of CNS-Biodesign Fellows, CNS-FSE Fellows, and other PhD+ students to conduct societal implications research or perform outreach projects around their NSE research, and this program is expanding to GA Tech;
- o Student authors are included on a large plurality of CNS-ASU manuscripts;
- o Students are first or sole-author on roughly one in six CNS-ASU presentations, and they have presented their CNS-related work in a variety of venues;
- o CNS-ASU has created and will continue to develop a section of its website to serve as a clearinghouse for nano-in-society curricular activities.
- "How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?" CNS-ASU has established a strong record for the participation of women and underrepresented groups. For the Center, however, diversity is not just a matter of inclusion of a diverse research population but making aspects of diversity explicit parts of the research agenda.
 - CNS-ASU fosters research topics that explicitly address issues of underrepresented groups, e.g.:
 - RTTA 1/1 Innovations Systems Assessment has investigated female involvement in nanotechnology patenting;
 - (former) RTTA 1/2 Public Value Mapping included attention to the differential impacts of minority participation in clinical trials for potential nano-therapeutics; and
 - An entire research program area on Equity, Equality and Responsibility (TRC 1), which in part addresses ethnic and geographic issues in the distribution of benefits and risks from nanotechnologies; and
 - o CNS-ASU collaborates with the Hispanic Research Center on science policy training for its two dozen graduate-level fellows from underrepresented groups;
 - Through associate director <u>Miller</u>, CNS-ASU is collaborating on an IGERT award to ASU's Panchanathan on "Person-centered Technologies and Practices for Persons with Disabilities:" and
 - CNS has designed its new POSTS Scholars program to attract and retain undergraduates from under-represented groups into STS and science policy fields.

- "To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?" CNS-ASU envisions itself as a national and international leader in promoting research, education, and outreach in nanoin-society topics and in integrating those topics into NSE research and education settings.
 - CNS-ASU exists as the largest node of the NSF-instigated nano-in-society network and has taken leadership in the generation of the following networks and collaborations (outside ASU):
 - CNS-ASU has hosted approximately 110 international visitors, from 26 different countries;
 - CNS-ASU has become a "core partner" in NISE Net, recognizing the extent and depth of collaborations centered on enhancing informal science education with expertise from the societal aspects of NSE;
 - The Center conducted its third Winter School on the Anticipatory Governance of Emerging Technologies, which involved more than one dozen junior scholars;
 - The associated STIR project leads an expanding international network of graduate students and laboratories; and
 - The associated VIRI has expanded its participation to 18 centers of excellence in thirteen countries, including some smaller counties and those closer to the periphery, e.g., Hungary and Israel.
 - Within ASU, CNS-ASU is a hub for transdisciplinary research and teaching, with specific activities including:
 - CNS curricular offerings currently enhance graduate education in the Biodesign Institute, the Ira A. Fulton Schools of Engineering, the Department of Physics and the Department of Chemistry and Biochemistry;
 - CNS supports InnovationSpace, which bridges the schools of design, engineering, and business;
 - CNS graduate coursework helps link the Schools of Politics and Global Studies, Human Evolution and Social Change, Life Sciences, and the Human and Social Dimensions of Science and Technology doctoral program;
 - CNS has led the creation of a new graduate certificate in responsible research and innovation; and
 - CNS collaborative research and teaching activities are included on virtually every large NSF proposal (IGERT, ERC, SRN, STC) submitted by ASU.
 - CNS-ASU partners with community organizations in previous years the Arizona Science Center and in the recent year the Tempe Center for the Arts for the production of monthly Science Cafes during the academic year;
- "Will results be disseminated broadly to enhance scientific and technological understanding?"
 CNS-ASU aims to reach a variety of audiences scholarly, professional, and public with its research, education, and outreach activities.
 - o CNS-ASU's e-mail distribution list reaches roughly 1400 individuals;
 - o CNS-ASU researchers have given nearly 850 talks across all audiences since the inception of the Center;
 - CNS-ASU targets networks and user facilities for the distribution of nano-in-society training material, e.g.: NISE Net has disseminated CNS-ASU products to approximately 300 museums and other participants in NanoDays;
 - o CNS-ASU has a contract with Springer to produce the first five volumes of the *Yearkbook of Nanotechnology in Society* (Guston, series editor), the first three of which are published, and the fourth of which is significantly in preparation;

- CNS-ASU Director <u>Guston</u> has published the two-volume <u>Encyclopedia of</u> *Nanoscience and Society* (Sage, 2010) that transmits detailed concepts in nano-in-society to high school and college students;
- "What may be the concrete and demonstrable benefits of the proposed activity to society?" The concept of anticipatory governance comprising foresight, engagement, and integration provides the intellectual framework for the broader benefits to society that CNS-ASU seeks to generate.
 - o Foresight activities create an opportunity for diverse publics to encounter, explore, and evaluate nanotechnologies prior to their actual emergence;
 - Engagement activities, including the small-scale intensive Science Cafes as well as informal science education activities informed by CNS perspectives and the largerscale piloted Futurescape City Tours, create more informed citizens on important topics in nano-in-society;
 - Interaction with NSE researchers, including courses, training activities, workshops, laboratory collaborations, and interventions results in identifiable changes in knowledge, identity, and practice in the laboratory;
 - CNS-ASU has had important informational and educational exchanges with decision makers, including:
 - Youtie and Shapira's provision of data to the Office of Science and Technology Policy, for the regular strategic review that the President's Council of Advisors for Science and Technology prepares on the National Nanotechnology Initiative;
 - The Center's collaboration with the CSPO office in Washington, DC on the "New Tools for Science Policy" series, which hosted CNS scholar de Ridder Vignone in conversation with 30-40 science policy makers in the reporting year.
 - Scheufele's ongoing participation in the Sackler Colloquium at the National Academy of Sciences on "the science of science communication."
 - TRC 2's interaction with the Institute for Technology Assessment and Systems Analysis (ITAS), the chief technology assessment agency in Germany.

The Center for Nanotechnology in Society

Monitoring patents and research citations enables analysts to determine the growth and direction of emerging technologies. However, because these counts, as well as the connections between them, can run in the millions and come from a myriad of sources, compiling comprehensive and digestible data presents challenges.

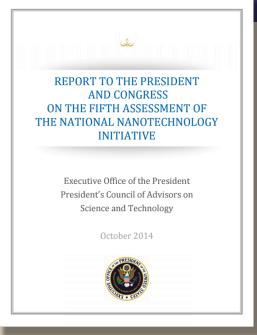
To help overcome these challenges, **Dr. Jan Youtie, Dr. Philip Shapira**, and their
Georgia Tech colleagues have developed
research tools to better mine, compile, and
present large sets of data and its
interconnections to reveal innovation trends
and pathways.

This past year, Youtie and colleagues used two of these tools—a two-stage bibliometric search method and a patent-mapping system—to create global datasets of nanotechnology research citations and patent documents covering 1990-2014.

More than 300 citations worldwide refer to the new tools, and the group's analyses of nanotechnology research and innovation have been used by several leading policy organizations, including the President's Council of Advisors on Science and Technology (PCAST) and the Organisation for Economic Co-operation and Development (OECD).

New Tools Reveal Worldwide Nanotechnology Development Trends

Youtie, Shapira, and colleagues used the new search method to provide an updated analysis of country-level comparisons of nanotechnology publications for 2011-2013 for the PCAST October 2014 report covering the fifth assessment of the National Nanotechnology Initiative. The analysis revealed that China continues to surpass the US in total number of nanotechnology research publications, as well as in citations of their papers. Further analysis revealed, however, that top Chinese scholars' are more likely to cite each other, while top US scholars are not, providing a possible explanation for the increased Chinese citations.





Dr. Jan Youtie co-leads the Real-Time Technology Assessment (RTTA 1) at CNS-ASU that focuses on the scope of the Nanoscale Science and Engineering (NSE) enterprise and its effects on public values and outcomes.

Jan Youtie | Georgia Institute of Technology
Director, Enterprise Innovation Institute
Adjunct, School of Public Policy
Director, Program in Science, Technology, and Innovation Policy





Because public attitudes towards emerging technologies are often influenced by ethical, moral, religious, and political predispositions, providing more scientific information and understanding about a new technology doesn't equate to clarity, support, or agreement among the informed.

Yet scientists often continue to operate from the assumption that more facts and information will result in increased support for emerging science and to approach public engagement efforts from this knowledge deficit model.

To better understand influences on public attitudes toward emerging sciences, in 2014, Scheufele and Corley, along with researchers at the University of Wisconsin, surveyed 808 US adults about their awareness, knowledge, and attitudes related to synthetic biology. Subsequent research is analyzing the interplay of influential factors.

Improving Understanding of Public Attitudes Toward Emerging Technologies

Scheufele and colleagues in the Department of Life Sciences Communication at Wisconsin have partnered with the Morgridge Institute for Research to develop programs to measure the outcomes of different outreach activities and the effectiveness of different messaging techniques with public visitors to the university's Discovery Building. They will also design and test new strategies for engaging the public on controversial emerging technologies.



The Discovery Building attracts 30,000 visitors each year.



In 2014, Dr. Dietram Scheufele was admitted to the German Academy of Science and Engineering. He will be formally inducted in fall 2015. Along with **Dr. Elizabeth Corley** at ASU, he leads the Real-Time Technology Assessment (RTTA 2) research thrust at CNS-ASU that explores the understanding of nanotechnology among the general public and the role of the media in reflecting and influencing that understanding.

NATIONAL ACADEMY OF SCIENCE AND ENGINEERING | TECHNIKWISSENSCHAFTEN

DEUTSCHE AKADEMIE DER

Dietram A. Scheufele | University of Wisconsin-Madison John E. Ross Professor of Science Communication, Co-Principal Investigator, CNS-ASU Elizabeth A. Corley | Arizona State University Associate Professor in the School of Public Affairs, Co-Principal Investigator, CNS-ASU



Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591



RTTA 3 problematizes conventional deliberative approaches to anticipation that naively attempt to predict technological outcomes. RTTA 3 research instead pursues anticipatory governance by honing in on methods that focus on plausibility rather than probability (Selin 2011; Ramirez & Selin 2014) and incorporate a more nuanced understanding of the social dimensions of technical change. The new futureoriented methods invented are geared towards building the capacity of lay people, scientists, and engineers, and civic stakeholders to approach the intersections between science. technology, and society with greater reflexivity, foresight and systemic thinking.

RTTA 3 leader **Cynthia Selin** and colleagues have especially worked to develop alternative experiential and digital methods of engagement that incorporate more affective, visual, imaginative, and sensorial modes of anticipation into deliberation.

Designing for Reflexive Foresight

In 2013, the Futurescape City Tours, a novel approach to public engagement with emerging technologies, launched in six cities in North America. The process involved a walking tour with behind-the-scenes expeditions, photography, guided deliberation, and dialogue with city planners, researchers, policymakers, and civic leaders. In 2014, the team developed a guide, website, and video for city planners, researchers, and the public.



www.futurescapecitytours.org

In 2012, Selin co-founded the ASU *Emerge* event, which brought together artists, scientists, engineers, students, and educators to reimagine the future through design fictions, serious games,



artistic monumental-scale sculptures, science fiction stories, and provocative films.

The journal *Futures* devoted a special issue to this large-scale experiment of future-oriented deliberation (in press).



Dr. Selin leads CNS-ASU's Real-Time Technology Assessment (RTTA 3) exploring plausible futures and elucidating public preferences about the future.

Cynthia Selin | Arizona State University
Assistant Professor, School of Sustainability
Senior Sustainability Scientist, Global Institute of Sustainability



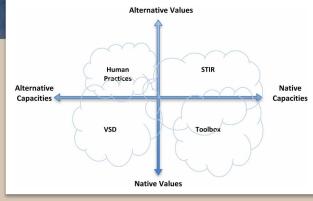
For the past five years, RTTA 4 leader Erik Fisher has led the Socio-Technical Integration Research (STIR) Project (NSF #0849101), which, in an effort to encourage technical experts to address the societal implications of their work, has embedded humanists into 28 nanotechnology and other laboratories worldwide.

But how do socio-technical integration methods differ, and why choose one integration approach over another? To help answer these questions, Fisher helped form the Communities of Integration Network (COIN), which held its second workshop at the University of Waterloo in June 2014.

One of the outputs of the workshops is a JRI article that establishes a framework to compare integration methods with respect to desired outcomes. While integration generally seeks to address limitations of technical expertise, choosing an integration method depends on the specific nature of that limitation and its potential remedy.

To What End? Characterizing **Socio-Technical Integration Practices**

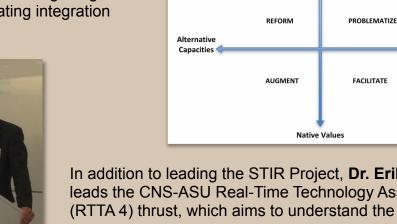
All integration methods seek to alter or disrupt scientific practices, but different methods result in varying levels of either introducing new values and expertise, or reinforcing existing ones. Which integration approach is appropriate for a given situation depends on whether the desired outcome is to problematize, reform, augment, or facilitate existing practices. The new framework can serve as a guide when choosing integration methods or evaluating integration outcomes.



Alternative Values

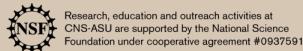
Native

Capacities



In addition to leading the STIR Project, Dr. Erik Fisher leads the CNS-ASU Real-Time Technology Assessment (RTTA 4) thrust, which aims to understand the dynamics of nanoscale science and engineering (NSE) laboratories through ethnographic and other methods.







Engineers and scientists often want to use technology to improve living conditions in poor and developing communities.
Unfortunately, while their intentions are good, the outcomes of their efforts often aren't. Solutions frequently fail, sometimes leaving communities worse off than before. The problem, however, often has little to do with technology but rather comes down to a lack of communication, empathy, and cultural understanding.

To address this disconnect, CNS-ASU developed and hosted two-day Community Engagement Workshops in four cities to help scientists and engineers understand the limits of their knowledge and the value of local expertise. Workshop organizers, together with local experts, community organizers, and nonprofits, used hands-on lessons to help participants 1) improve their listening and empathy skills; 2) recognize how to "de-center" technology as a solution; and 3) learn to partner with local people and organizations to increase their chances of having a sustained positive result in the communities they aim to help.

Improving Community Development Project Outcomes

"Nano Around the World," a card game developed by CNS and the Nanoscale Informal Science Education Network (NISE Net), is one of the hands-on activities that help participants understand how to assess the needs, values, goals, and aspirations of a community and anticipate the impact of technologies on it.





Four Community Engagement Workshops were held over the past year in 2014:

- March 20-21: Georgia Tech University
- April 8-11: University of the Western Cape, South Africa
- Oct. 3-4: Concordia University, Montreal
- Nov. 21-22: Arizona State University

Dr. Jameson Wetmore works on understanding how people design technological systems and how those systems reinforce specific values. Along with **Dr. Susan Cozzens** at Georgia Tech, he co-leads Thematic Research Cluster (TRC 1) at CNS-ASU focused on equity and equality of nanotechnologies.



Associate Professor, School for Human Evolution and Social Change Senior Sustainability Scientist, Global Institute of Sustainability



Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591 Traditionally, Life Cycle Assessment (LCA) has been applied to products and technologies after they've been developed and deployed and hard data can be gathered on environmental impacts. By then, however, significant irreversible harm may have already occurred.

To address this problem, CNS-ASU, teamed up with the ASU QESST Engineering Research Center to develop an anticipatory LCA approach and apply it to research on photovoltaic cells, the technology used in solar panels.

The approach embraces conflicting data and uses probability and modeling to analyze multiple uncertain parameters and generate best- and worst-case scenarios to identify an environmentally promising research agenda.

The collaboration and new approach landed CNS Fellow Ben Wender and co-authors top billing in the September 16, 2014, issue of Environmental Science and Technology (ES&T), a peer-reviewed journal published by the American Chemical Society.

Anticipating Environmental Impacts of Solar Technologies



Photo by ASU Quantum Energy and Sustainable Solar Technologies Engineering Research Center

The ES&T cover uses an image from the PHX 2050 video, the product of a unique collaboration led by Dr. Arnim Wiek (TRC 2) and Darren Petrucci, ASU's Design School. The video shares two scenarios of how Phoenix's future might play out. That work was featured in Issues in Science and Technology.



Photo by ASU Design School

Fifteen authors representing industry, government, engineering, risk assessment, and social science collaborated to develop and test the new anticipatory LCA approach.

Wender, B., Foley, R., Prado-Lopez, V., Ravikumar, D., Eisenburg, D., Hottle, T., Sadowski, J., Flanagan, W., Fisher, A., Laurin, L., Bates, M., Linkov, I., Seager, T., Fraser, P., & Guston, D. (2014). Illustrating Anticipatory Life Cycle Assessment for Emerging Photovoltaic Technologies. *Environmental Science & Technology*, 48(18), 10531-10538.

Dr. Arnim Wiek and his team conduct research in the Thematic Research Cluster (TRC 2) on Urban Design, Materials and the Built Environment. The group addresses the question: How can nanotechnology be innovated and governed in responsible ways and with sustainable outcomes? They employ system analysis, scenario construction, assessment and intervention research methods to explore theories of anticiρ atory governance, sustainability and responsible innovation.





Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591

The Center for Nanotechnology in Society ARIZONA STATE UNIVERSITY

Improving the social and emotional intelligence of scientists and engineers can help them connect with a broader public about their research, as well as anticipate its long-term societal outcomes, two goals of CNS.

To that end, CNS provides financial support to graduate student Kaitlin Vortherms, who is exploring the potential of alternative education interventions, such as Lego Serious Play, improvisation training, and travel, to increase engineering students' capacity for empathy.

Vortherms helped develop and launch a Nano Ethics At Play (NEAP) course, a series of four three-hour classes where students use Legos to facilitate discussion of ethical, environmental, social, and economical implications of emerging nanotechnologies. She will conduct follow-up research to assess whether participants' empathetic capacity is improved.

Increasing Empathic Capacity in

Engineering Students

Vortherms, who was crowned Miss Phoenix 2015, feels her pageant experience has helped her learn how to better connect with people and society, skills she believes are necessary for successful engineering, particularly when engineers are working in cultures and communities very different from their own.

She adopted STEM education reform as her pageant platform and continues to engage with a broad audience about STEM through social media and at local events. For example, she hosted an event for parents, educators, and children to explore how the popular Minecraft video game can be used to benefit STEM education and is working with Microsoft to develop a series of related educator workshops.





CNS Fellow **Kaitlin Vortherms** is a graduate student in ASU's School of Sustainable Engineering. She has collaborated with **Dr. Ira Bennett** and **Dr. Jameson Wetmore**, who lead the CNS-ASU education and outreach efforts.



Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591

The Center for Nanotechnology in Society ARIZONA STATE UNIVERSITY

Together with the NSF-funded Nanoscale Informal Science Education Network (NISE Net, NSF#0940143), CNS-ASU's Education and Outreach has worked to integrate the societal implications of nanotechnology into science museum content.

Each year CNS and NISE Net members participate in NanoDays, a festival of educational programs about nanotechnology and its potential implications for the future. NanoDays takes place across 250 US science museums, research centers, and universities.

This past year, Jameson Wetmore had the opportunity to expand NanoDays to the Cape Town, South Africa. Wetmore, along with colleague Matthew Harsh of Concordia University, trained eight PhD students studying nanotechnology at the University of the Western Cape on how to demo NanoDays kits, hands-on activities that illustrate various nanotechnology concepts.

Taking NanoDays to South Africa

Arcelor-Mittal Science Centre staff, who take demonstrations and experiments to Northern and Western Cape village schools that lack robust science materials and curriculum, also participated in the training.





After the training, the PhD students and museum staff spent two days introducing nanotechnology and its potential societal implications to students, teachers, and parents, at the Cape Town Science Centre. The NanoDays kits were donated to the the PhD students following the event.

Dr. Jameson Wetmore is Associate Professor at ASU. **Dr. Ira Bennett** is an Assistant Research Professor and Assistant Director for Education at CNS-ASU and the Consortium for Science, Policy and Outcomes (CSPO). They have been advising and collaborating with science museums and NISE Net on nanotechnology and society issues for several years.



Assistant Research Professor, CNS-ASU and CSPO

Jameson Wetmore | Arizona State University

Associate Professor, School for Human Evolution and Social Change



Synthetic biology researchers are working to develop renewable biofuels, more efficient crops, environmentally friendly chemical production, and cutting-edge disease diagnostics and therapeutics. Yet along with all the promise of synthetic biology to transform society for the better, there are also societal concerns related to ethics, unintended consequences, governance, and research funding.

Building on CNS's success in developing research, collaboration, and methods to promote responsible innovation, CNS director David Guston, together with Richard Murray of Caltech and ASU colleague Jenny Dyck Brian, hosted an NSF-sponsored workshop to develop research agendas for the societal aspects of synthetic biology. A key conclusion of participants was the desire to co-construct synthetic biology, with power distributed equally between the social sciences/humanists and the natural sciences/engineering.

Applying CNS-ASU Lessons Learned to Synthetic Biology

Held 4 – 6 November 2014 in Tempe, AZ, the workshop brought together more than 100 participants

WORKSHOP ON RESEARCH AGENDAS
IN THE SOCIETAL ASPECTS
OF SYNTHETIC BIOLOGY

from the US, Canada, and Europe representing academia, industry, government, and non-profits to generate and articulate a set of research questions. A detailed public website with videos of workshop presenters, interviews with participants, background papers, posters, and short reflections and commentaries on the

workshop is available at www.cns.asu/synbio.



In February 2015, the *Journal of Responsible Innovation*, founded by David Guston in 2014, published a special section featuring 17 "Perspectives" pieces on responsible research and innovation in synthetic biology derived from two-page background papers submitted by participants prior to the workshop.

Dr. David Guston, director of the Center for Nanotechnology in Society at ASU, is widely published and cited on research and development policy, technology assessment, public participation in science and technology, and the politics of science policy.

David Guston | Arizona State University
Professor, School of Politics and Global Studies

Director, The Center for Nanotechnology in Society (CNS-ASU) Co-Director, Consortium for Science, Policy and Outcomes (CSPO)





Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591

While many career opportunities exist at the intersection of science and society, undergraduates may not know about them, especially if they are first-generation college students. To help increase participation by underrepresented minorities in science policy and science and technology studies (STS) fields, NSF awarded a supplemental grant to CNS-ASU in 2014 to develop a program to give a select group of undergraduate students a better understanding of the careers available and the educational paths to those careers.

The program will create a cohort of 24 students—the Policy, Science, Technology & Society (POSTS) Scholars—from 12 universities across the US. Targeting sophomores and juniors who have already shown an interest in STS and science policy fields, the program includes mentorship and guidance from an STS or science policy faculty member, a personalized research experience, and two summer workshops in Washington, DC, to introduce students to the complexity of the science policy process.

Increasing Diversity in Fields Where Science and Society Intersect

Program to

INCREASE DIVERSITY in SCIENCE & TECHNOLOGY STUDIES and

SCIENCE POLICY fields

The first round of applications closed on March 30, 2015. More information about the program is available at cns.asu.edu/diversity



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Participating faculty from the 12 universities met up in Arizona for a two-day mentoring training in January 2015.

Dr. Ira Bennett, the assistant director of education for CNS-ASU, for 10 years has led the Consortium for Science, Policy and Outcomes (CSPO) Science Outside the Lab program, a DC-based workshop that introduces graduate engineering and science students to the science policy process and players. Together with **Dr. Jameson Wetmore**, he leads CNS-ASU education and outreach.



Ira Bennett | Arizona State University
Assistant Research Professor, CNS-ASU and CSPO
Jameson Wetmore | Arizona State University



Research, education and outreach activities at CNS-ASU are supported by the National Science Foundation under cooperative agreement #0937591

8. Strategic Research Plan – CNS-ASU beyond NSF Funding

The long-term research goals of CNS-ASU are to demonstrate and refine the ability to perform RTTA and, in doing so, cultivate reflexivity and build the capacity for anticipatory governance in the NSE enterprise broadly conceived. By "reflexivity" we mean a capacity for social learning – by individuals, groups, institutions, and publics – in the NSE enterprise narrowly and society more broadly that expands the domain of and informs the available choices in decision making about nanotechnologies. By "anticipatory governance" we mean a broad-based capacity that extends through-out society that can collect, analyze, synthesize and interpret a wide range of information to manage emerging knowledge-based technologies while such management is still possible (Barben et al. 2008; Guston 2008; Karinen and Guston 2010; Guston 2010; Sarewitz 2011; Guston 2014).

In the ten years of the Center – as documented elsewhere in this report – we have demonstrated the ability to perform RTTA and to build the capacities of foresight, engagement and integration that represent the vision of anticipatory governance. In looking beyond the expiration of the Center's funding (in August 2016 after a planned no-cost extension), there are at least three important ways in which we plan to extend the life of CNS-ASU, its personnel, and its core intellectual contributions: 1) continued project funding for Center personnel on associated and spin-off awards; 2) continued project funding for Center personnel on large, collaborative S&E awards; and 3) infrastructural and other support from a new academic organization at ASU.

- 1. Associated and spin-off awards. To date, ASU members of CNS have been awarded roughly \$6M in associated and spin-off funds, making the share of such awards approximately 45% of the total amount of the 10-year CNS-ASU award and closer to 70% or more of the total CNS award retained at ASU. We expect to be able to continue on at least this same pace, if not increase, as a) some infrastructure will be taken over by the new academic organization at ASU (see #3) and b) we will be adding additional faculty capacity in the societal aspects of emerging technologies, including Andrew Maynard, Diana Bowman, and Michael Bennett, who have all recently accepted offers from ASU. Among the associated awards, the Virtual Institute for Responsible Innovation (VIRI) provides an excellent opportunity to continue international collaborations into the near future, and a center-level proposal to the Future of Life Institute that Maynard is preparing on governance of existential risk from advanced artificial intelligence is also a way to further advance futures and governance work.
- 2. <u>Large collaborative awards</u>. To date, CNS-ASU has partnered in more than \$33M in large collaborative awards with science and engineering colleagues. These awards often allow CNS-ASU personnel to fund curricular and co-curricular projects (e.g., Science Outside the Laboratory, which began its CNS life under-written by the Center and is now "pay-to-play"), graduate students (e.g., Miles Brundage on the SUN IGERT and the VIRI), or some additional summer salary. ASU as an institution is getting more and more successful in competing for such awards, and its internal procedures are becoming more sophisticated at including social sciences early enough in the research process (e.g., by appointing an assistant vice president for research/social sciences reporting the VPR). In the immediate future, CNS has an important share of ASU's NNCI bid one that would continue bringing an increasing number of visiting students and scholars to the Center for 5-10 years and allow the continuity of such programs as the Winter School.
- 3. New academic organization at ASU. More important still is an effort that PI Guston and senior investigator Sarewitz as co-directors of the Consortium for Science, Policy and Outcomes have been pursuing based on a request last year from ASU President Michael Crow. Since opening at ASU in 2004, CSPO has been a research center that has also participated significantly in curricular activities and CNS has been its largest project and test-bed. Following preliminary discussions with Crow and Provost Rob Page in Sp 14, Sarewitz and Guston commenced

planning for a reorganization of CSPO into a degree-granting and tenure-holding graduate School for the Future of Innovation in Society (SFIS), a university-wide Institute for the Future of Innovation in Society (IF/IS), and a broader array centers in addition to CNS held in consortium as the new "Consortium for Science, Policy and Outcomes."

IF/IS will chart the responsible role of knowledge-based innovation at ASU and throughout society, asking the question, "How are universities and other knowledge institutions best organized to make the most responsible contributions to society?" The Institute, the School and CSPO place human choice and responsibility at the forefront of considerations of innovation. They are committed to the ideas that:

- o Innovation is a complex process in which social and technical elements and their interactions are mutually constitutive in creating desired outcomes;
- o Knowledge must also be understood as contextual, contingent, and pluralistic; and thus
- o Future-making needs to be a more interdisciplinary, more anticipatory, and more democratic practice.

The mission of IF/IS will be to help embody these ideas across ASU and to develop them broadly throughout society, through an ambitious and integrated agenda for research, engagement, and training. The mission of SFIS will be to instill these ideas in the next generation of (graduate) students, including those (at the PhD level) who will contribute to new knowledge and practice, as well as those (at the Master's level) who will translate this knowledge for public and private audiences, domestically and internationally.

SFIS, with a dean reporting to the provost, will house the curricular activities in which CNS-ASU has been active, including the PhD in Human and Social Dimensions of Science and Technology, the Master of Science and Technology Policy, and the graduate certificate in responsible research and innovation. (It will also include related graduate degrees such as the Master of Science in Global Technology and Development [GTD] and the Master of Arts in Applied Ethics and the Professions.) The School also plans to create new degree programs related to research areas and specific courses that CNS has pursued, including: a master's degree in sustainable futures, related to the foresight capacity built by the Center and the studio on the "Future of Phoenix" that Wiek and Selin developed to much acclaim; a master's degree in science-in-society for formal and informal educators, related to the engagement capacity built by the Center and supported by new part-time, non-track hires Rae Ostman (extending connections with NISE Net) and Darlene Cavalier (institutionalizing the relationship begun in the ECAST spin-off); and a master's degree in the intersection of STEAM/design and urban issues, related to the "nano and the city" and art-science nexus that CNS has explored. It will also develop a new PhD program to pair with GTD. Although the School will at least initially focus on graduate education, it will also develop a minor targeted at engineering undergraduates and, eventually perhaps, an undergraduate major.

The Institute, with a director reporting to the VPR, will be the public-facing and cross-university aspect of the enterprise – promoting ideas of responsible innovation across the university and to the broader community in the way that ASU's Global Institute of Sustainability (GIOS) promotes sustainability. IF/IS will house a significant administrative capacity to support both the goals of the School and the numerous research and outreach centers that will be part of CSPO, which will include:

- a) core centers, financially and administratively part of the new Institute, such as:
 - Center for Nanotechnology in Society/Virtual Institute for Responsible Innovation (CNS/VIRI)
 - DC Center for Policy and Engagement
 - Center for Engagement and Training in Science and Society (CENTSS)
 - Center for Energy and Society (CES)
 - Risk Innovation Lab (RIL)
 - Center for Innovation and Development (CID)
 - Center for Science and the Imagination (CSI)

- Center for Engineering, Policy & Society (CEPS)
- Center for Biopolitics, Bioeconomics, and Biosociety (CB3)
- b) allied centers, other ASU centers with similar missions but not financially related, such as:
 - Center for Law, Science and Innovation
 - Center for Biology and Society
 - Center for the Future of Law
 - Center for Biodiversity Outcomes
- c) and affiliated centers, not at ASU, with whom we have collaborative relationships, such as:
 - Science Policy Research Unit (SPRU) and STEPS Centre, University of Sussex
 - Science, Technology, Engineering and Public Policy Department, University College, London
 - Other VIRI partners.

The relationship between these new organizations and CNS-ASU is terrifically important, as many people and activities initially associated with the Center will find a more permanent home in the new School and Institute. SFIS will become the tenure home of CNS-related faculty <u>Guston</u>, <u>Sarewitz</u>, <u>Miller</u>, <u>Wetmore</u>, <u>Fisher</u> and <u>Selin</u>, as well as approximately ten other track faculty members (including those new ones mentioned above) in the coming year and as many as an additional twenty to thirty in the following four years. The Institute and the expanded capacity at CSPO will help extend the Center's emphasis on responsible innovation and anticipatory governance to new audiences at ASU and beyond. Planned partnerships with non-US institutions (Sussex, UCL) will revolve in part around themes of innovation, responsibility and sustainability – themes that CNS has made significant efforts to articulate through its current TRCs – and consolidated in the VIRI.

Some of CNS-ASU's capacity will remain within the center bearing its name. Other capacities – particularly the education and outreach activities – will be captured by CENTSS, and its close relations with the Biodesign Institute and the Ira A. Fulton Schools of Engineering will be manifest in CB3 and CEPS, respectively.

The School will launch in Fall 2015 and the Institute shortly thereafter, once a director is hired and a development board empaneled. New degree programs are expected to ramp up quickly thereafter, and there will be transition opportunities for the Center's grant-funded staff to the state-funded lines of the School and/or Institute. ASU plans to provide contiguous space with a single School/Institute identity for Fall 2016.

9. Research Program and Accomplishments

RTTA 1: Research and Innovation Systems Analysis (RISA) (Georgia Tech)

<u>Personnel – faculty and senior participants:</u>

Philip Shapira, (Georgia Tech, professor, Public Policy) (Georgia Tech PI)

Jan <u>Youtie</u> (Georgia Tech, principal researcher, Enterprise Innovation Institute and adjunct, School of Public Policy) (team co-leader; GT Co-PI; CNS-ASU Co-PI)

Jose Lobo (ASU, associate research professor, School of Sustainability) (team co-leader)

Alan Porter (Georgia Tech, professor emeritus, ISYE and Public Policy)

Juan Rogers (Georgia Tech, professor, Public Policy)

Other Personnel: graduate students (4), undergraduate students (2), visiting scholars (3)

Graduate students: Sanjay Arora (Public Policy), Yin Li (Public Policy), Seokbeom Kwon (Public Policy), Sahra Jabbehdari (Public Policy, June 2014-May 2015)

Undergraduates: JJ O'Brien (Public Policy, May 2014), Sahra Jabbehdari (Public Policy, May 2014)
Visiting Scholars: Jing Ma (Beijing Institute of Technology, Management), Ying Wang (Beijing
Institute of Technology, Management), Daniele Rotolo (Science Policy Research Unit, University of Sussex)

Goals: The overarching goal of RTTA 1/RISA is to characterize the technical scope and dynamics of the NSE enterprise and the linkages between it and a variety of public values and outcomes. A major research theme – RTTA 1/1: Organization, Structure, and Trajectories of Emerging Nanoscience – characterizes the NSE enterprise and its dynamics through data-mining techniques such as bibliometric and patent analysis, as well as through text-mining, interviews, and other methods. The strategic areas of emphasis are: the organization, structure and trajectories of emerging nanoscience and nanotechnology enterprise and application. A second major activity – RTTA 1/2: Nanotechnology Enterprise and Applications –develops real-time strategic intelligence about nanotechnology commercialization in the US and globally, through methods including those above but also through the creation of a corporate panel data set.

Research Program and Accomplishments, RTTA 1/1

RTTA 1/1 Organization, Structure, and Trajectories of Emerging Nanoscience originally constructed a large-scale set of global datasets of nanotechnology research publication records comprised of roughly 1 million from the Web of Science's Science Citation Index (SCI) covering the period 1990-2014. In addition to the publication dataset, we also have worked with a patent database from PatStat that includes more than 200,000 nanotechnology patent documents.

The database originates out of a two-stage bibliometric search method that was developed and published in Porter, Youtie, Shapira, Schoeneck (2008) and updated in Arora, Porter, Youtie, Shapira (2013). This method has emerged as a public tool that other research groups are using or adapting. The former article describing the database has attracted 268 citations in Google Scholar (as of March 10, 2014) and 125 citations in the Web of Science. Researchers associated with the Euro Nano Observatory compared six search approaches in preparation for its research monitoring activities and found that five of the six, including our approach, converge on a similar definition (Huang et al. 2008). As a result, the Euro Nano Observatory (a Framework Programme 7 project

involving 16 partners from 10 European nations; see http://www.observatory-nano.eu/project/) is following our search approach as its benchmark for monitoring nanotechnology R&D.

In this closing period of the center, RTTA 1/RISA has adopted an "update-explain-transfer-refine" approach to its work. To this end, four major efforts of RTTA 1/1 in this period were to (1) update the country-level publication analysis for use by policy makers in the National Nanotechnology Initiative assessment, (2) explore explanations for findings about the rise of China in nano-publication counts and citations, (3) apply methods to other emerging technologies, and (4) refine analytic techniques for working with unstructured text with information about emerging technologies.

First, the Office of Science and Technology Policy requested that we provide an updated analysis of country-level comparisons of nanotechnology publications for the 2011-2013 period for the President's Council of Advisors on Science and Technology (PCAST) review of the National Nanotechnology Initiative. Our analysis showed that China continues to surpass the US in total number of research publications (which first occurred in 2010). More significantly, China surpasses the US in citations to these papers by 2013 (Li, Arora, <u>Youtie</u>, <u>Shapira</u>, 2014 for the PCAST review of the Fifth National Nanotechnology Initiative 2014).

Second, we engaged in research to explore the reasons behind the rise of China-authored research papers. We found evidence of a "clubbing effect" in Chinese nanotechnology citations, in which the Chinese scholars with the highest citations were more likely to cite other top Chinese scholars. In contrast, their US counterparts were much less likely to cite other top US scholars (Tang, Shapira, and Youtie 2015).

Output of nanotechnology papers 2011-2013 40,000 35.000 30,000 otal Publication Count USA 25,000 **■** EU-28 ■ China 20,000 ■ Germany 15,000 Japan 10,000 South Korea 5,000 0

Figure 7. From 2011 to 2013, the United States, European Union, and China produced the largest numbers of nanotechnology papers published each year, with China leading [26] [27].

Third, we examined the "transferability" of our methods

by applying them to another emerging technology. Specifically, we applied our methods of studying the rise of social science subfields in nanotechnology (Shapira, Porter, Youtie, Tang 2010) to the emerging field of synthetic biology. Our results showed that synthetic biology social science research is growing and exhibits connections to its bioethical roots. However, compared with nanotechnology, social science research in synthetic biology gives less consideration to public engagement, bibliometrics and economics, and visionary perspectives (Shapira, Youtie, and Li 2015).

Fourth, RTTA 1/1 researchers refined analytic techniques for taking text from research articles and analyzing the relevant content to understand innovation pathways. This method, "term clumping," is an iterative approach that uses a system of thesauruses and macros to remove noise from content. The results can then been analyzed using standard dimensional, forecasting, or network approaches (Zhang, <u>Porter</u>, Hu, Guo and Newman 2014).

Over the reporting year, RTTA 1 co-leader <u>Lobo</u> (ASU) continued to work with senior investigator <u>Strumsky</u> (UNC-Charlotte) to explore the extent to which nanotechnology may be fulfilling expectations of becoming a green technology. In particular, they examine the overlap between the US Patent Office's nanotechnology code and its green technology code, as well as the green-ness of other patent areas, to determine how green nano is and different green nano is from other technologies. The sample Lobo and Strumsky use includes more than 10,000 granted patents classified by USPTO in class 977, which was created in 2004 for nanotechnology but which the USPTO uses to reclassify patents granted as far back s 1975. Nano patents comprise 0.19% of all patents granted since 1975 (but they do not include class 850, scanning microscopy etc.).

In 2009, USPTO introduced its green technology pilot program for green technologies including alternative energy production, energy conservation, greenhouse gas reduction, environmental purification, protection, or remediation, and environmentally friendly farming. Lobo and Strumsky augmented the list by including the patent technology class for batteries and fuel cells and for transmission lines and energy distribution networks, as well as by using a keyword search of patent titles, abstracts, claims and descriptions. Under the USPTO definition, more than 318,000 patents, or just more than 6%, of all patents granted since 1975 are defined as "green." The overlap between class 977 and "green" is a similar 6%. Over time, the number of green nano patents tracks well with the overall number of nano patents, but it has lagged slightly, experiencing a local maximum but not a huge spike as nano overall did in 2001, but finally approaching 10% or so of nano patenting around 2006. The leading area of green nano patents is, unsurprisingly, photovoltaics and fuel cells, which greatly outpace every other area, even though nano and green technologies are a small part of PV patents. Overall, green nano patents seem "better" or "hotter" than other patents granted since 1975, as their citations received are much higher than the norm, their originality and generality are slightly but significantly higher than the norm, and the number of technology codes that apply to them are much higher than the norm.

<u>Lobo</u> and <u>Strumsky</u> are extending this work by substituting the set of nanotechnology patents identified by the GA Tech definition for the smaller USPTO class 977. That research is underway.

Additional selected findings from this research in the reporting year include:

- Nano-prefixed terms, which were found in 80% of nanotechnology-related publications in 2010 compared to only 20% in the early 1990s, have become more differentiated and application oriented (Arora, Youtie, Carley, Porter, Shapira 2014).
- Nanotechnology publications from grant-sponsored research exhibit higher impacts as measured by journal ranking and citation counts than research that is not grant sponsored (Wang and Shapira 2015).
- The share of publications in the active nanotechnology and beyond domain has increased modestly, suggesting that a portion of nanotechnology research and patents are engaged in next generation R&D (Suominen, Li, <u>Shapira</u>, <u>Youtie</u>, in process).
- An examination of the development of nanodistricts in the US and Europe demonstrated that most of the leading nanodistricts are found in locations prominent in previous rounds of emerging technologies, but new geographic concentrations of nanotechnology research have also surfaced both in the US and Europe (Shapira, Youtie, Carley 2014).

Research Program and Accomplishments, RTTA 1/2

RTTA 1/2 advances knowledge about nanotechnology commercialization in the US and globally, through bibliometric and patent analysis methods, webscraping, but also through the creation of a corporate panel data set. A corporate panel is a set of corporate enterprises which have "entered" nanotechnology as evidenced by a nanotechnology publication authored or co-authored by an individual in a corporate enterprise and/or a nanotechnology patent assigned to a corporate entity. The notion behind the corporate panel is to track changes in panel companies nanotechnology activities over time. We used our publication and patent datasets from RTTA 1/1, extracted articles authored by private companies and patents assigned to private companies, grouped these together, and developed a corporate panel from those companies with four or more publications or patents (to ensure that the nanotechnology activity accounted for a sizable quantity in the corporate organizations).

Using the "update-explain-transfer-refine" framework, RTTA 1/2 was engaged in four activities (1) updating the corporate panel for use by NSF in National Nanotechnology Initiative reviews, (2) examining explanations for the growth in small nanotechnology firm activity, (3) applying the framework to other applications (drug delivery, solar cells, building construction), and (4) refining visualization techniques and indicator development.

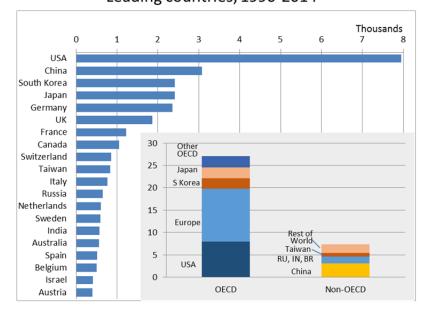
First, we updated the corporate panel at the request of NSF. The updated panel is comprised of 23,777 corporate organizations from 1990-2014 (Shapira, Youtie, Li 2015), which is 34% higher than the totals for the 1990-2009 period (Youtie and Kay 2014). Of this total number of corporate organizations, the US comprises more than a third (or nearly 8,000 organizations), while China accounts for just over 3,000 of the organizations. Europe (defined as 25 European countries that are OECD members) comprises nearly 12,000 corporate organizations, with Germany, the UK, and

France accounting for the largest number of these companies.

Second, we observed a rise in the share of small and mediumssized companies involved in nanotechnology. Small and medium-sized enterprises (SMEs) accounted for more than half of nanotechnology patents by 2009, up from 30% in the early 1990s. There is evidence of two different strategic approaches pursued by SMEs to enter the domain of nanotechnology: an

Corporate entry into nanotechnology

Leading countries, 1990-2014*



*Corporate entry in the nanotechnology domainthrough patent applications (1990-Spring 2014) or publications (1990-2014), by corporate organizations. Corporate organizations aggregated to the enterprise-country level.

Source: P. Shapira, J. Youtie, and Y. Li (Georgia Institute of Technology and Center for Nanotechnology in Society CNS-ASU), analysis of records (January 2015) in the EPO Worldwide Patent Statistical Database (PATSTAT) and Thomson Reuters Web of Science. PATSTAT data was provided by L. Kay (CNS-UCSB). Notes: Analysis of corporate organizations (N=23,777). Organizations matched where possible to reduce most apparent duplicated variations of corporate names. Universities and non-profits excluded. OECD = 34 member countries as of January 2015. Europe = 25 European countries that are OECD members. Nanotechnology search terms based on S. Arora, A. Porter, J. Youtie & P. Shapira (2013).

early-entry strategy is associated with nanotechnology research and discovery and possibly use of nanotechnologies to enhance properties of products; and a later-entry strategy associated with a strong focus on intensive patenting activity (Kay, <u>Youtie</u> and <u>Shapira</u> 2013). One in ten small and medium-sized nanotechnology firms are ultimately involved in a merger or acquisition; these mergers and acquisitions involving nanotechnology firms provide complementary capabilities and serve as an innovation source to larger acquiring companies (<u>Youtie</u> and Kay 2014). For those SMEs maintaining independent operations, some of these firms publish to selectively manage and disclose publicly-funded work, even though publishing risks limiting the firm's the ability to appropriate value from its R&D (Li, <u>Youtie</u> and <u>Shapira</u> 2015).

Third, we have extended our work into diverse application areas. Our work suggests that the path to take-up of nano-enabled commercial applications is not smooth. In graphene, the discovery-to-application cycle is accelerated and rapidly globalized, but growth patterns vary in different application areas (Shapira, Youtie and Arora 2012). Nano-enabled drug delivery follows a pattern in which nano-enabled delivery platforms are grafted onto current pharmaceuticals rather than seeing co-development or multi-functional approaches (Zhou, Porter, Robinson, Shim, and Guo 2014). Likewise, Dye Sensitized Solar Cells offer unique advantages but compare less favorably with incumbent technologies on conversion efficiency and long-term stability (Guo, Xu, Huang and Porter 2012; Zhou, Zhang, Porter, Guo and Zhu 2014; Ma, Porter, Guo, Ready, Xu, and Gao 2014). A technology opportunities analysis model: applied to Dye-Sensitized Solar Cells for China, Technology Analysis and Strategic Management, 26 (1), 84-107.). The building construction sector could benefit greatly from manufactured nanotechnology products, but although awareness of these products is higher than expected, adoption of these products is limited by issues around the applicability of these products to project-based outcomes (Arora, Foley, Youtie, Shapira, Wiek, 2014).

Fourth, we have refined our methodology for visualizing patent diversity. The central methodological advance is the creation of patent maps from transformed international patent classification (IPC) categories which unpack hierarchical groupings and reassemble them to better reflect the distribution of patents. Our science and patent maps have visually illustrated that graphene is a field with a research orientation that is focused on a cluster of disciplines but has many applications (Kay, Porter, Youtie, Rafols, and Newman 2014; Kay, Newman, Youtie, Porter and Rafols, 2014). We have extended our approach, which was based on IPC-7 categories to IPC-8. We also developed an indicator to represent the extent of diversity and similarity in a patent portfolio that draws on the work of Porter and colleagues (2007).

Another methodological advance has been our ability to scale-up analysis of small firm websites or "webscraping." We used manual methods for webscraping of these sites in prior work, but were only able to apply this method to 20-30 firm websites (Youtie, Hicks, Shapira and Horsley 2012; Arora, Youtie, Shapira, Gao, and Ma 2013). In the current work, we have been able to increase the order of magnitude of firm websites to 300 and use the results from current websites and archived websites in the Wayback Machine (archive.org) to address questions about "Triple Helix" effects and strategic pivots on firm growth (Arora, Youtie, Li and Shapira 2015).

A further advance has been in the "Forecasting Innovation Pathways" (FIP) methodology that has been used to understand pathways for development for emerging technologies. Originally, this work was highly grounded in analysis of historic publications, patents, and other commercial datasets. This method has been advanced through greater involvement of expert input and consideration of future alternative pathways for commercialization (Robinson, Huang, Guo and Porter 2013).

Contributions to "ensemble-ization" or other center-wide activities.

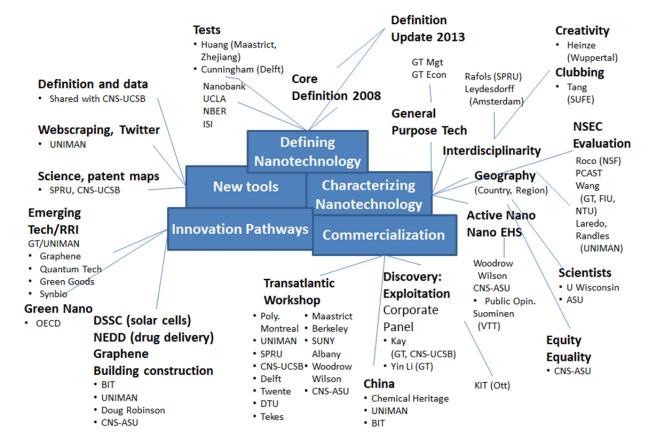
RTTA 1/1's co-authored publications with TRC 2 on drivers of adoption of manufactured nanotechnology products in the building construction industry began through a collaboration between doctoral students in RTTA 1/1 (Arora) and TRC 2 (Foley) at an CNS-ASU All Hands meeting in 2010. A presentation at the first S.NET Conference workshop led to an article on environmental, health, and safety in nanotechnology published in 2011 which is co-authored with a CNS-ASU PhD+ graduate. This publication would have never been possible without access through CNS-ASU to the ASU graduate student who was a scientist in the nanotechnology environmental, health, and safety area.

In addition, there are several other activities to which RTTA 1/1 has contributed:

- RTTA 1/1's organization of the EU-US Transatlantic Workshop on Nanotechnology Research and Innovation Policy included two researchers from CNS-ASU, including one from RTTA 3.
- RTTA 1/1's co-authorship of a paper with RTTA 2, based on merging data from the scientists' survey with information from the global nanotechnology publication database on the presence of nanotechnology environmental, health, and safety entries in the cited references of articles co-authored by these scientists, which appeared in *Research Evaluation* in 2011.
- RTTA 1/1 collaborated with a then CNS-ASU PhD+ graduate student on a paper examining nanotechnology environmental, health, and safety research and diffusion, which appeared in the *Journal of Nanoscience and Nanotechnology* in 2011
- RTTA 1/1 researchers contributed 3 chapters to TRC 1-led *Yearbook* and provided bibliometric data for TRC 1 case studies;
- RTTA 1/2 is examining the "green" nature of nanotechnology applications in conjunction with TRC 2.

RTTA 1/1 (Shapira, Youtie) have also shared their publication and patent datasets and search strategy with colleagues at CNS-Santa Barbara and attended the All Hands meeting for CNS-Santa Barbara, January 31-February 1, 2014 to share research directions and plans for joint research. RTTA 1/1 also co-developed and submitted a joint proposal to the NSF STS program; although the proposal was not funded, it did represent a productive collaboration. RTTA 1 researchers also co-developed (with Luciano Kay at CNS-UCSB) an entry for the highly-regarded, traveling "Places and Spaces" exhibit (http://scimaps.org/exhibitions).

The organizations and individuals with whom RTTA 1 researchers have collaborated (structured based on the intellectual trajectory of the group) reflects an extensive network of domestic and international participants in this research enterprise.



RTTA 2: Public Opinion and Values

Personnel – faculty and senior participants:

Dietram A. <u>Scheufele</u>, RTTA 2 co-leader (Wisconsin, John E. Ross Professor, Life Sciences Communication)

Elizabeth A. Corley, RTTA 2 co-leader (ASU, Associate Professor, School of Public Affairs)

Dominique <u>Brossard</u> (Wisconsin, Professor and Department Chair, Life Sciences Communication) Michael A. <u>Xenos</u> (Wisconsin, Professor and Department Chair, Communication Arts)

Other Personnel: post-docs (0), graduate students (17; 2 paid, 15 not), undergraduate students (1)

Graduate students: Heather Akin, Doo-Hun Choi, Jenny Chung, Larisa Doroshenko, Emily Howell, Jiyoun Kim, Patrice Kohl, Kaine Korzekwa, Nan Li, Xuan Liang, Tomoko Okada, Kathleen M. Rose, Kristin Runge, Molly J. Simis, Leona Yi-Fan Su, James Spartz, Sara Yeo Undergraduate student: Christopher Wirz

Goals: The overall goal of RTTA 2 POV is to monitor, among both the public and scientists, the understanding of and values relating to NSE and its potential societal outcomes, track these variables over time, and examine the role of the media in reflecting and influencing them. POV comprises a set of inter-related research themes around the public, NSE researchers, and the media. RTTA 2/1 Public Opinion Polling is the major project, conducting nation-wide public opinion polls to understand, at an aggregate level, the public's knowledge of and values regarding nanotechnologies. RTTA 2/2 Scientists' Opinions and Values is a research theme that conducts polls of NSE researchers to understand their perceptions and values regarding nanotechnologies. RTTA 2/3 Media Influence is a research theme that tracks media stories of nanotechnologies and, using a quasi-experimental design, attempts to understand how various media frames for nanotechnology stories can influence the knowledge and opinions of the public.

Research Accomplishments and Plans, RTTA 2/1

As part of the Public Opinion Polling research, <u>Corley & Scheufele</u> have capitalized on their experiences with some of the earliest public opinion surveys on NSE (e.g., Scheufele & Lewenstein, 2005) and have continued to develop and refine ways of measuring attitudes, information seeking, and policy stances. This methodological work is a necessary condition for doing sophisticated basic research. But it has also allowed the POV team to assist other researchers across the globe (e.g., Université de Caen Basse-Normandie, France; Poznan University of Economics, Poland; and Dublin City University, Ireland) by sharing instruments and expertise. During YR 10 of the CNS-ASU grant, the POV team has also been able to provide real-time feedback to policy makers when they need specific information about policy-relevant public attitudes.

RTTA 2/1 has completed three general, full-scale public opinion data collections: in Jul. 2007, Jan. 2012 and Jul.-Aug. 2014. The 2007 survey was a CATI survey with a combined RDD and listed household sample conducted May – Jul 07 (N=1,015; margin of error, +/- 3%). The 2012 survey was conducted by Knowledge Networks (N=2,806; margin of error, +/- 2%), and embedded experimental manipulations into a nationally representative online survey. The 2014 survey was conducted by GfK (formerly Knowledge Networks) (N=3,145; margin of error +/- 2%) and

embedded experimental manipulations into a nationally representative online survey. This approach has four analytic advantages: First, it allows us to examine different subpopulations – serving goals of TRC 1 by including those that have been traditionally underserved by science communication efforts (defined by gender, age, ethnicity, or other factors). Second, the large sample is divided into four, nationally representative samples, each focused on a separate science or technology issue (nanotechnology, synthetic biology, fracking, and climate change) to help us examine the processes of opinion formation and market dynamics surrounding nanotechnology in comparison to other technologies and issues. Third, we embedded a series of experimental manipulations in each of the issue-specific subsamples. By drawing on the external validity of nationally representative samples and the internal validity of random-assignment experiments, we are able to capitalize on the advantages of both methods and build a deeply granular understanding of the cognitive and affective processes that lay publics use to make sense of nanotechnology and other emerging science issues. Four, by conducting a survey with measures duplicated from the 2012 survey we are able to compare opinion formation about nanotechnology to related technologies and changes in views about these technologies since the 2012 data collection.

We also continue to coordinate our data collections with related efforts at Wisconsin, Singapore, Rutgers, Universität Hamburg, and elsewhere in order to build comparable data sets that can be used for comparative quantitative work down the road. Because RTTA 2/1 has played a prominent role in sharing these innovations with other scholars, the leaders of the POV team serve as consultants or co-PIs on other related NSF and USDA grants. This methodological outreach is being formalized by RTTA 2/1 researchers through the formal archiving and sharing of data collection instruments.

RTTA 2/1 has developed several analytical themes in its research, including religiosity and public acceptance of nanotechnology, widening knowledge gaps about nanotechnology, and risk and benefit perceptions about nanotechnology. While the former two have inspired interactions with TRC 1, the latter has resulted in interactions with RTTA 2/2 and with RTTA 1. In YR 10, publications from the 2007 and 2012 data collections have continued. Analyses from the Jul.-Aug. 2014 data collection are resulting in numerous conference presentations, as well as a number of journal articles that are currently under review or in preparation. The data from the Jul.-Aug. 2014 survey also form the basis of the dissertations of Akin, Liang, and Runge.

Religiosity and Public Acceptance of Nanotechnology

As with many other political and scientific issues, citizens rely on cognitive shortcuts or heuristics to make sense of issues for which they have low levels of knowledge. These heuristics can include predispositional factors, such as ideological beliefs or value systems, and also short-term frames of reference provided by the media or other sources of information. By combining CNS-ASU public opinion survey data from the US with Eurobarometer surveys about public attitudes toward nanotechnology in Europe, Scheufele, Corley and colleagues (2009) concluded that respondents in the United States are significantly less likely to agree that nanotechnology is morally acceptable than respondents in many European countries. These moral views correlated directly with aggregate levels of religiosity in each country, even after controlling for national research productivity and measures of science performance for high-school students. Building from this work, the Jul-Aug. 2014 data collection examined how American audiences evaluate the ethical, legal, and social implications (ELSI) of emerging technologies, and how their values and predispositions impact general attitudes and attitudes towards policy and regulation of these technologies. Initial results from this project indicate that values and predispositions, including

political ideology, deference to scientific authority, and religiosity impact attitudes and risk perceptions. A 2015 study by Akin, Rose, <u>Scheufele</u>, Simis, <u>Brossard</u>, <u>Xenos</u>, and <u>Corley</u> (under review at *PLOS ONE*) investigated the role of predispositions, particularly deference to scientific authority, trust in scientists, and religiosity, on attitudes toward synthetic biology and compares perceptions of synthetic biology to perceptions of nanotechnology and other issues.

Widening Nanotechnology Knowledge Gaps

RTTA 2/1 research on the change in nanotechnology knowledge among the public over time has generated some particularly important results for TRC 1 and outreach. In particular, Cacciatore, Scheufele, & Corley (forthcoming) found that there are widening gaps in knowledge about nanotechnology since 2004 between the least educated and most educated members of the US public. Americans with at least a college degree have shown an increase in understanding of the new technology, while knowledge about nanotechnology has declined over time for those with education levels of less than a high school diploma. Closing these informational gaps among public audiences is a necessity, especially in light of a US budget that has reduced spending for "educational and social dimensions" of nanotechnology in recent years. There is a real urgency to find ways of communicating effectively with all groups in society. Unless researchers find ways to close these learning gaps, we may create two classes of citizens - those who are able to make informed consumer and policy choices about these new technologies, and those who simply cannot. Cacciatore, Scheufele, & Corley also concluded that the Internet is one of the most effective methods in closing gaps and informing the less educated about nanotechnology. A recent study by Su, Cacciatore, Scheufele, Brossard, and Xenos published in Science Communication also found that findings on knowledge gaps can be divergent depending on how researchers measure knowledge, and that attention to different media channels for scientific information and interpersonal discussion can widen factual knowledge gaps between high- and low-SES groups.

Risk and Benefit Perceptions

RTTA 2/1 research has produced multiple continuous streams of research that have contributed to the literature about how nanotechnology has been covered in media and how audience characteristics interact with these messages to shape attitudes about nanotechnology. For example, Scheufele and colleagues (2007) demonstrated that nanoscientists are more optimistic than the public about the potential benefits of nanotechnology; however, for some issues related to the environmental and long-term health impacts of nanotechnology, nanoscientists were significantly more concerned than the public. Therefore, RTTA 2/1 researchers concluded that nanotechnology may be one of the first emerging technologies where researchers have observed this trend of scientists' being more concerned about some risks than the public. Building on this research, more fine-grained analyses have shown that when making risk judgments, nanotechnology experts use trust in scientists to make decisions while the public uses religious beliefs as heuristic cues. Although deference to scientific authority, science media use, and trust in scientists shape perceived benefits in both scientists and the public, these heuristic cues influence public perception to a larger extent than experts' perceptions.

RTTA 2/1 has also examined the changing nature of risk and benefit perceptions to conclude that as the field of nanotechnology matures, public opinion research focused on judgments about abstract risks and benefits, rather than attitudes toward specific applications, is less useful. Recent RTTA 2/1 research shows that individuals who associate nanotechnology with particular areas of application, such as the medical field, take risk perceptions much more into account when forming

attitudes than respondents who do not make these mental connections. Therefore, the RTTA 2/1 research program increasingly focuses on assessing measurement tools for the field of public opinion about emerging technologies more broadly. In this vein, Scheufele and colleagues conducted a study (under review) on public attitudes toward labeling nanotechnology, finding a 'spillover' effect with individuals anchoring their judgments about labeling of nanotechnology to prior attitudes about labeling genetically modified organisms (GMOs) and its risks and benefits. This study also found that white males show reduced risk perceptions of technologies and those who pay more attention to ethical, legal, and social implications of science in the media are more likely to support labeling and that respondents' level of education and deference to scientific authority moderate the effects of GMO risk and benefit perceptions, suggesting that such a spillover effect is stronger for those with less formal education. These findings contribute to TRC 1 research goals by providing insight into public perceptions of nanotechnology among typically underrepesented groups of the U.S. population.

Research Accomplishments and Plans, RTTA 2/2

RTTA 2/2 has also completed two national-level nano-scientist surveys: in Jul 07 and Oct 11. The 2007 survey was a mail survey of leading US nano-scientists (N=363; AAPOR RR-3: 39.5%). The 2011 data collection was conducted as a mail survey of leading US nano-scientists (N=444; AAPOR RR-3: 31.6%). The content of the 2011 survey was slightly different from the 2007 survey by focusing on more granular perceptions about the risks and benefits of nanotechnology, nano-regulation, nanotech worker safety issues, public engagement, and the ethics of nanotechnology laboratory practices. By combining funds from CNS-ASU and the Kellett mid-career award given to Scheufele at UW, we will be able to conduct an additional scientist survey in 2015. Planning for this survey will start during the summer.

Risk, Benefits, and Regulation of Nanotechnology

The RTTA 2 team considers regulation of nanotechnology to be an important area for study because even though there is a high degree of scientific uncertainty about the risks of nanotechnology, policy-making cannot be placed on hold until risk assessments are complete. In the absence of risk assessment data, decision makers often rely on scientists' input about risks and regulation to make policy decisions. RTTA 2/2 research has shown that nanoscientists are more supportive of regulating nanotechnology when they perceive higher levels of risks; yet, their perceived benefits about nanotechnology do not significantly impact their support for nanotech regulation. The research also finds that male nanoscientists are less supportive of nanotech regulation than their female peers and materials scientists are more supportive of nanotechnology regulation than scientists in other fields.

In addition, <u>Corley & Scheufele</u> concluded that the leading U.S. nanoscientists see the areas of surveillance/privacy, human enhancement, medicine, and environment as the nanotech application areas that are most in need of new regulations. Based on the 2007 survey results, <u>Corley, Scheufele</u> and Ho (2009) found that in addition to risk perceptions, nano-scientists use their economic and social values to make decisions about nanotech regulation, and that surveillance/privacy, human enhancement, medicine, and the environment are the application areas in which nano-scientists see the greatest need for new nanotechnology regulations.

Also, Kim, <u>Corley</u> & <u>Scheufele</u> (2012) used the 2007 results to explore the perceptions of nanoscientists regarding the regulation of nanotechnology, with a particular focus on the governmental level (local, national, or international) at which the scientists believe nanotechnology regulation should be implemented. This regulatory discussion is important because international regulations are often difficult to adopt and implement; yet, local or state-level regulations could lead to the nanotechnology equivalent of the Pollution Haven Hypothesis (PHH). The results indicate that some scientists support local-level nano-regulations, but most scientists support the regulation of nanotech at the national or international level.

Kim, Corley & Scheufele (2012) found that there are three distinct categories of nano-scientists that have unique perspectives on regulation: "cautious innovators," "nano-regulators," and "technology optimists." The "cautious innovators" are more supportive of implementing nano-regulations at the local level. Additionally, these scientists think that public opinion is more important than scientists' opinions for research decision-making and that we depend too much on science and not enough on faith. This group is also more likely than their peers to support the regulations of academic nanotech research. The second group of scientists is the "nano-regulators." These scientists are more likely to say that the government should protect the public from unknown nanotech risks. Also, these "nano-regulators" are more supportive than their peers of nano-regulations at the national and international level. Lastly, they are more likely to support the regulation of commercial nanotech research. While many scientists acknowledged the importance of nanoregulation, some scientists are less supportive of restricting nanotech advancements using regulations – i.e., the "technology optimists." These scientists are more likely to think that advancing nanotechnology is more important than protecting society from the potential nano-risks. Also, these scientists tend to think that scientists know best when it comes to making scientific decisions that can impact the public.

Perceptions of Media Coverage

Exploring public perceptions and scientists' perceptions about media coverage of nanotechnology – as well as the public communication of research – were also key focal areas for the RTTA 2/2 team in the 2007 data. Using the 2007 survey results, Corley, Kim and Scheufele (2011) also explored leading U.S. nano-scientists' perceptions about media coverage of nanotechnology and the public communication of research findings, concluding that leading U.S. nano-scientists perceive an important connection between the public communication of research findings and public attitudes about science. Additionally, there is a significant relationship between the scientists' perceptions about media coverage and their views on the timing of public communication; scientists with positive attitudes about the media are more likely to support immediate public communication of research findings, while others believe that communication should take place only after research findings have been published through a peer-review process. In addition, leading U.S. nanoscientists tend to view media coverage of nanotechnology as less credible and less accurate than general science media coverage. These results indicate that leading U.S. nanoscientists do feel a sense of responsibility for communicating their research findings to the public, but attitudes about the timing and the pathway of that communication vary across the group.

An Updated View of Nanotech Regulation Perceptions

The RTTA 2/2 team is currently analyzing and publishing from the 2011 scientist survey. <u>Corley & Scheufele</u> recently published an article in a special issue of *Review of Policy Research* that uses the 2011 data to explore nano-scientist perceptions about existing nanotechnology policies, the

development of new nanotech policies, levels of governmental regulation, current and future risk levels for public exposure to nanomaterials, we well as mandatory policies about the implementation of safe lab practices for federally funded nano-research.

Perceptions about Worker Safety, Gender, and Social Responsibility

In 2013, <u>Corley</u>, Kim & <u>Scheufele</u> analyzed the 2011 nanoscientist survey data to publish an up-to-date view of leading U.S. nanoscientists' perceptions about the regulation of nanotechnology. In particular, this research explored the leading nano-scientists' perceptions about existing nanotech policies, the development of new nanotech policies, and mandatory policies about the implementation of safe lab practices for federally funded nanoresearch. The conclusions of this research indicated that nanoscientists are more likely to say that commercial nanotech research should be regulated than academic nanotech research (<u>Corley</u>, Kim, & <u>Scheufele</u>, in press). Additionally, the leading U.S. nanoscientists believe that lab directors in both university and industry nanotech settings have a strong ethical obligation to protect their workers from unhealthy exposure to nanomaterials. In addition, many of the scientists believe that federal funding of nanotech research should be linked to formal guidelines that would protect workers from exposure to nanomaterials.

Research Accomplishments and Plans, RTTA 2/3

Finally, RTTA 2/3 continues to analyze media content in three different outlets. First, we continue to use the infrastructure built during the first five years of CNS-ASU funding to analyze in real time content data from small, medium-sized and large newspapers in the US. Papers from this work appeared in disciplinary journals (e.g., Journalism & Mass Communication Quarterly) and also in outlets specific to particular scientific fields (e.g., a piece on food nanotechnology in *Appetite*). Second, RTTA 2/3 partnered with the UW NSEC on Templated Synthesis and Assembly at the Nanoscale to purchase access to Crimson Hexagon. This software license allows us to analyze content streams in real time from all online and social media outlets (including Twitter, Facebook, blogs, online newspaper sites etc.). RTTA 2/3 continues to collect and mine data for traditional media, but our collaborations with the UW NSEC have allowed us to provide real-time insights into the ongoing debates around nano that are unique in the field of the social studies of science. An article comparing online and offline media environments was recently published in New Media & Society. Finally, students at UW have been using content analytic tools we built as part of CNS-ASU to branch out into other fields of research. Maria Stubbings worked on a content analysis of media coverage of gene patenting in the US; she defended this work in May of 2012. An article examining Twitter discussions surrounding nanotechnology was published in the Journal of Nanoparticle Research, and an analysis of social media content is included in Cacciatore's dissertation project.

Contributions to ensemble-ization or other Center-wide activities

RTTA 2/2's study of nanotechnology risk perceptions has led to collaborative work with RTTA 1 (specifically <u>Youtie</u> & <u>Shapira</u>) to better understand the relationship between societal perspectives held by nano-scientists and their publication actions (Youtie et al. 2011). This collaborative research between RTTA 1 and RTTA 2/2 has explored the relationship between scientists' risk perceptions about nanotechnology and their actions in citing nanotechnology environmental, health, and safety (EHS) publications. RTTA 2 has also collaborated with the Social Implications of Nanotechnology Group, part of the University of Wisconsin Nanoscale Science and Engineering

Center (NSEC). These collaborations can be seen in many of the publications and conference presentations noted below.

Wisconsin has also been working on assessing the impacts of CNS-ASU on broader public and policy discourses. This work takes advantage of research infrastructures we have been building over the last eight years – partly in collaboration with thr the UW Nanoscale Science and Engineering Center on Templated Synthesis and Assembly at the Nanoscale at UW-Madison (UW-NSEC) – for tracking media content, blog posts and communications on social media platforms (Twitter, Facebook, etc.).

Using these infrastructures, we have begun to track online (Runge et al., forthcoming) and offline (Choi, Dudo, & Scheufele, 2013; Dudo, Choi, & Scheufele, 2011; Dudo, Dunwoody, & Scheufele, 2011) discourses about nanotechnology, especially as they relate to CNS and CNS-related activities. We use three commercial software packages that allow us to track various forms of communications in real time. Using a combination of the Lexis Nexis (licenses through UW-Madison) and Vantage Point (licensed through CNS-ASU) software packages, we are able to extract large amounts of traditional media content into an electronic data base that allows us to content analyze it using computer-based or human coding. We will also use a collaborative agreement (funded partly through CNS-ASU and UW-NSEC) with Crimson Hexagon, a startup headed by Harvard's Gary King, which gives us access to their software at a deeply discounted rate. Crimson Hexagon is a software that extracts linguistic patterns from small samples of online/social media content identified by human coders as being representative of particular types of content. It then develops generalizable algorithms from these patterns, and uses them to track the underlying content in every captured Tweet, Facebook post, or blog. In other words, computer algorithms inductively determine the patterns of underlying content identified by human coders, and then apply the learned patterns for large scale data processing.

The combination of these different tools allows us to track all discourses surrounding nanotechnology in a comprehensive and real time fashion. In particular, we will be able to focus on our analyses on at least three different aspects, which are underway.

First, we will continue to track the amount of coverage in traditional news channels and discussion on various online (social media) platforms. Second, will track the sentiment or types of discourses surrounding nanotechnology. This will focus on sentiments, such as expressions of uncertainty or the risks of benefits of nanotechnology, as they relate to nanotech more generally, but also to discussions or media coverage surrounding CNS more specifically. Do Tweets or blog posts surrounding CNS activities, for instance, reflect particular activities or aspects of CNS? Third, and most importantly, the comprehensive real-time tracking of online and offline content will also allow us to examine how (a) regional differences and (b) CNS activities – especially if coordinated across different sites – are received and multiplied by lay audiences and journalisms in traditional media and through online channels. This particularly relevant since some of our initial research on Twitter suggests that even the presence of NSF-funded Nanoscale Engineering Centers (NSECs) in a specific state can significantly influence the amount of Twitter traffic in a region. Our analyses will expand on this idea and track online traffic surrounding particular sites, but also before and after events, such as Nano Days in collaboration with NISE Net or related coordinated events. We have completed preliminary analyses for Twitter and will soon move into the full analysis stage for all other areas.

<u>Addressing this first aspect, Scheufele</u> and Su have drafted a report that explores the public impacts of CNS-ASU in online environments, with an initial focus on Twitter. The report tracks the volume

of Tweets and analyzes the types of discourse about CNS-ASU from 2010 to 2014 and explores the amount of Twitter traffic related to CNS-ASU within a geographic region. Results indicate that online conversations about CNS-ASU focus on research-related information while education and outreach dimensions, particularly formal educational issues, are rarely discussed. Additionally, the majority of online discussions are constituted by CNS-ASU based research efforts, which may reflect a "marketing perspective" within the CNS-ASU community when communicating with social media tools. As next steps, Scheufele and Su will track the Twitter footprint of all CNS-ASU affiliated researchers, including (co-)principal investigators, team leaders, affiliated faculty members and researchers. Future research will also explore affiliated researchers' Twitter presence by studying the number of followers, follower identity, volume of public Tweets, categorical themes in the Tweets, and Tweets related to CNS-ASU and nanotechnology.

RTTA 3: Anticipation and Deliberation

<u>Personnel – faculty and senior participants:</u>

Cynthia Selin, RTTA 3 leader (ASU, assistant professor, CSPO, School of Sustainability)

Kathryn <u>De Ridder-Vignone</u> (ASU, post-doctoral scholar & assistant professor, James Madison University)

Prasad Boradkar (ASU, associate professor, School of Design)

Adelheid Fischer (ASU, Manager, InnovationSpace, School of Design)

Sidnee Peck (ASU, program manager, W.P. Carey School of Business)

Roopali Phadke (associate professor, Macalester College)

Thad Miller (assistant professor, Portland State University)

Gretchen Gano, (lecturer and research fellow, Center for Public Policy and Administration, University of Massachusetts, Amherst)

David Tomblin (Director of Science, Technology and Society Program at University of Maryland)

Kevin <u>Iones</u> (senior research scientist, University of Alberta)

Krista Harper (associate professor, Anthropology and Public Policy, Amherst College)

Other Personnel: post-doctoral fellow (1), graduate students (2)

Post-doctoral fellow: Megan Halpern

Graduate students: Carlo Altamirano-Allende (PhD, Human & Social Dimensions of S&T), Jathan Sadowski (PhD, Human & Social Dimensions of S&T)

<u>Goals</u>: As a whole, RTTA 3 problematizes conventional deliberative approaches to anticipation that unreflexively predict technological outcomes. Instead, this research pursues anticipatory governance by focusing on future-oriented methods informed by plausibility (Selin 2011; Ramirez & Selin 2014) and

drawing on STS perspectives path dependency, co-production and responsible innovation. RTTA 3 consists of four tightly integrated approaches that address research, education, and outreach. RTTA 3/1 Futures of Foresight explores and assesses alternative approaches to imagining plausible nano-enabled futures. RTTA 3/2 InnovationSpace is a collaborative undergraduate design course among ASU's Schools of Design, Engineering, and Business in which transdisciplinary teams of students create product designs, marketing plans, and engineering models of potential products within a framework of responsible innovation. RTTA 3/3 Probing Future-Oriented Deliberation probes in experimental settings the frameworks, inputs, structures and qualities of future-oriented deliberation. RTTA 3/4 Futurescape City Tours (FCT) builds on the foregoing by implementing a large-scale citizen engagement activity that includes independent and joint deliberation of six groups of locally representative lay citizens from across North America on issues related to nanotechnology and the city.

Research Accomplishments and Plans, RTTA 3/1: Futures of Foresight

RTTA 3.1 explores and assesses alternative approaches to imagining plausible nano-enabled futures. In recent years, CNS-ASU researchers have developed the notion of 'material deliberation' to systematically account for practices of anticipation that capture the affective and sensual, moving beyond discursive representations of alternative futures (Davies et al 2013). Material deliberation was featured in the Society for Social Studies of Science annual conference in an invited plenary panel with <u>de Ridder-Vignone</u> who presented work on the importance of the concept to public engagement. CNS's former postdoctoral scholar Davies (now with University of Copenhagen) continues to extend some of the foundational tenants of material deliberation in her upcoming book entitled *All Hackers Now: Hackerspaces and the Rise of the Maker Movement*, which will be published by Polity in 2016.

In light of Selin's sustained interest in the forefront of futures thinking, she continued in YR10 with the Oxford Futures Forum, an international gathering of academics and practitioners focused on scenarios and anticipation, and in 2014 focused on design and materiality. Since 2005, the Oxford Futures Forum (OFF) has enabled generative dialogue, productive collaboration and deep reflection on interdisciplinary concerns between two established communities of thought and practice, one the scenarios community and the second representing a theoretical perspective, which for the 2014 Forum focused on design thinking. The Forum was attended by CNS researchers **Boradkar** ("Future Configurations of Things"), van der Leeuw ("Learning from the Past, about the Present, for the Future") and Gano ("Confronting the Future Pseudomorph: Materiality and Embodiment in Scenario Planning and Design."). Selin co-curated an exhibition of future-oriented artifacts. Works for the exhibition Future Things were selected from responses to an open call to all OFF invited participants. Together they present a range of analogue and digital materials that capture some of the different ways that futures are materialised in scenarios and design practice. Calling them future things emphasizes how their objectness is tied up with the practices of commissioners, creators and users of scenarios and designs. Drawing on design theorists using the term "thing" the title of the exhibition recognizes the irony of de-contextualising objects from their contexts of production and engagement.

<u>Selin</u> is currently co-editing a special issue derived from the scholarly dialogue at the OFF and has submitted (as lead author) an article that scopes the dialogue space between scenarios and design.

Research Accomplishments and Plans, RTTA 3/2: InnovationSpace

InnovationSpace is an entrepreneurial joint venture among the Herberger Institute for Design and the Arts, Ira A. Fulton Schools of Engineering, W.P. Carey School of Business and the School of Sustainability at Arizona State University. The goal of this transdisciplinary education and research lab is to teach students how to develop products that create market value while serving real societal needs and minimizing impacts on the environment. The two-semester InnovationSpace course satisfies the studio, capstone and thesis requirements for senior majors in each unit. In addition, many of the students are Barrett Honors College students and write their honors theses about their InnovationSpace work. In the course, cross-functional teams of students drawn from industrial design, visual communication design, business and engineering use a product-development model known as Integrated Innovation to research, develop, test and refine real-world product concepts for paying sponsors including, in recent years, CNS, Intel, and Herman Miller. Since 2006, CNS-ASU has supported the work of three transdisciplinary teams (a total of 12 students) annually.

CNS-ASU has partnered with InnovationSpace, led by Boradkar to investigate nano-based technologies that ensure the freedom, privacy and security of citizens (AY 06-07), to visualize socially beneficial opportunities for nanotechnology in the areas of human health and enhancement (AY 07-08), to develop product concepts that utilize nano-enhanced solutions for ensuring equitable access to clean energy (AY 08-09), to develop product concepts that utilize nano-enhanced solutions for addressing urban sustainability in relation to waste management, energy efficient transportation and energy awareness (AY 10-11), address public health with special emphasis on clean water, safety of emergency services, and drug abuse prevention, to address the problems of household and office waste, indoor pollution and the urban heat island effect (AY 12-13), child safety in urban environments (AY 13-14), thus rounding out a comprehensive look at urban systems. Outcomes from InnovationSpace include not only invention disclosures made to AZTE (18 from previous years), but also spectacularly detailed documentation of the student-led innovation process known as Innovation Proposals. These include summaries of user research, product renderings and prototypes, engineering specifications, branding and communication strategies, ecological impact assessments and business plans.

Students in the program are also introduced to concepts of biomimicry as a means of developing more environmentally responsible product ideas, engineering solutions, business plans and brand strategies. CNS has supported this activity by sharing examples of biomimetic design occurring at the nanoscale for the students to learn from and incorporate into their projects.

Research Accomplishments and Plans, RTTA 3/3: Probing Future-Oriented Deliberation

Emerge first took place on the ASU campus in spring 2012 and brought together artists, scientists, engineers, students, and educators in an attempt to "redesign the future" by thinking critically about the future of emerging technologies. In YR 10, <u>Selin</u> edited a special issue for *Futures* which published the following articles:

"Merging art and design in foresight: Making sense of Emerge" [Selin] This introduction works to make sense of these emerging practices, and of *Emerge* itself, in order to develop appreciation of this rising genre. In doing so, this article sets the context for how the papers in this issue ask critical questions about the nature of these novel forms of foresight practice and investigate the trade-offs and potencies involved in the workings of mediated scenarios.

"Design futures in action: Documenting experiential futures for participatory audiences" [Kelliher, Byrne] This article describes the documentation and public representation of the creative outcomes from nine *Emerge* design futures workshops. These workshops provided a rich opportunity to study how designers and futurists collaboratively engage, implement and communicate alternative futures. The goal of the documentation effort described is to capture the experience of creating experiential futures and extend the capacity for developing social foresight through a participatory exhibit and online social platform.

"Studying Emerge: Findings from an event ethnography" [Davies, Selin, Rodegher, Allende, Burnam-Fink, DiVittorio, Glerup, Keys, Kimball, Liao, Monfreda, Trinidad] In this article authors discuss findings from an event ethnography, in which a team of 11 researchers collaboratively developed accounts of the practices at play within *Emerge* and its workshops. They use their data both to describe the techniques used within *Emerge* and to analyse key patterns which occurred around those techniques. As we close we reflect on the implications of these findings for practice, suggesting ways in which our results can help hone the tools and techniques of future studies.

"Narrative futures and the governance of energy transitions" [Miller, O'Leary, Graffy, Stechel, Dirks] The authors argue that futures approaches based on narrative strategies that encourage individual and collective storytelling and meaning construction offer a valuable tool for enhancing societal capacity to meet this and similar governance challenges. They report on a two-day scenario planning exercise that sought to implement and test these ideas. The exercise involved a diverse group of professionals in both energy and non-energy fields, with a question focused on the narrative construction and deliberation of scenarios about Arizona's energy future in 2050.

"Starting with Universe: Buckminster Fuller's Design Science Now" [Gano] This article reflects on the role of futurists, designers, architects, urban planners, social scientists, and artists in interpreting and utilizing *comprehensiveness* as a design frame. Among nine experimental foresight workshops at the inaugural *Emerge* conference at Arizona State University, many focused on producing physical objects or media, one modeled and expanded upon a method pioneered by architect and polymath R. Buckminster Fuller. At a time when many of the capabilities to realize Fuller's specifications for big data have matured, I investigate whether comprehensive design as framed by Fuller's method shows promise as a

trend enabling ecologically sustainable innovations. A historical look at Fuller's Design Science and the reflection on it in the *Emerge* workshop marks an opportunity to highlight and interpret the resurgence of comprehensive thinking in design while navigating the contradictions this orientation engenders.

"Creating narrative scenarios: Science fiction prototyping at Emerge" [Burnam-Fink] This article explores the relationship between science fiction and scenarios as story genres and investigates a creative story-telling technique, "Science Fiction Prototyping". While the method is promising, it is an ultimately problematic means to incorporating narrative into scenario planning.

In YR 10, <u>Selin</u> won a EU Marie Curie Fellowship that extends some of this work by looking specifically at how mediated scenarios work in the energy sector.

In YR 10, CNS also hired post-doctoral fellow Halpern, who participated in the planning and execution of *Emerge* 2015 on the future of values and choices. As <u>Selin</u> did earlier with the first *Emerge*, Halpern designed into the process a set of recordings and ethnographies to serve the interests of future research, and we expect publications, etc., to arise from her work.

Research Accomplishments and Plans, RTTA 3/4: Futurescape City Tours

Research and practice around innovative forms of public engagement with science and technology have been a key component of RTTA 3. In YR9, a novel public engagement method-- the Futurescape City Tours-- was implemented in six cities in North America. The FCTs are a distributed, deliberative, public engagement methodology that use digital and analog media and an urban walking tour to build the capacity of lay citizens, scientists and engineers, and civic stakeholders to approach the intersections between science, technology and society with greater reflexivity, anticipation and systemic thinking.

As described in "Experiments in Engagement: Designing PEST for Capacity-Building" (under review *Public Understanding of Science*), we suggest that the development and practice of these capacities are desirable ends of public engagement, which should vie for prominence alongside of the traditional, though nevertheless elusive, outcomes of policy impact or integration in decision-making. These capacities are important enablers for laypeople to contribute productively—in a distributed and diverse fashion—to the democratization of science and technology.

We believe the methods of the Futurescape City Tours, taken together, offer a novel push on the forefront of public deliberation as practiced by STS scholars. Through pilot projects, experimentation and the national scale up of the approach, our goal has been to demonstrate the value of public engagement activities that integrate diverse stakeholders and publics, tend to the politics of place, rigorously trigger imagination, and creatively use multi-media tools. We have found that the methodology serves to cultivate reflexivity (Selin, C. & G. Gano. 2015. "Seeing Differently: Enticing Reflexivity through Mediated Participation in Place in the Futurescape City Tours." in Gubrium, A. and K. Harper (eds). Engaging Participatory Visual and Digital Methods. Left Coast Press [in press]), notice obduracy (Selin, C. & J. Sadowski. 2015. "Against Blank Slate Futuring: Noticing Obduracy in the City through Experiential Methods of Public Engagement." in Kearnes, M. & J. Chilvers (eds). Remaking Participation: Science, Environment and Emerging Publics. Routledge [in press]) and see differently through the use of photography and image-based deliberation Altamirano, C. & C. Selin. "Seeing the City: Photography as a Place of Work" submitted to a special issue on ""Public Engagement for Environmental Sustainability in a Technological Age" in *Environmental Studies and Sciences*. [accepted]. Further, as detailed in Gano's doctoral dissertation (Dec'14), the methods can be understood in light of Kevin Lynch's groundbreaking work on wayfinding (under preparation for *Social Studies of Science*).

Other findings derived from the YR 9 national implementation of the FCTs are now being explored analytically through the empirical data collected on the tour in YR 10. Papers under development include:

- Phadke on "Place, Space and Hope in the Interstitial City," *Cities and the Environment* especially invited for the Special Issue on "Urban Vacant Land and Community Access".
- De <u>Ridder-Vignone</u> on "Against Reports: Representing results of public engagements through Images and Exhibitions" for *Leonardo* examines the value of media art based mini-exhibits that represent the collaborative work of participants.
- <u>de Ridder-Vignone</u> on "Images as Authoritative Knowledge in Public Engagement with Emerging Technologies" argues that visual forms of communication are powerful means of facilitating critical dialogue and representing citizens' values, desires, concerns, and curiosities about emerging technologies.
- Gano, G., Krista <u>Harper</u> and Marc Lorenc on "Futurescape City Tour Springfield: Science and Technology Studies in a Deindustrializing City" under preparation for *Cities*.
- <u>Tomblin</u>, David will explore "The Influence of Demographic Diversity on the Outcomes of Futurescape City Tours: A Multi-site Comparison" for *Public Understanding of Science*.
- <u>Tomblin</u>, David and Kathryn Ridder-Vignone will investigate in *Visual Studies* "Empowering the "Quiet" through Material Deliberation of Photos."
- <u>Miller</u>, T., A. Levenda, M. Matsler, A. Novie, D. Mahmoudi are preparing a site report based on the Portland data with a focus on sustainability research and education.

The FCT research team has also worked to share findings with a number of different audiences. Highlights include:

To academic audiences:

- A panel entitled "New Designs for Engagement: Theories and Practices of Material Deliberation" at the annual conference of the Society for Social Studies of Science (Buenos Aires, Aug '14) including papers by Phadke, de Ridder-Vignone, Altamirano, Sadowski and Selin.
- Gano presented at the workshop *Social construction of technology coming of age: new challenges and opportunities ahead*" at the Norwegian University of Science and Technology, Trondheim on her work: "The Megamachine: Lewis Mumford's Vision of Technological Society and Implications for (participatory) Technology Assessment"
- Gano, <u>Harper</u>, and Lorenc presented "Futurescape City Tour Springfield: Science and Technology Studies in a Deindustrializing City" at the Association of Collegiate Schools of Planning, Philadelphia, PA (Nov '14).

To policy audiences:

- <u>de Ridder-Vignone</u> and <u>Tomblin</u> on "Deliberating Differently: The Futurescape City Tours" for the CSPO New Tools for Science Policy Breakfast Seminar (October 23)
- Gano presented the FCTs at the Science and Technology Policy Gordon Research Conference in her talk "Public and Policy Feedback: S&T Communication and Engagement. Imag(in)ing Futures: Engaging Urban Publics."
- The FCTs are featured in PE2020 (Public Engagement Innovations for Horizon 2020, 2014-2017) catalogue of 50 innovative and cutting edge public engagement initiatives sponsored by the European Union FP7 Framework Programme.

To public audiences:

• Phadke presented the photographs from the FCTs at the "City Art Collaboratory Social" at the Amsterdam Bar in Saint Paul (April '14).

 Gano presented "Futurescape Springfield, at the Museums à La Carte Lecture. Springfield Museums.

It is worth noting that the FCT research team has also been experimenting with the FCTs in their educational offerings. For example, Tomlin (University of Maryland) has used elements of the FCT model in the UMD STS program (which focuses on exposing Engineer and Science majors to STS concepts) in the following ways: 1) Use material deliberation to open up perspectives about science and technology that young aspiring science and engineers may not acknowledge or understand. He uses this especially at the beginning of STS courses as a way for students to talk about their values in relation to S&T, to encourage systems thinking, and emphasize reimagining the future through the possibility of multiple innovation pathways that diverge from the status quo. 2) Tomblin extensively uses photography in the Infrastructure and Society course to get students to appreciate taken-for-granted aspects of infrastructure and to encourage systems thinking. 3) In our Sustainability and Design course, he uses material deliberation as an innovation tool to gain alternative perspectives and think about possible design pathways for sustainable technologies. These activities impact approximately 150 undergraduates per year. At Virginia Tech, <u>Tomblin</u> teaches a summer graduate course on Emerging Technologies to an audience of S&T and S&T policy professionals, where they explore FCT as a model for public engagement with emerging technologies and a tool for envisioning alternative innovation pathways. Gano has also organized a workshop at the Vassar College Science Technology & Society and Urban Studies Programs on "Technological Wayfinding in the City: A Workshop on Experimental Public Engagement in Futurescape City Tours."

The FCT research team has also extended the work developed through the FCTs in a number of spin-off activities. Highlights include:

- Two members of the FCT research team are now involved in Expert and Citizens Assessment of Technology (ECAST) where they continue to work on developing ways to creatively engage citizens with science and technology. Most recently, <u>Gano</u> and <u>Tomblin</u> worked with NASA on "Informing NASA's Asteroid Initiative: A Citizens Forum," and plan to stage the World Wide Views on Climate Change and Energy (June '15). Elements of the FCT project, especially how material deliberation influences dialogue, remain an important influence for me as work on these projects.
- Phadke has secured a grant from NOAA-GLISA "Making it Personal: Diversity and Deliberation in Climate Planning" that funds a series of consensus conferences in urban neighborhoods to prioritize funding for climate adaptation in the Saint Paul that uses elements of the Futurescape City tours, including the notion of capacity building. This is a partnership among Macalester College, the Science Museum of Saint Paul and the Mayor's Office.
- Gano was invited to California Polytechnic State University to present a workshop on "Making and Doing in Science and Technology Studies: Participatory Technology Assessment as Technological Wayfinding."
- De Ridder-Vignone secured a Faculty Development Grant from the Department of Integrated Science & Technology, James Madison University to better understand the role of images in understanding our past and shaping the future of technology in our communities (\$3K).
- De Ridder- Vignone has secured a 4-VA Research Grant, "Towards Assessing the Breadth of Expertise in Science and Engineering," with prior CNS graduate student Conley and Foley, along with Gorman (UVA). A part of the grant explores how expertise shows up in visual form. (\$11,592 from JMU and UVA)

Finally, in YR 10 significant effort was devoted to outreach and the dissemination of the methodology. Key works include a short video introducing the method, a digital archive and website (http://www.futurescapecitytours.org/) and a guidebook for practitioners. They guidebook, led by Selin (http://www.futurescapecitytours.org/) and a guidebook for practitioners.

and CNS communications coordinator Banks, is designed to share the FCT approach with broader audiences, including city planners, non-profit leaders and others involved in soliciting public opinion.

RTTA 4: Reflexivity and Integration

<u>Personnel – faculty and senior participants</u>

Erik <u>Fisher</u> RTTA 4 leader (ASU, assistant professor, Political Science and CSPO) Elizabeth <u>Corley</u> RTTA 4 co-leader (ASU, associate professor, Public Affairs) Ira <u>Bennett</u> (ASU, assistant research professor, CSPO) Shannon Conley (James Madison University, assistant professor) David H. <u>Guston</u> (ASU, professor, School of Politics and Global Studies, CSPO)

Other Personnel: graduate students (22), post-doc (1)

Goals: RTTA 4/1 documents the influence of CNS-ASU research and engagement activities on the knowledge, values, and choices of NSE researchers and others. RTTA 4/2 theorizes and informs the integrative agenda of anticipatory governance through field research, methodological refinement and collaborative inquiry with NSE researchers. RTTA 4/3 implements the integrative agenda of anticipatory governance through interactions and collaborations with NSE and co-curricular activities. RTTA 4/4 studies the meaning and implementation of integration and reflexivity in the international sphere of science policy.

Projects under the RTTA 4 rubric include: interviews with and surveys of Center participants including collaborating NSE researchers, including the supplement awarded in YR 8 to study the impacts and outcomes of CNS-ASU activities; 30 laboratory engagement studies coordinated by the associated STIR project; the DC Summer Session; and various projects that characterize, map and assess the integration of societal dimensions into NSE research and policy.

Research Program, Accomplishments and Plans, RTTA 4/1: Center Assessment

Annual Interviews

In years 1-6, we documented and assessed the influence of Center activities on the NSE researchers with whom we collaborate by annually implementing an interview protocol focused on the knowledge, identity, and practices of these NSE researchers, particularly around their understanding of the societal aspects of their work. Fisher, Guston and ASU doctoral student Brenda Trinidad revisit sample interview responses taken across this timeframe in light of the distinct qualities of responsible innovation that are evident in recent formulations of and frameworks for that concept (see below). They find that, over time, as its activities became more embedded within nanotechnology research culture and infrastructure, the Center was increasingly in a position to contribute to capacity-building for responsible innovation. Reflecting on the conditions necessary for institutionalizing effective training in responsible innovation, they point to a general difficulty by which established institutional structures—such as the interdisciplinary make-up of the membership and physical layout of research labs—may sometimes fail to achieve their intended effects. Comparing such an example against reports that CNS engagements associated with the Socio-Technical Integration Research (STIR) project were able to achieve their interdisciplinary goals, Fisher et al. conclude that it was precisely because such engagements were of temporary duration (albeit both intensive and sustained) that they were able to productively disruptive status quo laboratory research practices. By

implication, efforts aimed at responsible innovation capacity building may well have to exist on their own institutional footing, as liminal agents that are *embedded in but not accountable to* the projects and organizations in which they seek to operate.

Center Assessment

As reported earlier, in Fa 12, we shifted away from annual interviews with participating NSE researchers to implementing a broad survey that included all Center participants. Under supplementary NSF grant #0937591, RTTA 4 researchers set out to measure impacts and outcomes of the Center as a whole. This self-assessment study investigated CNS-ASU's ability to serve its mission and how CNS-ASU uses its place as an interdisciplinary center to accomplish its conceptual goals. CNS-ASU differentiates itself from other research centers by its ability to engage a variety of stakeholders, disseminate knowledge, and build capacity to understand and anticipate the futures of emerging technologies, namely nanotechnology. The assessment also sought to explore possible experimental metrics suitable for assessing impact on the numerous and diverse communities which CNS-ASU interacts. An experimental survey design sought to assess how CNS-ASU facilitates and translates discussions about the societal aspects of emerging technologies. It sough to do so by taking into account learning and behavior (Guston, 1999) as opposed to more traditional university research metrics in order to understand the impact of the Center beyond that of the immediate research community.

Postdoc Michael Reinsborough was initially hired to assist <u>Guston</u>, <u>Corley</u> and <u>Fisher</u> in performing an impact assessment that surveyed all Center (N=798) participants to that time and included approximately 80 follow-up interviews. As reported last year, the survey garnered a 51.3% response rate. After Reinsborough's departure, ASU doctoral student Alecia Radatz reformatted and reanalyzed the data. Last year, we summarized the data collection methods used for the Center self-assessment and reported on preliminary findings. This year, we update these findings based on a reformatting of the results and a reanalysis using the statistical analysis software SPSS 21. The reanalysis included parsing out the different kinds of missing responses for each question as well as contextualizing survey responses across question sets and in light of free response data.

CNS-ASU divides its mission into four components: research, training, engagement, and partnerships. The Center seeks to research the societal implications of nanotechnology and emerging technologies. Additionally, by training an interdisciplinary community of scholars with new insights into the societal dimensions of emerging technologies, CNS-ASU not only expands the community interested in this vital issue, it seeks to build and strengthen capacity to grapple with issues related to the coproduction of science and society. Furthermore, through initiatives that engage publics, policy-makers, business leaders and researchers in dialogues about the goals and implications of emerging technologies, CNS-ASU seeks to help involve stakeholders and include issues and perspective that have traditionally been left out until after emerging technologies are already developed. Finally, by partnering with cutting-edge laboratories and other institutions, CNS-ASU seeks to cultivate greater reflexiveness in research, development, education and policy.

Results from the survey contextualize the impact of CNS-ASU activities and help reveal whether CNS-ASU is serving its mission. Survey results demonstrated that CNS-ASU not only serves its mission, but that it also plays an active role in creating and disseminating

knowledge, capacity building, and that it serves as a catalyst in thinking about emerging technologies. Survey results indicate that all four components of the mission were served:

- Research: Survey responses imply that past participants in CNS-ASU felt that the CNS-ASU role of researching the societal implications of emerging technologies influenced their learning. More than forty-five percent of respondents noted that they had learned a lot, and an additional 37.3% learned some, about the societal aspect of NSE from CNS-ASU. Similarly, nearly one quarter learned a lot and 38.7% learned some about the technical aspects of NSE from the center.
- Training: Survey respondents and responses demonstrate that CNS-ASU trains an interdisciplinary community of scholars, in the process bringing new insights into the societal dimensions of emerging technologies. With CNS-ASU engaging faculty, staff and students through undergraduate and graduate courses across three universities, many survey respondents demonstrated that they interacted with CNS-ASU at some point during their academic career. Respondents to the survey include scholars from various levels of training, including graduate students (31.1%), post-doctoral scholars (10.0%), faculty members (29.1%), and visiting scholars (10.9%). One survey respondent remarked, "Working with CNS-ASU has taught me some new techniques for exploring and explaining societal impacts of emergent technologies with the public." Evidence of such training includes survey respondents reporting that they learned something about anticipatory governance (56%), real-time technology assessment (48%), public engagement (63.7%), cross-sector collaboration (60.4%), and interdisciplinary collaboration (58.8%) from CNS-ASU. Participants reported applying these concepts in their professional life, research, and public communication work.
- Engagement: CNS-ASU seeks to engage publics, policy-makers, business leaders and researchers in dialogues about the goals and implications of emerging technologies. Some survey respondents indicated their role as a business/industry professional (7%), government or policy work professional (5.8%), informal science education (6.1%), or a K-12 educator (1.6%). Additionally, about two-thirds of all respondents reported learning at least some about the process public engagement from interacting with CNS-ASU. The vocabularies (64.2%) and ways of talking about emerging technologies (69.2%) of survey respondents both changed as a result of their engagement with CNS-ASU. A respondent notes the role of CNS-ASU in influencing the discourse around emerging technology by stating, "Volunteering at public outreach at the AZ Science Center, I was able to see changes in the perception and ideology of parents who were interested in learning more about nanotechnology in their life." In this way, CNS-ASU is influencing the way people in society know about, interact with, and talk about emerging technologies.
- Partnership: CNS-ASU has partnered with cutting-edge laboratories to cultivate greater reflexiveness in research, development, education and policy, through coordinated laboratory engagements like those evident in the Socio-Technical Integration Research (STIR) project. Although no survey question explicitly asked whether or not CNS-ASU engaged in such collaborations, survey respondents noted that they learned some of the critical concepts related to these partnering efforts and suggested that they found value in this engagement. Survey respondents reported learning about interdisciplinary collaboration (61.4%), socio-technical integration (78.1%) and responsible innovation (73.8%) at least partially from CNS-ASU.
- *Open-ended question responses* elaborated on what types of impacts CNS-ASU had on survey respondents. More than forty percent of respondents indicated that they underwent individual behavior changes. One respondent remarked, "The work of CNS-

ASU has changed my personal behavior, as well as several graduate engineering colleagues, in our approach to research and problem framing." Nine percent indicated that the work of CNS-ASU led to an authoritative change. As an example, a respondent noted that within one organization focused on public science education, "an authoritative decision was made to include Societal and Ethical Implications as a core message in our programming and all materials we provide to partner institutions." Institutional changes were also noted by nearly thirty percent of participants. These changes span local and national institutions. In the institutional setting where CNS-ASU is located, a respondent contends that a university chemical hygiene plan "now has an appendix with guidance specific to various types of nanomaterials." At the local level, a participant recalled that CNS-ASU contributed to changes in "Planning and decision-making culture in [a government] administration." Institutional changes were also documented as occurring in both Europe and Japan, as a result of relationships with CNS-ASU.

By upholding its mission, the Center has been able to engage different stakeholders within science and science policy. This surveys attempts to understand the reach of the Center and the learning that its participants experience as a result of its activities. The results indicate the CNS-ASU is a site of both knowledge creation and dissemination. It teaches concepts and processes so that participants can apply knowledge in their own lives. The Center is a capacity building enterprise, where participants not only learn to use key concepts and skills in their professional life and work, but also to participate in and deepen public engagement activities. These impacts represent efforts that have had rippling effects. CNS-ASU activities and initiatives result in changes in daily behavioral, institutional, and some authoritative changes, indicating that the impacts from center activities are not confined to within the walls of academic work. Instead, these activities appear to change the way people think, speak and act regarding nanotechnology and other emerging technologies.

Research Program, Accomplishments and Plans, RTTA 4/2: Socio-Technical Integration Research (STIR)

CNS-ASU supports a unique set of laboratory studies and engagements. These studies are not traditional laboratory ethnographies with a focus on observation and explication, but rather are efforts to integrate social science and humanities with NSE research and to understand the conditions and effectiveness of such integration. Early Center reports detail initial individual integrative research and the **Education** section of this report discusses integrative curricular and educational activities. Since Sp 09, the separately funded NSF Socio-Technical Integration Research project (STIR; # 0849101; Fisher, PI; Guston, Co-PI) has constituted the Center's principle research activities focused on documenting and understanding NSE capacities to participate in responsible innovation through collaborative social scientific engagement. STIR has trained and coordinated the "laboratory engagement studies" (Fisher, 2007) of over two-dozen doctoral students, who implement a "decision protocol" (ibid.) that is designed to both facilitate collaborative "midstream modulation" (Fisher and Schuurbiers, 2013) and improve understanding of the conditions and capacities for "socio-technical integration" (Fisher & Miracle, 2014; Fisher et al., 2014). (See **Education** section for a list of the 22 participating STIR students and 4 post-docs by institution.)

STIRers are trained to implement various tools and techniques developed by <u>Fisher</u> over the course of each 12-week study in the attempt to conduct socio-technical

September 1, 2014 - August 31, 2015

collaborations, study the social and cultural conditions that enable and constrain them, and assess the policy dimensions of their outcomes. STIR laboratory engagement studies have been completed in over half a dozen ASU laboratories and in 22 additional laboratories around the world, bringing the number of labs in the STIR network to 28. Last year, we reported on several individual STIR studies, preliminary findings from an aggregated assessment of 30 coordinated STIR studies, and Fisher's testimony before the President's Bioethics Commission. Major activities in YR 10 include developing a comparative framework for socio-technical integration, planning additional individual laboratory engagement studies, revising the aggregated STIR assessment, developing a reflexive account of conducting STIR engagements, organizing and participating in international workshops including the second Communities of Integration meeting.

Individual STIR studies

Last year we reported on STIR studies conducted by assistant professor Steven Flipse (TU Delft), PhD student Cecilie Glerup (Copenhagen Business School), and Presidential Management Fellow and Synthetic Biology Leadership Excellence Accelerator Program fellow Cameron Keys. This year, we report on Shannon Conley's paired studies of genetics laboratories in Vancouver, British Columbia and Oxford, UK.

Like several other STIR graduate student investigators, Conley devoted a chapter in her dissertation, *Negotiating Socio-technical Contracts*, to her paired STIR studies. In a chapter entitled "Assessing Responsive Capacity: Negotiating Socio-technical Contracts at the Midstream," Conley argues that integration exercises can serve a vital role in rendering socio-technical contracts more visible to participants and in opening them up to reflection midstream. A key theme throughout Conley's dissertation focuses on articulating existing capacities for engaging in anticipatory governance. In her final chapter, Conley explores capacities for negotiating socio-technical contracts on the level of the laboratory, in the "midstream" of technological development activity, when research mandates, funding, and policies have already been established, but before research products enter the market or results are published (Fisher et al., 2006). While the rest of her dissertation explores *existing and historical capacities* for engaging in anticipatory governance, this chapter takes a different angle on the idea of capacity building, and drawing from the integration sphere of anticipatory governance, delving into the ways in which *capacities for anticipatory governance are currently being built* within the R&D sphere.

Conley finds through her STIR work that socio-technical contracts were renegotiated within the laboratories during the duration of the studies. First, in order to provide a broader context of the external policy mandates on the laboratories, Conley offers an overview of policy dialogues around "responsible innovation" in Canada and the United Kingdom. Conley then reviews three key areas that enabled her participation in the renegotiation of socio-technical contracts and assessment of responsive capacity within the laboratory setting. These areas include: the price of integration, participating in material practices, and responsible innovation. Conley utilizes these three areas to structure discussion of her case studies. Over the span of these two studies in the spring/summer and fall/winter of 2009, Conley participated in structured and unstructured interactions with her geneticist collaborators on topics ranging from research decision-making to responsible innovation. Throughout the course of regular interactions that eventually evolved into collaborations, Conley transitioned from a laboratory outsider to a valued team member, assisting with and performing her own experiments, transferring laboratory techniques,

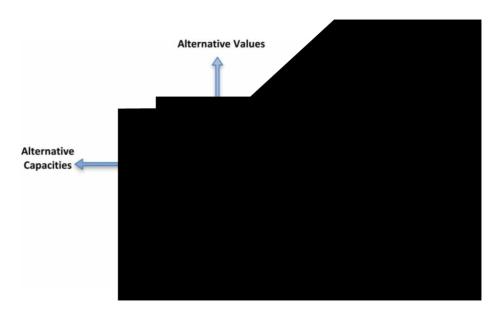
and stimulating changes in laboratory patient engagement practices. Conley argues that the laboratory is a key arena for the negotiation and renegotiation of socio-technical contracts. This chapter presents STIR engagements as an illustration that *capacities for anticipatory governance can be actively and intentionally built.*

STIR and Communities of Integration Workshops

In YR 9 we described the inaugural "Communities of Integration" workshop co-sponsored by CNS-ASU and held in conjunction with the 5th STIR project workshop. This brought together an international network of research communities studying various aspects of socio-technical integration and led to follow-up research and presentation activities. In YR 10, a second annual meeting hosted by the University of Waterloo in Kitchener, Ontario, brought together many of the same research groups as well as an expanded array of integration scholars and practitioners and sought to "focus new attention on projects that seek to facilitate and understand productive collaborations between technical experts and societal researchers." Following the model used at ASU in YR 9, the workshop facilitated both parallel workshops by individual communities of integration as well as several joint sessions in which general purposes, goals and tangible outcomes were considered. Also during the meeting, an international group of STIR investigators and other integration researchers took part in the 6th STIR project workshop, which focused on developing the results of the aggregate STIR study and reflexive ethnography both begun during the previous year's workshop.

Comparative Integration Framework

One of the outputs from the two "Communities of Integration" workshop was the YR 10 publication of a paper that takes stock of "collaborative approaches to socio-technical integration that seek to broaden the societal contexts technical experts take into account during their routine activities" (Fisher et al. 2015). The paper builds upon the definition of socio-technical integration reported upon last year, namely, any process by which technical experts account for the societal dimensions of their research as an integral part of that research (Fisher and Maricle 2014). It develops a comparative framework that identifies four idealized modes of socio-technical integration. These modes depend upon the unique relationship of a given integration approach to the knowledge and values of the technical experts with which it seeks to collaborate. More specifically, the framework takes into account the relative degree of alternative knowledge/values that an integration approach seeks to introduce into the expert environment as compared to the native knowledge/values of the experts in question. This framework is represented in the figure below, which uses the term "capacities" to denote not only knowledge and skills but also cognitive and emotive dispositions.



In the paper, each of the four idealized modes, described below, is exemplified by a particular approach to socio-technical integration:

- *Reform* is a mode of integration that seeks to introduce values thought to be otherwise absent or missing from the expert practices in question and that emphasizes its own knowledge, skills and expertise. Exemplar: Human Practices.
- Problematize refers to a mode of integration that seeks to broaden the values taken
 into account by the expert practices in question; in contrast to reform, this mode
 seeks to enhance the capacity of the collaborating experts themselves to broaden
 the values they take into account. Exemplar: STIR.
- *Facilitate* similarly targets the native capacities of the experts with which it interacts; unlike *reform* and *problematize*, however, it seeks less to broaden values and more to reinforce and support the existing or "native" values of the collaborating experts in question. Exemplar: Toolbox project
- Augment modes of integration, similar to facilitate, seek to reinforce or support the
 values of the experts with which it interacts; but it seeks to do so by focusing on its
 own expertise, which supplements or augments that of the technical exoperts in
 question. Exemplar: bioethics consultancy.

Integration and Imaginaries Project

RTTA 4 researchers have begun to conceptualize the relationship between changes in knowledge and values at the level of expert micro-practices and the broader sociotechnical imaginaries that are taken to structure and inform these practices at national, regional and local levels. Combining recent theoretical work on sociotechnical imaginaries with methodological advancements in socio-technical integration, ASU visiting professor Jen Richter, ASU alum and Portland State assistant professor Thad Miller, ASU doctoral student Abe Tidwell and Fisher seek to explore how science and technology discourses, practices and designs are conceptualized and articulated and how these relate to elements of broader sociotechnical imaginaries, such as linear notions of economic growth and the relationship between technology and progress. In so doing, their project seeks to modify the STIR approach so as to enhance practices of reflexivity and deliberation across diverse expert practices, for instance in the urban environment. Finally, the project seeks to assess the extent to which these broader and more diverse socio-technical engagements may inform, if

not reshape technological discourses and design choices. Using the case of "smart energy" and "smart grid" endeavors, project objectives and corresponding research questions are as follows:

- *Observation*: Identify and characterize national sociotechnical imaginaries, e.g., for smart energy technologies; Identify, characterize and compare the sociotechnical imaginaries for smart energy technologies in comparable cities (e.g., Portland and Phoenix); Understand how organizational and expert practices across diverse settings perform these imaginaries and possibly articulate alternative ones.
- Engagement: Adapt the STIR approach to open up sustained collaborative spaces for deliberation on social values with technical experts across diverse organizational forms to explore effects of social science engagements on informing and shaping technological discourses, practices and designs, e.g., in urban settings.
- Assessment: Critically assess the potential for social science engagements to problematize expert performances of sociotechnical imaginaries and enhance the responsiveness of expert practices to social values, ultimately to facilitate alignment of ongoing technological innovation with broader deliberation over time.

This project not only seeks to understand and assess the intervention work and outcomes of socio-technical integration approaches such as that of STIR, but also to open up comparative study of sociotechnical imaginaries at multiple levels. Finally, it seeks to understand the extent to which knowledge and value changes in expert practices can be understood in relation to potential *alternative* sociotechnical imaginaries.

From Ethnography to Engagement

Norwegian Board of Technology researcher and CNS-ASU Winter School alum Mads Gjefsen coauthored a paper with Fisher for the "Embedded Social Sciences?" forum of Science as Culture. The paper takes up the question of lab-based social science collaborations from the standpoint of recent policy discourses, such as that found in the European Vilnius Declaration and Horizon 2020, which seek to integrate the social sciences and humanities (SSH) into research and innovation. Gjefsen and Fisher suggest that practical questions such as how such integration ought to be structured should lead to more fundamental questions that push scholars and policy makers alike to let go of "idealized and abstract notions about the virtues of SSH as a whole, and to reflect critically on what can be achieved within concrete settings." They argue that "attempts to institutionalize SSH as legitimate participants in the social shaping of research and innovation will, ideally, require interventions that are both effective at informing local practices within specific sites of engagement and at the same time attuned to the multiplicity of sites, stages and levels which structure innovation processes and outcomes." Accordingly, the authors justify laboratory engagements on the basis that the lab is a key site of production not only for knowledge and technology but also for expertise.

STIR Reflexive Ethnography Project

Previous years reported on <u>Fisher</u> and Trinidad's efforts to survey and perform follow-up interviews with STIR project investigators as part of a mediated reflexive ethnography of STIR investigators' experiences conducting laboratory engagement studies as embedded scholars working side by side with natural scientists and engineers in pursuit of collaborative inquiry. In YR 9, Trinidad summarized the results at the STIR project's 5th workshop and led a series of reflection and recollection exercises, such as guided imagery, photo elicitation, and free writing exercises. In YR 10, Conley and Trinidad developed a

draft reflective ethnography paper that Conley presented at the STIR project's 6th workshop held Jun 14 at Waterloo University in Canada.

The paper is meant to turn the collective ethnographic eye inwards, to elucidate the experiences of the socio-technical integration researcher (STIRer) as he or she is changed and challenged by his or her interlocutors and the experience itself. This paper focuses on shifting roles and identities within the integrative process, with a specific eye towards STIRer experiences within the laboratory context as they navigated their own role as embedded humanists, as well as laboratory members' perceptions of their roles. In so doing, the paper introduces and elaborates upon several themes of the STIR integration experience, illustrating each theme with a case study recounted by a STIRer.

For instance, a major theme of the paper focuses on the notion of disorientation, and personal and the intellectual vulnerability of the ethnographic researcher. This theme details the "obligatory passage points" that STIR researchers had to pass through in order to gain legitimacy within the laboratory context. A second theme explores the ways in which STIR researchers immersed themselves in the technical language and practices of the laboratory. While other scholars have also learned science as part of laboratory observation studies, the STIR approach seeks to draw on the science less as a linguistic medium and more as a topical *entry point* for discussing broader ethical and social considerations in the midstream of laboratory decision-making. Additional themes describe mutual learning between STIR researchers and their natural science and engineering counterparts; the process of becoming embedded; intellectual and personal risks that STIR researchers and their scientific collaborators underwent during the integration process; and the confluence of methodology, skill and politics that went into individual choices of how to use—and whether to use—the STIR decision protocol within various contexts. Finally, the paper grapples with multiple cases "in-between-ness" that STIR researchers experienced given the STIR directive to "go all but native" in the laboratory, including the space between observation and advocacy that STIR researchers often felt they had to navigate.

STIR was co-funded for 5 years at \$540,000 through several NSF programs: Science, Technology and Society; Biology and Society; Mathematical and Physical Sciences and Society; Science of Science and Innovation Policy; and Office of International Science and Engineering. Additionally, through an international network that PI Fisher has cultivated since joining CNS-ASU in Aug 06, STIR project collaborators have expended over \$500,000 to support and continue the non-NSF funded aspects of the project, bringing the total project funding to over \$1M (not including administrative and financial support from CNS-ASU).

Research Program, Accomplishments and Plans, RTTA 4/3: Integrative Co-curricular Activities

(See **Education** section for an account of the DC Summer Session and the Certificate in Responsible Innovation.)

Research Program, Accomplishments and Plans, RTTA 4/4: Integration Policy and Responsible Innovation Studies

RTTA 4/4 conducts a number of policy studies that characterize, map and assess sociotechnical integration into nanotechnology R&D prioritization, allocation and delivery processes in the US and around the world.

Integration Policy Studies

In previous years, we reported on the increasing role that socio-technical integration has played in European R&D system, both at the "high policy" level and at the more routine level of resource allocation and solicitations; on the lack of efforts devoted to socio-technical integration at the research prioritization in the US and UK nanotechnology programs in the wake of novel policy initiatives for responsible innovation; and of international efforts aimed at responsible innovation in terms of multi-level dynamics.

Responsible Innovation: ASU Initiatives

As reported in past years, Fisher and Guston have been closely involved with activities and scholarly efforts organized around the nascent concept of Responsible Innovation in the US, UK, Europe, Japan and South America, including through the Virtual Institute for Responsible Innovation (VIRI; # 1257246; Guston, PI; Fisher, Co-PI), a session organized by Guston at the 2013 Annual AAAS meeting on "Responsible Innovation in a Global Context," and the creation of the Journal of Responsible Innovation (Guston, editor in chief; Fisher and four European colleagues, associate editors). IRI has now released four issues and has continued to provide a forum for discussions of the normative assessment and governance of knowledge-based innovation. VIRI graduate research assistant Miles Brundage has made extensive progress on both his personal research agenda of RI applied to artificial intelligence and robotics as well as the VIRI agenda of attempting to understand RI as a scientific-intellectual movement. VIRI helped support the attendance of a small number of early career scholars to the NSF-funded, associated Workshop on Research Agendas for Societal Aspects of Synthetic Biology, and it supported travel for CNS grad students to Europe in Summer 2014. This summer, VIRI will collaborate with member institution Science Policy Research Unit (SPRU) at the University of Sussex, UK, to host a meeting of VIRI's now eighteen members.

Responsible Innovation: Distinguishing Features

After examining the variation of definitions, frameworks and practices associated with Responsible Innovation, RTTA 4 researchers <u>Fisher</u>, <u>Guston</u> and Trinidad discern three relatively unique features of responsible innovation in comparison to comparable internationally-adopted science policy programs. Comparable programs "policy for science" programs include Ethical, Legal and Societal Implications (ELSI), Responsible Conduct of Research (RCR), Institutional Review Boards (IRBs), the National Science Foundation's Broader Impacts Criterion (BIC), among others. By comparison, responsible innovation is unique in the combination of (1) its broad stance towards science and innovation, (2) the societal context within which it takes science and innovation to be embedded, and (3) the active role of scientific research and technological design within this context.

Responsible Innovation: Pedagogical Objectives

From this basic rubric, the researchers derive three general and idealized objectives for responsible innovation training and education. Regardless of the specific content, methods

and goals that a given pedagogical program may associate with responsible innovation, the technical experts and practitioners that such programs aim to produce will, ideally, recognize that (1) science and innovation entail societal dimensions as a matter of course; (2) these dimensions are co-produced by science and innovation and their societal contexts; and (3) these dimensions can and should be attended to even at the bench-level of research, design and other mundane innovation practices.

Informing Policy Discourse: Presidential Bioethics Commission Report

In YR 9 we noted that <u>Fisher</u> testified before the Presidential Commission for the Study of Bioethical Issues in Washington DC. In May 2014, the Commission released the report *Gray Matters* (PCSBI 2014), which—as <u>Guston</u> notes in his editorial introduction to the second issue of the *Journal of Responsible Innovation*—discusses in detail the options for pursuing responsible neuroscience in the context of President Barack Obama's BRAIN Initiative. The focus of the report is "integrative approaches for neuroscience, ethics and society," and it borrows heavily from <u>Fisher's</u> testimony and work in Socio-Technical Integration Research (PCSBI 2014, 15). Indeed, three of the Commission's four recommendations focus on integrating ethical and societal perspectives with neuroscience – "early and explicitly throughout the research" as well as in education and in advisory bodies – and the fourth focuses on evaluating integration techniques.

RTTA 4 Continuing Integrative Outcomes

In addition to conducting ongoing integrative studies and engagements, RTTA 4 involves various socio-technical collaborations. In previous years, we reported on collaborations between Fisher and Woodbury regarding scenarios based on the nanodiagnostics for theranostics medicine work done in the Woodbury lab, among other collaborations. This year, we note that Tom Seager of ASU's School of Sustainable Engineering and the Built Environment was a co-author on the article, *Mapping the Integrative Field: Taking Stock of Socio-Technical Collaborations*, which was based on collaborative work with RTTA 4 during the previous year and also included Fisher and ASU doctoral student Eric Kennedy as co-authors. Additionally in Y10, Fisher participated for the second time in the meeting of the Association for Managers of Innovation, which led to several invitations to collaborate with scholars and practitioners of innovation.

Contribution to "ensemble-ization" or other center-wide activities

RTTA 4 continues to work with RTTA 2 and 3 in several projects. Center-wide activities reported last year included a public engagement event organized in the Netherlands based on STIR work in Japan and on efforts to tailor STIR more closely to the sustainability agenda, combining elements of RTTA 4 and 3. Five attendees at the Y10 CNS Winter School have continued working with Fisher on a new project. Guston, Corley and Fisher continued working with Reinsborough and began working with Radatz on the Center impact assessment that combines efforts of RTTA 2 and 4. In Jul 14, Fisher partnered with Seager and several other Center participants to conduct sessions in the Sonoran SciComm workshop in Arizona that explored the interplay of empathy and creativity in collaborative teamwork, which led to planned collaborative activities with members of Seager's engineering group involving various pedagogical strategies and grant applications involving responsible innovation. Finally, RTTA 4 researchers are in discussion with the Smithsonian Institute's Lemelson Center for the Study of Invention and Innovation about a future

collaborative event that would also involve additional research and engagement programs within the CNS-ASU.



Photo: STIR project workshop 6 participants in Kitchener, Ontario. Photo credit: Heather Douglas.

TRC 1: Equity, Equality and Responsibility

<u>Personnel – faculty and senior participants</u>

Susan <u>Cozzens</u>, TRC 1 co-leader (GA Tech, professor, Public Policy, Vice-Provost for Graduate Education & Faculty Development, TPAC)
Jameson <u>Wetmore</u>, TRC 1 co-leader (ASU, Associate Professor, School of Human Evolution & Social Change, CSPO)

Matthew Harsh, Assistant Professor, Concordia University Ogundiran Soumonni, Assistant Professor, University of Witwatersrand, South Africa Thomas Woodson, Assistant Professor, Technology and Society, Stony Brook University

Other Personnel: graduate students (2) Rafael Castillo (GA Tech) Michael 'Bernstein (ASU)

Goals: over the past several years the TRC 1 team has been focused on determining whether and how nanotechnology can be used to help the disadvantaged. Much of this work has been centered on South African and US initiatives to develop "pro-poor" nanotechnology. More recently the team has been developing and disseminating best practices for building technologies that can best meet the needs of disadvantaged communities.

Research Accomplishments and Plans, TRC 1

Community Engagement Workshops

During its research, the TRC 1 team found several examples of attempts to create pro-poor technologies that struggled greatly or failed completely because the scholars involved did not understand the context of the depressed regions they were trying to improve. In a modest effort to help remedy that problem, for the last two years TRC 1 has been creating and hosting a series of short workshops that introduce scientists and engineers who want to engage with the developing world to basic steps they can take early on to increase the possibilities for success.

To date the team has tested components of the workshops twice – at the 2014 and 2015 CNS Winter Schools – and run four full workshops in three countries. The first was held at Georgia Tech on March 20th and 21st, 2014. This first workshop was the final shakedown of the program. The second was held at the University of the Western Cape, South Africa on April 8-11, 2014. The program was run for 25 students from across Africa who were participating in the South Africa's National Nanoscience Postgraduate Teaching and Training Partnership, a collaborative between the University of the Western Cape, University of Johannesburg, University of the Free State, and the Nelson Mandela Metropolitan University. On October 3-4, 2014 the workshop was held in Concordia University, Montreal for more than 20 graduate students from several different science and

engineering programs. And on November 21-22 the program was run at ASU for 15 students from different disciplines.

The major focus of the workshop is to help participants recognize the limits of their knowledge when it comes for designing technologies for communities they do not yet understand. The workshop stresses partnering with local people and organizations who can not only help define solutions, but even more importantly help to determine what the problems are to begin with. To this end each workshop has sought local experts and community organizers who can help students see the ways in which partnerships are crucial to success. At Georgia Tech the workshop partnered with the Sickle Cell Foundation of Georgia. In Cape Town the local partner was the ArcelorMittal Science Center. In Montreal the students met with scholars working with Inuit communities. And in Tempe representatives from ASU's Global Resolve explained their work. Much of the program in the workshop is designed to help students develop listening skills to increase the number of voices that have a say in the project.

The project team was joined over the summer by Michael Bernstein, a CNS graduate student doing work with TRC2. Bernstein brings an interesting set of analytical tools to help explain what students are learning and what impact programs can have on the professional development of scientists and engineers. Bernstein collected data at the Concordia and ASU workshops and will be writing a chapter of his dissertation on the topic.

The research team is currently seeking additional opportunities to present the workshop. There is currently interest at the University of Virginia and Stony Brook University. Subsequent versions may be held over the fall. The next major step, however, is to develop a handbook that can provide other scholars who want to run a similar workshop, with the basic tools necessary to put one together. This volume will likely end up in CSPO's *The Rightful Place of Science* book series, a list of six current short book publications available at very low cost in print-on-demand or e-book format.

Graduate Student Updates

Rafael Castillo was instrumental in organizing several of the workshops and has continued working on his dissertation on the impact of nanotechnology on employment. Michael Bernstein helped to organize the ASU workshop and is finishing up other aspects of his dissertation as he processes the data collected at the final two workshops. And longtime TRC1 member, Thomas Woodson, successfully defended his dissertation on the role of public-private partnerships in nanomedicine in the spring of 2014. In the fall of 2014 he began a tenure track position in Technology and Society at Stony Brook University.

Nano Around the World Card Game Update

The Nano Around the World Card Game continues to be played around the world. It is used by museum professionals not only in their outreach, but in their training as well. A recent NSF funded research project to develop museum materials on the topic of Synthetic Biology is sponsoring the development of at least two card games that were at least partially inspired by the nano game.

Technology in Developing Countries Spinoff Project

The TRC 1 work on technology in developing countries, especially South Africa, has also helped to form the foundation for a 2-year NSF grant that was awarded in September 2013 to Wetmore (PI), Harsh (Co-PI, and CSPO professor of practice Gregg Zachary (Co-PI). This grant, "Capacity Building in Computer Science as a Driver of Innovation," seeks to understand how African computer scientists in Kenya and Uganda are developing uniquely African solutions to African problems. Some of the connections Harsh made in the CNS project helped him to ultimately get invited to conferences in South Africa on computing in Africa. The Capacity Building project completed its first field trip to Kenya and Uganda in the summer of 2014, has produced a short film on the topic, and is returning for follow up research in May and June of this year.

New publications:

Woodson, Thomas, Duy Dot. (2015). "Nanotechnology Companies in the United States: A Web-based Content Analysis of Companies and Products for Poverty Alleviation". *Journal of Business Chemistry* 12 (1).

Book review:

Woodson, Thomas. (2014). "Book Review: Nanotechnology and Development-What's in it for Emerging Countries". *The Journal of Development Studies*. 50 (11).

TRC 2: Urban Design, Materials, and the Built Environment ("Nano and the City")

<u>Personnel – faculty and senior participants:</u>

Arnim <u>Wiek</u>, TRC 2 leader (ASU, associate professor, School of Sustainability)
Sander <u>van der Leeuw</u>, TRC 2 co-leader (ASU, professor, School of Sustainability)
Rider W. Foley, TRC 2 co-leader (UVA, assistant professor, Center for Engineering and Society)
David H. <u>Guston</u> (ASU, professor of politics and global studies; director, CNS-ASU)
Darren Petrucci, senior participant (ASU, professor of design)

Other personnel – post-doctoral scholars (1), graduate students (1), undergraduate students (3)

Graduate students: Michael Bernstein (School of Sustainability)

Undergraduates: Abigail Howell, Brooke LaBranche, Evan Taylor

Goals: The TRC2 group at the Center for Nanotechnology in Society (CNS-ASU) is addressing the question: How can nanotechnology be innovated and governed in responsible ways and with sustainable outcomes? Our studies employ system analysis, scenario construction, assessment, and intervention research methods to refine theories of anticipatory governance, sustainability, and responsible innovation. We focus on metropolitan Phoenix, a top-thirty nano-district and a top-twenty innovation hub in the U.S and in the final two years of the center, TRC2 expanded our research activities to include other national (Washington DC) and international (Montreal, Canada) sites through partnerships with other research themes on the CNS grant.

Key Findings

Against a backdrop of systems analysis, assessments and scenario construction research conducted in years 2011 – 2014, graduate student Bernstein launched into a series of intervention research studies. The first study in 2014-2015 systematically assessed outcomes that result from Science Outside The Lab (SOtL), an intervention into science and engineering education. Preliminary results suggest that, after participating in SOtL, science and engineering graduate students in nanoscience and other fields increasingly appreciate the plurality of voices and values involved in shaping science policy priorities. A second study in 2014-2015 focused on the Community Engagement Workshop (CEW), first piloted by TRC-1 co-leaders Wetmore and Cozzens in South Africa in March 2014. For this project, graduate student Bernstein helped incorporate an intervention research approach into the design, implementation, and evaluation of two additional CEWs, one in Montreal, Canada, and one in Phoenix, Arizona. Preliminary findings indicate that the workshop increases participants' capacity to look beyond technology when conceiving of engineering projects for community development. The intervention research approach honed throughout this process by TRC-2 is being prepared for a series of manuscripts and presentations detailing theoretical and methodological advances.



TRC 2 co-leader <u>Wiek</u> welcomes the participants and introduces the walking audits in front of the Gateway Community College to a 'Collaborative On-site Technology Exploration' that brought together a diversity of stakeholders to explore what could be done differently.

In past years, TRC-2 addressed questions of: How is nanotechnology currently innovated and governed in the urban environment? How well does the current governance and innovation regime perform against principles of risk, sustainable, and anticipatory governance (responsible innovation)? What could be future implications if the current innovation and governance regime continues, in contrast to alternative models? and What are necessary changes to innovate and govern nanotechnology in responsible ways?

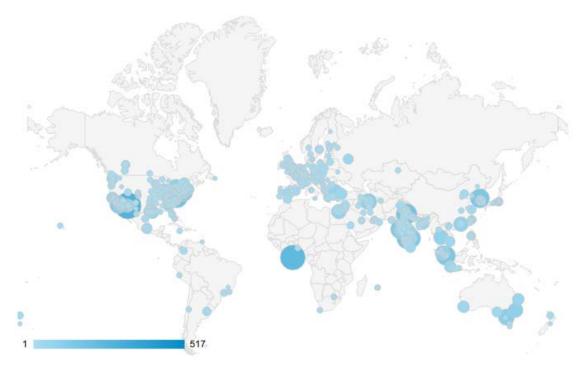
Our case study research in Phoenix finds the dominant actors are academic, industrial, and government funding agencies (i.e. triple helix) with the shared objective to deploy profitable commercial or military products. This actor network is divided along product-sectors with few cross-sector linkages. Lack of cross-sector linkages limits opportunities for collaboration, coordination, and joint learning. The actor network in Phoenix pays little attention to risk mitigating organizations (e.g., insurers, government regulators, NGOs). The nano-enhanced city may offer benefits to a privileged few yet, city officials, civil engineers, NGOs, and citizens, who participate in urban development, are unlikely to have the opportunity to deliberate on the effects of nanotechnologies before they are deployed.

There is novelty in the products' functionality, ranging from solar technology to personalized medicine; but there is little evidence in Phoenix of novelty in its innovation and governance processes. Actors, activities, as well as constraining and enabling factors, follow market-oriented or closed-collaboration (military) models of innovation and governance with little attention paid to adverse effects or broader public values. These characteristics stand in stark contrast to state-of-the-art governance in technology development.

Our participatory scenario study suggests that two dominant models of nanotechnology innovation and governance (market-oriented, and closed-collaboration military model) might amplify the lack of social cohesion, livelihood opportunities, as well as resource depletion and large-scale contamination. Society might get further divided along people's socio-economic status and means. Social tensions and outburst of violence might get mitigated with even greater dominance, surveillance, and other control mechanisms (employing suitable nanotechnologies). In contrast, we explore governance models with high levels of public participation or open-source activities that could expand beyond the 'triple helix' of innovation, linking public agencies, risk mitigating actors, and civic society. Society might develop a unique practice of collectively addressing urban sustainability problems. This could lead to transformational solutions, including particular types of nanotechnologies that alleviate stresses on people, economy, and environment. The scenarios support an earlier study that highlighted the critical need to complement nanotechnology innovation with non-technical interventions. Nanotechnologies in the current governance regime have limited potential to positively affect urban sustainability challenges, such as water contamination, energy use, or childhood obesity. Embedded in more comprehensive transition strategies, however, they could play a critical role in making progress towards urban sustainability.

Nanotechnology in City Environments (NICE) Database

Continuing work begun in previous years, three undergraduate students and one graduate student continued efforts to support and expand the Nanotechnology in City Environments (NICE) database. The NICE database catalogues academic research, public reports, advertising materials, technical specification, and theorized implementation of nanotechnology captured in an urban context. The NICE database has been used as a resource for CNS-ASU in multiple projects, including the FutureScape City Tours as a reference tool for partners and participants, for TRC2 scenario study and for an assessment of the current state of nanotechnology. The website reaching out to interested scholars, professionals, and the general public. In the most recent reporting year 14,470 unique visitors accessed the website from March 16, 2014 to March 6, 2014 up from 12,476 in the previous year. Visitors log on over 1,000 cities around the world. The database has been continuously updated and built out during this time period. (Also see **Section 12 Outreach and Knowledge Transfer**).



Map of cities that shows the number of unique visitors (expressed by the circle size) that visited www.nice.asu.edu. Note: Blue dot off the east coast of Africa is 'unset' locations.

Anticipatory life cycle assessment

Anticipatory LCA builds off real-time technology assessment to explore environmental uncertainties through structured interdisciplinary collaboration, specifically identifying the synergistic contributions of social, physical, environmental, and decision sciences that can inform LCA modeling decisions. The results of such aLCA models may inform research and development decision-makers of broader environmental and stakeholder value-derived criteria for technology assessment. CNS researchers partnered with the NSF-funded Quantum Energy and Sustainable Solar Technology (QESST) Engineering Research Center at ASU, as well as industry collaborators at General Electric and the non-profit organization EarthShift LLC to publish this novel approach as the cover story in the 16 September 2014 issue of *Environmental Science*

and Technology. The cover featured an image from the short film "Phoenix 2050," created as part of a graduate studio course taught by co-leader <u>Wiek</u> and senior personnel Petrucci. This article, along with its artwork, demonstrates the unique ability of the Center to foster interdisciplinary research spanning three sectors and five different schools within ASU.

To move the aLCA agenda forward with international collaborators, CNS researchers hosted an workshop entitled "Advancing Life Cycle Assessment for Responsible Research and Innovation" concurrent with the 6^{th} annual meeting of the Society for the Study of Nanoscience and Emerging Technologies (S.NET) in Karlsruhe, Germany. The workshop connected European and US researchers ($n\sim30$) involved in LCA and other technology assessment methods, featured plenary presentations on anticipatory and prospective LCA methods, and engaged participants in a series of small group activities. Following the workshop, a smaller cohort of researchers gathered at the 2015 CNS Winter School to reflect and summarize findings in a forthcoming workshop report and book chapter for the S.NET proceedings.

Ongoing projects and outcomes

Co-leader <u>Wiek</u> guided a design studio that explored the scenarios constructed by TRC2 in partnership with director <u>Guston</u> and senior participant Petrucci and ASU's Design School. Graduate students developed urban design proposals and other imaginative concepts of the nano-enhanced city based on the scenarios and their components, including societal drivers, innovation models, nanotechnology applications, and urban sustainability challenges. The students reimagined the urban design impacts of various types of nanotechnology. Place-specific renderings were overlaid with audio to create a 'movie' that visually depicts the mutual interactions between nanotechnology and society. Based on this work, Petrucci and <u>Foley</u> presented the scenario movie in Washington, DC at the Consortium for Science, Policy and Outcomes offices for science policy advisors and interested guests. This research project yielded publications in *Issues in Science and Technology* and *Futures* in this reporting year, which included numerous images from the studio. One image generated in the studio was featured on the cover of *Environmental Science and Technology*. Another was featured on the cover of the March 2015 edition of *ASU Magazine* with a portrait of Petrucci as part of a feature story on the role of the arts and design in science, technology, engineering and mathematics (STEM).



Design students discuss first set of urban design proposals with CNS-ASU director <u>Guston</u> and instructors Darren <u>Petrucci</u>, Renata Hejduk, and <u>Wiek</u> in the Decision Theater.

Ongoing project goals and avenues for further investigation

We are currently conducting research studies to test alternative interventions in innovation processes guided by normative concepts such as anticipatory governance and responsible innovation. We have cataloged 50 potential interventions, from which we are selecting a small sample of case studies. This research contributes to social learning, product re-design, and shifts in practices across the innovation cycle.

TABLE 2: NSEC Program Support (NSF Grant #093791)

| Projects | (1) Current Year 9/1/14 - 9/1/15 | (2) Current Year 9/1/14 - 9/1/15 | (3) Current Year 9/1/14 - 9/1/15 | (4) Summary 1-3 Current Year | (5) Next Year 9/1/15 - 9/1/16 |
|--------------------|-------------------------------------|-------------------------------------|-------------------------------------|---------------------------------|----------------------------------|
| | Budget | Budget | Budget | Total Budget | Budget |
| | (NSF) | (Cost-share) | (Other Support) | (Combined) | (NSF) |
| RTTA 1 | \$219,481 | \$10,343 | \$495,788 | \$725,612 | \$25,358 |
| RTTA 2 | \$111,472 | \$10,343 | \$218,454 | \$340,269 | \$25,358 |
| RTTA 3 | \$42,581 | \$51,543 | \$50,653 | \$144,777 | \$112,708 |
| RTTA 4 | \$27,481 | \$46,777 | \$58,416 | \$132,674 | \$63,359 |
| TRC 1 | \$30,808 | \$10,343 | \$265,584 | \$306,735 | \$25,358 |
| TRC 2 | \$95,723 | \$15,600 | \$18,925 | \$130,248 | \$44,529 |
| Seed Projects | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL Projects | \$527,546 | \$144,949 | \$1,107,820 | \$1,780,315 | \$296,670 |
| Education | \$148,602 | \$10,343 | \$68,385 | \$227,330 | \$80,197 |
| Administration | \$45,611 | \$119,443 | \$158,000 | \$323,054 | \$206,932 |
| Equipment | \$0 | \$0 | \$0 | \$0 | \$0 |
| Knowledge Transfer | \$0 | \$0 | \$11,220 | \$11,220 | \$0 |
| Indirect Costs | \$176,761 | \$0 | \$706,348 | \$883,109 | \$282,894 |
| Subtotals | \$898,520 | \$274,735 | \$2,051,772 | \$3,225,027 | \$866,693 |
| Total Budget | \$898,520 | \$274,735 | \$2,051,772 | \$3,225,027 | \$866,693 |
| Uncommitted | \$0 | \$0 | \$0 | \$0 | \$0 |

^{*} Please note: Seed Projects have been included in the individual research program to which they are relevant.

David H. Guston, Director, CNS-ASU

April 15, 2015

10. NSEC Diversity Progress and Plans

Progress Toward Enhancing Diversity

Since its founding, the Center has worked to enhance the diversity of its leadership, faculty, postdoctoral, graduate, and undergraduate researchers. The Center has put significant effort into recruiting women and individuals from underrepresented groups. These efforts have included working with the ASU Hispanic Research Center to conduct workshops and courses oriented toward graduate and undergraduate students from underrepresented groups, as well as efforts to ensure appropriate advancement of faculty and postdoctoral researchers through promotion and increasing involvement in Center leadership. While the Center's diversity has improved significantly since its inception, in the recent year there has been only a modest improvement in racial and ethnic diversity and a modest decrease in gender diversity overall (while gender diversity remains strong in leadership positions).

Center efforts have worked especially well in recruiting women into Center activities at all levels. NSECs are expected to be model programs and to meet or exceed national percentages for the inclusion of women and underrepresented groups in science and engineering. At all levels, the current percentage of women in the Center exceeds the relevant national equivalent percentage in science and engineering fields. The Center has also made progress with regard to Hispanic participation, especially in recent years. In terms of Center leadership, postdoctoral, and graduate student participation, the Center exceeds (and in some cases significantly exceeds) the national percentage for Hispanic teachers in colleges and universities. The percentage of graduate students from underrepresented groups also exceeds the percentage of doctoral degrees awarded nationally to students from under-represented groups. See Tables 4A and 4B for an overview of Center personnel. We report results for Table 3B and 4B, but percentages of US minorities tend to rise if Table 3A and 4A are used, as many reported Asian participants are not US citizens.

As directed by the NSEC diversity reporting requirements, we compare our data below with data from national science and engineering statistics, as provided by the National Science Foundation. For comparison, we have used data from NSF's *Women, Minorities, and Persons with Disabilities in Science and Engineering* (http://www.nsf.gov/statistics/women/) updated January 2013 (with most data from 2010). The data available from this report is not symmetrical with respect to women and minorities nor the social sciences and science and engineering more broadly. We have therefore used the statistics available. Thus, our comparison categories vary somewhat.

Leadership: Center leadership has transitioned from its first phase (YRs 1-5) to its renewal phase (YRs 6-10). The Center's leadership initially included two women of six principal investigators (Carlson, Schneider) and three women of eleven leaders of the six RTTA and TRC research programs (Corley, Hogle, Schneider), for a total of five of seventeen (29%). At the time of the YR 10 review, two women serve among the five renewal PIs (Corley, Youtie) and five women serve among twelve RTTA and TRC research program leaders (Corley, Cozzens, de Ridder-Vignone, Selin, Youtie), for a total of five of fifteen Center leaders (33%). Of these individuals: Corley began as an assistant professor and faculty researcher and is now a tenured associate professor, research program leader, and co-PI; Cozzens began as a faculty researcher and is now a research leader; Selin began as a postdoctoral researcher and is now a tenure-track assistant professor, research program leader, and associate director for anticipation; Youtie began as a faculty researcher and is now a research program leader and co-PI; de Ridder-Vignone joined the Center as a doctoral student, advanced to a post-doc position, and is now a faculty member at James Madison University in the Integrated Science and Technology program.

Research program leaders currently also include one Hispanic (<u>Lobo</u>), for a total of one of fifteen (7%) – an improvement over the lack of any members of underrepresented racial or ethnic groups among the original leadership team, but a drop from YR 8 with the departure of <u>Lim</u> from the Center's leadership team.

The percentage of women in Center leadership roles is equivalent to the percentage of doctoral level women in US universities with very high research activity (33%, NSF Table 9-21). The Center's Hispanic leadership for the renewal period slightly exceeds the percentage of doctoral level Hispanics in US universities with high research activity (4%, NSF Table 9-21). For the social sciences specifically, these numbers across all US colleges and universities are 38% for women and 5% for Hispanics.

Faculty and Professional Participants: From YR 1 to YR 7, the Center increased the number (and percentage) of women faculty involved in Center research and activities (non-leadership) from an initial seven (7 of 31, 23%) to 194 (194 of 507, 38%) active faculty and professional collaborators. YR 8 and YR 9 participation rates were lower, as we did not co-sponsor three major conferences, as we had in YR 7. In YR 10, participation of faculty and professional participants was 207 total individuals, with 84 women (41%)

The Center has also increased the ethnic diversity of faculty and professionals involved in Center research (non-leadership). The Center faculty initially included 5 Asian Americans (of 31, 16%) and zero from underrepresented groups (of 31, 0%). The Center faculty and participants at the end of YR 7 included 2 Native Americans, 3 African Americans, 39 Asians, 9 Hispanic, and 1 disabled, for a total of 54 individuals (out of 507, 11%). In YR 10, participants included 1 Pacific Islander, 1 African American, 22 Asians, and 8 Hispanic totaling 32 (of 214, 15%) faculty and professionals.

Overall, the diversity of the Center faculty and professional participants stayed roughly the same in the past year. The percentage of women faculty in the Center slightly exceeds the percentage of women holding science, engineering, or health doctorates in US faculty positions in very high research activity universities (33%, see notes under Center leadership). The percentage of Hispanic faculty in the Center is slightly less than the percentage of Hispanic faculty according to the same metric (4%, see notes under Center leadership).

Postdoctoral Researchers: Since its inception, the Center has increased the diversity of women in postdoctoral research positions. Initially, the Center had one woman postdoctoral researcher (Selin) out of four (25%), who has subsequently been promoted to tenure-track assistant professor and has become a research program leader. By the reporting period of YR 10, the Center had 10 women (of 16, 63%). Center progress in enhancing the racial and ethnic diversity of its postdoctoral researchers has been somewhat but not fully satisfactory. The Center has increased the number of Asian and Asian American postdoctoral researchers involved in the Center, from one in its initial year (1 of 4, 25%) to 4 (of 16, 25%) in YR 9; the Center had 1 Hispanic postdocs (of 16, 6%). Unfortunately, the Center has not increased the number of African-American, Native American, or Pacific Islander postdoctoral researchers from its initial zero. The percentage of women postdoctoral researchers in the Center exceeds the percentage of women in postdoctoral positions in the social sciences (47%, NSF Table 8-1).

Graduate Students: The Center has seen significant progress since its inception in improving the gender, racial, and ethnic diversity of its graduate students. At its inception, among its active graduate researchers, the Center had eight women graduate students (8 of 28, 29%) and eight

Asian or Asian American graduate students (8 of 28, 29%). As of the YR 10 report (**Table 4A**), the Center has had 80 women (of 170, 47%), 51 Asian or Asian American (of 170, 30%), two African American (of 170, 1%), and 18 Hispanic (of 170, 11%) graduate students among its researchers. In addition, in YR10, Center degree programs and certificate / training programs (**Table 3A**) involved 38 women (of 68, 56%), 5 African American (of 68, 7%), 18 Asians (68, 26%), and 1 Hispanic (of 68, 1%) students. These levels indicate increased participation from women, African Americans and Asians from previous years and decreased participation from Hispanics.

The percentage of women graduate students involved in Center research is marginally lower than the percentage of women graduate students in the social sciences nation-wide (54%, NSF Table 3-5). The percentage of under-represented minorities (24/68, 35%, **Table 3A**; 53/170, **Table 4A**), collectively, is above the share of under-represented minorities among social science graduate students nationally (22%, NSF Table 3-1).

Undergraduates: The Center no longer funds undergraduate students through the Innovation Space program but is implementing a Broadening Participation program for underserved minority undergraduates as explained below.

Plans Going Forward

While the Center has performed strongly on diversity during its first nine years, meeting and, in many cases, exceeding relevant national percentages, there are still opportunities in the remaining time of the Center to improve. We have therefore established a strategic plan for the renewal period on diversity that aims to further improve the Center's diversity profile.

Overall Objectives: The Center's overall objective with respect to diversity is to be a model for incorporating diversity among Center participants. To achieve this, we propose to pursue the following specific goals:

- 1. To maintain and continue to advance high levels of Center diversity in those areas documented above where Center diversity currently exceeds appropriate national levels;
- 2. To seek opportunities to recruit new Center participants, where appropriate, who will enhance the diversity of the Center in those areas where the Center is currently lower than appropriate national levels; and
- 3. To enhance graduate and undergraduate participation among students from underrepresented racial and ethnic groups.

Strategic Opportunities: Looking forward to the final year of the Center's NSF-supported activities, we propose to focus on a small number of concentrated activities that we think will make a concrete difference in the short term to enhancing the Center's diversity while laying important infrastructural foundations for improving long-term diversity in the field.

1. Identify other areas of engagement beyond the Hispanic Research Center. The Center has had a relationship with the Hispanic Research Center (HRC) at ASU, through which the Center has built a growing number of contacts with students from African American and Hispanic backgrounds. In YR 7, CNS taught a 7-week course on nanotechnology in society (described in the **Outreach** section) to 12 ASU graduate students in the sciences and engineering from underrepresented backgrounds. The course was very successful, with several of the students following up and participating in

Science Outside the Laboratory, Chemistry 501, led by <u>Bennett</u> and <u>Wetmore</u>, and other Center activities. In the reporting year, the major HRC STEM funding mechanism (the MGE@MSA program) sunsets, leaving CNS-ASU without a major source of under-represented students for our programs.

- 2. In YR10 we specifically targeted PhD students and post-docs at from under represented minority groups, offering 3 full Winter School packages (including fees and transportation). This recruitment was successful, so successful in fact that we ended up awarding 4 packages.
- 3. In YR 10 we began to develop and implement targeted recruiting efforts for the new Graduate Certificate in Responsible Research and Innovation. Two of the three students in that cohort are from under represented minority groups
- 4. In YR 9, we successfully recruited in collaboration with the School of Social Transformation for a visiting assistant professor in science, technology, and social transformation. This person is teaching relevant courses in this area focusing on race and social justice around emerging energy technologies, which are strengthening recruiting into Center educational programs. In the coming year, she will be serving in <u>Guston</u>'s stead as the social scientist working with the SUN IGERT program.

Program to Broaden Participation in Science Studies Fields; While many career opportunities exist at the intersection of science and society, undergraduates may not know about them, especially if they are first-generation college students. To help increase participation by underrepresented minorities in science policy and science and technology studies (STS) fields, NSF awarded a supplemental grant to CNS-ASU in 2014 (NSF#1451205) to develop a program to give a select group of undergraduate students a better understanding of the careers available and the educational paths to those careers.

The program will create a cohort of 24 students—the Policy, Science, Technology & Society (POSTS) Scholars—from 12 universities across the US. Targeting sophomores and juniors who have already shown an interest in STS and science policy fields, the program includes mentorship and guidance from an STS or science policy faculty member, a personalized research experience, and two summer workshops in Washington, DC, to introduce students to the complexity of the science policy process. This program received 55 applications to participate with cohort selection to be completed in late April.

In the first summer participants will spend a week in Washington DC learning about various types of career paths that one can have with a degree in science studies. Through out the next academic year students will take two courses, picked with guidance from their on campus mentor, in the science studies area. The following summer the participants will return to Washington DC to spend two-weeks in a more intensive policy immersion and research methods focused experience. Finally the mentors will work with students to help prepare applications for graduate school if that is the path the student chooses to take.

11. Education

CNS-ASU is involved in extensive formal and informal educational activities, from undergraduate curriculum to graduate student and post-doctoral training and mentoring, and from science and engineering practitioner training to collaborations with science museums. Many of these activities are tightly integrated with research and outreach activities, and most maintain as their central focus the building of broader societal capacity for anticipatory governance. Thanks to its many innovative programs, CNS-ASU is recognized as a national leader in two particular areas of education. First, building on activities like co-sponsoring the "Congress on Teaching the Social and Ethical Implications of Research" in Nov 2011, CNS-ASU is developing and promoting education programs that introduce science and engineering graduate students to the social implications of their work, as well as developing a community for the scholars that do this work. Second, through collaborations with the Nanoscale Informal Science Education Network (NISE Net), especially a new training program for museum, CNS-ASU is developing and promoting new ways to make the societal aspects of science and technology accessible to science museum audiences.

Disseminating the CNS Education Models

CNS is increasingly seen as a leader in educating scientists and engineers in the societal aspects of their work. In recent years, CNS scholars and educators have hosted visits and extended conversations about such interdisciplinary teaching and training with colleagues including Christine S. Jones (Colorado State University), Janet Kourany and Kathleen Eggleson (University of Notre Dame), Megan Palmer (SynBERC/Stanford), Mary Sunderland (Berkeley), and Erik Aarden (Aachen University/Harvard). Some of this work has been international, including a Sp 12, collaboration among TRC 2 co-leaders van der Leeuw and Wiek with six universities from Canada, Mexico, South Africa, Germany, Sweden, and Japan to disseminate the teaching and research of sustainability scientists across the globe and a Fa 10 UK ESRC funded trip by Edinburgh researchers Jane Calvert and Emma Frow to investigate the Center's variety of training programs (followed up by subsequent visits by Guston in Fa 10 and Wetmore and Harsh in Su 11. Wetmore and Bennett also spent time at Edinburgh in 2012 disseminating CNS education programming and holding a workshop about science and society content in museums. Wetmore and Bennett were also involved in panels (at AAAS and 4S) that culminated, in collaboration with an NSF EESE grant (Herkert, PI), the National Nanotechnology Infrastructure Network (NNIN), and NISE Net, in a Congress on Teaching the Social and Ethical Implications of Research. The response by the participants - more than 100 of them - was overwhelmingly positive, and the Center continues to contemplate how to bring the community together again, including through a proposal project to NSF to bring together STS, science policy and ethics scholars with science museum professionals to find new ways of talking about science with public audiences.

<u>Post-doctoral training and junior research scholars</u>

CNS-ASU has put significant effort into building a cohort of talented junior scholars who are developing not only research skills but collaborative and leadership skills as well, including post-doctoral scholar in the reporting year Megan Halpern (PhD Cornell). Researchers Barben (Free University-Berlin, Political Science & Sociology), Bennett (ASU, Chemistry), Conz (ASU, Sociology), Davies (Durham, Science Communication), de Ridder-Vignone

(Cornell, STS), Fisher (Colorado, Environmental Studies), Foley (ASU, Sustainability), Harsh (Edinburgh, STS), Reinsborough (Belfast, Sociology), Selin (Copenhagen Business School, Knowledge & Management), and Wetmore (Cornell, STS) were all initially hired at the post-doctoral level at ASU. Another postdoctoral researcher, Hannot Rodriguez-Zabaleta (Philosophy & Risk Assessment), joined ASU through an award from the Basque Government and has collaborated in Center research with Fisher. The Center has also provided training to post-doctoral fellows at the University of Georgia (Catherine Slade [Georgia State], under the direction of Bozeman on RTTA 1/2), Georgia Tech (Jue Wang [GA Tech], under the direction of Shapira on RTTA 1/1 and Sonia Gatchair [GA Tech], under the direction of Cozzens on TRC 1), and Wisconsin (Jason Delborne [Berkeley], under the direction of Kleinman on RTTA 3/4 and Ramya Rajagopalan [MIT], under the direction of Fujimura on former TRC 2).

Many of these scholars have made significant advances professionally and many have taken core leadership roles in CNS initiatives:

- Halpern has been offered a tenure-track position at Michigan State University in the Department of Communication.
- <u>Bennett and Wetmore</u> started a new research center as part of CSPO in Nov 14. The Center for Engagement & Training in Science & Society builds on much of their work from CNS.
- de Ridder-Vignone began a tenure-track position at James Madison University in the Department of Integrated Science and Technology.
- <u>Foley</u> began a tenure-track position at University of Virginia in the Department of Science, Technology and Society.
- Eight are now in tenured or track positions: Barben at Alpen-Adria-Universität Klangenfurt (Austria) in a tenured position; Wetmore, now tenured, at ASU in the School of Human Evolution and Social Change; Fisher in a track position at ASU in the School of Politics and Global Affairs; Delborne in a track position at North Carolina State University; Wang in a track position at Florida International University in Public Administration; Slade in a track position at the Hull College of Business at Augusta State University with an affiliation with the Medical College of Georgia; Selin in a track position shared between ASU's School of Sustainability and the Consortium for Science, Policy and Outcomes; and Harsh in a track position at the Center for Engineering and Society at Concordia University.
- Bennett has been promoted into a research faculty position at ASU in CSPO.
- <u>Conz</u> was promoted into a research faculty position at ASU in CSPO, and also as a lecturer in ASU's Bachelor of Interdisciplinary Studies program. He is now deceased.
- Gatchair is a lecturer at the University of the West Indies, Mona; Rajagopalan is a post-doctoral scholar at Wisconsin; Reinsborough is a post-doctoral research at King's College, London (UK); Sarah Davies is a post-doctoral researcher at the University of Copenhagen (Denmark).
- Four have taken on formal leadership roles in the Center: <u>Wetmore</u> is currently a coleader of TRC 1 and associate director for outreach, <u>Fisher</u> is currently a coleader of RTTA 4 and associate director for integration, and <u>Selin</u> is a coleader of RTTA 3 and associate director for anticipation. <u>Bennett</u> is assistant director for education and leads the DC Science Outside the Lab Policy Workshop.
- Three have obtained additional external support for CNS-associated activities:

- Fisher is PI on the \$540K socio-technical integration research (STIR) award, which extends the Center's integration agenda that Fisher pioneered as a CNS-funded doctoral student at Colorado. Fisher was also PI on a National Nanotechnology Infrastructure Network (NNIN) award that seeks to "Document Integration" at several NSEC and NNIN sites.
- Wetmore has been co-PI on three grants: a \$300K NSF award from the Ethics Education in Science and Engineering (EESE) program that develops, teaches, and assesses several models of micro- and macro-ethics instructional activities for graduate students; a second \$300K NSF award from the EESE program to develop CITI modules that address macroethics; and a \$700K NSF award to create and support a Professional Science Master's Program in Solar Energy Engineering and Commercialization that has a substantial ethics and policy curriculum, work that is now led by Bennett. Wetmore is also PI on a recent \$280K NSF award (with Harsh and Zachary), derived in part from TRC 1 fieldwork in Africa, on the emergence of computer science in Africa. He was also the social science lead for the NG-NNIN proposal led out of Stanford.
- Selin is co-PI on a recently awarded NUE with <u>Seager</u> and others (\$200K) to investigate the societal aspects of nanotechnology through Lego serious play.

Many of the activities encompassed by these grants have roots in the Center's program. Others are active in initiating and collaborating on new research proposals as well.

- <u>Fisher</u> and <u>Selin</u> are both collaborators on an \$820,000 award from the Research Council of Norway to Norwegian researcher Roger Strand that incorporates intellectual approaches in integration and foresight that they, respectively, have pioneered.
- Several have been involved in editing the Center's *Yearbook of Nanotechnology in Society*: Fisher, Selin and Wetmore (2008) edited the first volume. Wetmore edited the second volume (2011) with Cozzens, and Bennett edited the third volume with Hays, Robert and Miller (2012). Barben and de Ridder-Vignone are editing the fourth volume with Miller.

Graduate Education and Training

CNS-ASU organizes a variety of graduate education and training activities, aimed at several audiences. The first audience is the graduate students involved in the Center's core research activities. While only some of these students have been directly supported in graduate assistantships by CNS, many others have drawn on CNS research to develop their theses, received CNS travel funds, and been involved in the Center's events. In the reporting year, the Center has been training:

- At ASU, seven doctoral students:
 - Bernstein (funded, SOS), who has been working with TRC 2 and designing tools to evaluate societal interventions in science and engineering;
 - Trinidad (funded; HSD), who has been assisting both <u>Fisher</u> on RTTA 4 interviews and <u>Wetmore</u> and <u>Bennett</u> on the Informal Science Communication Program and assisted post-doc Halpern with *Emerge* and will be leading one of its publications;
 - o Kim (funded; Public Affairs), who is completing his comprehensive exams and performing research for RTTA 2;

- Sadowski (HSD), who has been working with Guston on the associated award, "Anticipatory Governance of Complex Engineered Nanomaterials" and on the associated Frankenstein Bicentennial Project;
- Brundage (HSD), who is funded by the Virtual Institute for Responsible Innovation and serves as an editorial assistant for the *Journal for Responsible Innovation*, and who is also affiliated with the Solar Utilization Network IGERT:
- Altamirano-Allende (HSD), who worked closely with FCT in its implementation and its follow-on research and who assisted Halpern with Emerge and will be leading one of its publications;
- o Fuller (Environmental Social Science), who assisted Halpern with *Emerge* and is part of its writing team.
- Current updates on earlier ASU students include:
 - Gano completed her dissertation in December 2014 and has accepted a research/research administration position at University of California-Berkeley; and
 - o Conley, who defended her STIR-informed dissertation in April 2014, began her tenure-track position at James Madison University.

At Wisconsin, 20 doctoral students (Binder, Dudo, Ho, Dalrymple, Shih, Hu, Hillback, Akin, Cacciatore, Choi, Doroshenko, Kim, Li, Liang, Liu, Runge, Simis, Su, Spartz, and Yeo) in Life Sciences Communication and Communication Arts have been working with RTTA 2 data. Several of these students have received Center Support through graduate research assistantships. Six of this group have secured faculty positions, including:

- Ho, who graduated in 2008 with a PhD in Journalism and Mass Communication and is now a tenure-track assistant professor at Nayang Technological University in Singapore;
- Binder, who graduated in 2010 with a PhD in Mass Communications and is now a tenure-track assistant professor at NC State University;
- Dudo, who graduated in 2011 and now holds a tenure-track position at the University of Texas at Austin;
- Dalrymple, who also finished in 2011 and is an assistant professor at the University of Iowa;
- Cacciatore, who finished his dissertation in 2013, is an assistant professor at the University of Georgia;
- Yeo, who finished in 2014 is a tenure-track assistant professor at the University of Utah; and
- Spartz, who finished in 2014 is a tenure-track assistant professor at Unity College

Other doctoral students trained at Wisconsin include: Li and Akin will begin postdoctoral fellowship positions at the Annenberg Public Policy Center at the University of Pennsylvania in July 2015. Leung, who completed his PhD in Sociology (2008) using CNS data and is now an assistant professor at SUNY Albany; and Jason Gallo, graduated with a PhD from Northwestern and is now employed at the Science and Technology Policy Institute, a privately-operated FFRDC, in Washington, DC. Noel Benedetti defended her M.S. degree using RTTA 2 data in 2010 and works as a technology consultant. Researchers and graduate students at Wisconsin also regularly participate in informal science outreach efforts, including Wednesday Nite at the Lab and the Wisconsin Literacy speaker series. Several students contributed entries to the *Encyclopedia of Nanoscience and Society*. Almost all peer-

reviewed publications by RTTA 2 include graduate student authors, and many include graduate students as lead-authors. Faculty members and graduate students at Wisconsin have formed a research group – named "Science, Media and the Public" or "scimep" – that meets weekly to discuss research progress. This group includes members of not only RTTA 2, but members of the NSEC at Wisconsin. The meetings have helped foster collaborative work between the two NSF-funded grants (e.g., the recent publication by Runge and coauthors in the *Journal of Nanoparticle Research*).

In Su 10, RTTA 2 researchers also spearheaded the first online course in Science, Media & Society at UW-Madison, offered exclusively through iTunesU with select lectures being publicly available to all audiences. The Holtz Center for Science and Technology Studies at WU also just accepted a proposal from Scheufele to teach a course in "Science and Society," which is jointly offered for students in Life Sciences Communication and Science and technology Studies. Scheufele continues to teach the course currently enrolls from five different colleges at UW and serves more than 100 students annually.

At Georgia Tech, three doctoral students (Arora, Li), two visiting doctoral students (Yi Zhang and Xiao Zhou of Beijing Institute of Technology of the Chinese Academy of Science), one master's student (Horsley), and two undergraduates (O'Brien, Skolky) worked with RTTA 1, with a focus on CNS-ASU themes, data and analyses, many toward their theses. RTTA 1 senior faculty and students meet on a regular basis (complete group meeting every Friday morning) for progress reviews, discussion of projects, publications, methods, and new ideas, mentoring, and (occasionally) hosting visiting speakers. All RTTA 1 doctoral students have participated in the initial meetings of the new Innovation Co-Laboratory (Georgia Tech, University of Manchester, and Beijing Institute of Technology), which has a focus on developing joint projects (in the nanotechnology and society domain) and doctoral training. Public Policy PhD student Yu Meng also worked with the RTTA 1 group.

Doctoral student Carley graduated in Sp13. Recent graduate Tang (Public Policy) is an assistant professorship position in public administration and policy at the Shanghai University of Finance and Economics, and Kay (Public Policy) has a post-doctoral fellowship with CNS-UCSB. Tang and Meng completed research on a Robert W. Gore award (\$10,000) from the Chemical Heritage Foundation to undertake case studies of nanomaterials innovation in China. Based on RTTA 1 research, Arora, Carley, Kay, Tang, Meng, and Horsley authored or co-authored one or more journal submissions, journal papers or book chapters this year. Benn (a recent CNS-ASU PhD+ at ASU) was also a co-author with members of the Georgia Tech group.

The Manchester International Summer on Emerging Technologies, June 8-13, 2014 was organized by Shapira (and colleagues at the Manchester Institute of Innovation Research); Youtie was one of the faculty. The Summer School provided advanced training, researcher development, and networking opportunities for early career researchers interested in real-time research and innovation systems assessment, new methods, frameworks of responsible research and innovation, and policy development for transformative emerging technologies. Among the emerging technologies considered: graphene and synthetic biology. The Summer School was attended by 29 doctoral and early career researchers (selected from more than 80 applicants) from 20 different universities and 11 countries, including developed and emerging countries. Funding came from the ESRC (Project on Emerging Technologies, Trajectories and Implications of Next Generation Innovation Systems Development), in collaboration with the Manchester-Atlanta-Beijing Innovation

Co-Lab. Additional sponsorship for the Summer School was provided by the European Forum for Studies of Policies for Research and Innovation (Eu-SPRI) and by the Manchester Institute of Innovation Research (MIOIR).

TRC 1 at Georgia Tech has supported four graduate students. Graduate students Rodrigo Cortes and Ogundiran Soumonni both finished their dissertations last year. Cortes is codirecting a Masters Program in Technology Management from his position at the Universidad de Chile and Soumonni is at the University of Witwatersrand in Johannesburg, South Africa. Previous CNS graduate student Thomas Woodson is now an assistant professorship at Department of Technology and Society at SUNY Stony Brook.

The Center supported graduate students at other institutions in the organization, conduct and analysis of the National Citizens' Technology Forum, including: Amy Barr (Sociology, University of New Hampshire), now a Visiting Assistant Professor at St. Lawrence University, Christina Ndoh (Public Administration, North Carolina State University), John Willingham (Political Science, North Carolina State University), Mark Philbrick (Environmental Science, Policy, and Management, University of California, Berkeley), and Javiera Barandiaran (Environmental Science, Policy, and Management, University of California, Berkeley). Philbrick and Barandiaran (2009) have published on their activities and have contributed multiple entries to the *Encyclopedia of Nanoscience and Society*. Philbrick is currently a Science and Technology Fellow with the Department of Energy and Barandarian is a tenure-track assistant professor in Global and International Studies at the University of California, Santa Barbara.

The associated STIR project, through a variety of workshops, group meetings, regular correspondence and one-on-one sessions, as well as site visits by PI Fisher, has trained and mentored the following twenty two (22) doctoral students (12 of whom have received their degrees so far) and two master's students (who have received their degrees): Carlo Altamirano, ASU; Miles Brundage, ASU; Antonio Calleja-Lopez, University of Seville; Shannon Conley, ASU; Paul Ellwood, University of Leeds; Steven Filpse, Delft Technical University; Cecilie Glerup, Copenhagen Business School; Birgitte Hansen, Copenhagen Business School; Cameron Keys, ASU; Byoungyoon Kim, Rensselaer Polytechnic Institute; Miao Liao, Tsinghua University; Federica Lucivero, University of Twente; Christine Luk, ASU; Bastien Miorin, Grenoble; Robin Phelps, University of Colorado; Daan Schuurbeirs, Delft Technical University; Anthony Stavrianakis, UC Berkeley; Frank Theys, Katholieke Universiteit Leuven; François Thoreau, University of Liège; Brenda Trinidad, ASU; Michiel Van Oudheusden, University of Antwerp; Qin Zhu, Dalian University of Technology. In addition, STIR has also involved the participation of four post-docs (one of whom has since joined the private sector): Dorothy Dankel, Ana Delgado, Hannot Rodriguez, and (former participating PhD student) Daan Schuurbiers. In connection with their STIR-related work, Fisher also served/serves on graduate committees of Altamirano, Brundage, Calleja-Lopez, Conley, Keys, Phelps, Theys, Van Oudheusden and has provided formal feedback to the graduate advisors of Glerup, Kim, Liao, Lucivero, and Miorin.

At ASU, the second graduate student audience has been NSE researchers themselves. For these students, CNS-ASU created the CNS-Biodesign Fellows program, in which CNS pays one-third of their support. These students then participate in CNS-related curricular and co-curricular activities and perform what we call the PhD+, adding societal implications material to their doctoral research. The Center has graduated four PhD+ students: Troy Benn (Environmental Engineering; Westerhoff lab); Jason Lappe (Chemistry and

Biochemistry; <u>Woodbury</u> lab); Quinn Spadola (Physics; <u>Lindsay</u> lab) and Tomasz Kalinowski (Biodesign; Halden lab). Spadola is now an AAAS fellow at the National Nanotechnology Coordination Office.

In its renewal period, CNS-ASU expanded the Fellows program to attract students from ASU's Ira A. Fulton Schools of Engineering. The Center's CNS-FSE Fellow, Ben Wender (Civil and Environmental Engineering, Seager Lab) started in Fall 11. In addition to integrating anticipatory approaches into his life cycle assessment, Wender has also been an active collaborator between CNS-ASU and the new QESST ERC, leading multiple publications on "anticipatory Life Cycle Assessment." Wender along with Foley developed and delivered a session at the 2013 Winter School on interdisciplinary collaboration, and they also organized a meeting in parallel with the 2014 Winter School on anticipatory LCA. New CNS-Biodesign and CNS-FSE Fellows for this year include Kaitlin Vortherms (Civil and Environmental Engineering Seager lab) Symon Levenberg (Biodesign Woodbury lab) and Alizee Jenck (Biodesign; Halden lab). Vortherms won the 2014 Miss Phoenix crown with a platform of social and emotional intelligence in STEM education and a talent in reading a monologue from the STEM-related play, "Proof."

The success of the PhD+ has generated a great deal of interest beyond CNS-ASU. CNS researchers <u>Guston</u>, <u>Miller</u>, <u>Bennett</u>, and <u>Wetmore</u>, have been invited to participate on a number of technical grant proposals over the past year and support for future PhD+ students was written into several of these proposals. In addition, the CNS researchers at Georgia Tech have begun to implement their own program. CNS-ASU has turned the existing PhD+ program into a certificate open to graduate students in engineering and the natural sciences in "Responsible Research and Innovation in Science, Engineering and Society." The Certificate, begun in Fall 13, graduates its first student, Caitlin Troyer, with a master of science in biology and society. Troyer matriculated at Berkeley Law School for Fall 2014. The current Certificate cohort is three students, one non-degree seeking graduate student and two engineering PhD students.

A number of the education activities originally developed by CNS to help graduate student scientist and engineers understand the social and ethical implications of their work were rolled into the Ethics in Engineering and Science Education (EESE) grant, on which Wetmore has been a co-PI. In one activity, Bennett participated in the Biological Design Graduate Program's core course, "Fundamentals of Biological Design II." Bennett attended every class and uses the presenter's remarks as entry points into discussions of social, ethical or political aspects of research with the class and presenter. The response by the presenters has ranged from hesitant to fully embracing the conversation. From these interactions, several potential collaborations with presenting faculty have developed. The interactions with the students in the course resulted in recruiting Kalinowski as a CNS-Biodesign Fellow.

A second CNS/EESE collaboration involves laboratory engagement. During Fall 09 and Spring 10, <u>Wetmore</u> and McGregor worked with Steven Helms-Tillery's neuroscience lab. They worked with the lab participants to reflect on the social and ethical implications of their research including the potential military uses and issues surrounding primate research. During Fall 10 <u>Wetmore</u> and McGregor worked with Patrick Phelan's solar engineering lab where they discussed how different social and political changes would promote and inhibit the spread of solar power. In Fall 09 <u>Wetmore</u> was asked to consult on the development of a similar program at the University of Rothenburg in Germany. In

Summer 10 he presented the model at the Annual Symposium of the International Research Training Group, ran the first laboratory session, and served as consultant to the program through its successful completion. This success of this activity has led to continued working relationships with PIs and students and it has been written into a handful of grants.

A third CNS/EESE collaboration is the series of one-credit courses entitled "Science Policy for Scientists and Engineers" that has been taught by Bennett, Posner or Wetmore nearly every semester for the past six years. It is a 1-credit seminar for NSE graduate students to explore questions and issues of science and technology policy in society that are relevant to their own research. Again this year the course was filled to capacity. The interactions with the students in the course yielded the first CNS-FSE Fellow, Moran, and it has drawn a number of other students into the Informal Science Communication Project. Because Posner left ASU and because of ever-increasing demands on their time, Bennett and Wetmore developed a new model for this year's course. In 11 and 12, a biochemistry graduate student, Kiera Reifschneider was so interested in ensuring that the course was taught that she served as a co-instructor, helping to determine the year's theme and facilitating much of the logistics required to keep the class running. Reifschneider successfully defended her dissertation in Oct 13 took a post-doc position with in CSPO funded by the NNIN and now is at the Government Accountability Office (GAO) as an analyst in the Office of the Chief Scientist.

The evaluation data generated under the EESE is impressive. Four models were evaluated – the embedded course (Bennett in Biodesign), a stand-alone course (Posner, Wetmore and Bennett 1-credit), laboratory engagement (Wetmore and McGregor in labs of Helms-Tillery and Phelan), and a hybrid course (Ellison and Herkert). Pre- and post- tests were given to all students involved. All four models were found to have a statistically significant and positive effect in helping students be more ethically sensitive, have more knowledge of relevant standards, and have better ethical judgment. These results are not typical for traditional responsible conduct of research courses and demonstrate the valuable contributions of these education approaches. The success of this EESE grant led to a second NSF EESE grant to develop macroethics modules for the online CITI program.

In Summer 14, CNS-ASU conducted three separate sessions of "Science Outside the Lab: A Policy Dis-Orientation" for graduate students, reflecting a rapidly growing interest among NSE students and faculty. Developed and taught by Wetmore and Bennett and held in Washington, DC, the course offers graduate NSE students a chance to leave the lab for two weeks to explore the relationships among science, policy and societal outcomes. Students meet government officials, lobbyists, staffers, regulators, journalists, academics, museum curators, and others who fund, regulate, shape, critique and study science, and they engage in hands-on policy learning through tours and exercises like a mock congressional hearing where students present their ideas for new policies to congressional staffers in the House Science Committee's hearing room.

The previous success of the DC program has inspired a number of faculty to include funding for students to participate in it in their ERC, IGERT and education grant proposals. ASU currently has two masters degree programs – one a Masters in Science & Technology Policy and one a Professional Masters in Solar Energy Engineering and Commercialization – that require all of their students to participate in the DC program.

Bennett now leads the summer session programs, but brings in additional help to facilitate them. In Summer 15, CNS-ASU will conduct six sessions of Science Outside the Lab. Because of the success of the two PSM degree programs that require participation in the program, this year there will be one session dedicated to each of them. The first session will focus on solar energy policy. The second session will be tailored to the needs of the PSM in Science and Technology Policy and will include natural scientists and engineers. The third session will be occupied by science and engineering students. The forth and fifth sessions will be populated by the undergraduate-serving Program to Increase Diversity in Science and Technology Studies and Science Policy Fields, funded as a supplement to CNS-ASU. The sixth program this summer is targeting Latin American science and engineering graduate students and focuses on Science and Diplomacy.

In Fall 09, CNS researchers <u>Wetmore</u>, <u>Bennett</u>, and doctoral student Trinidad began to collaborate with Trevor <u>Thornton</u> and the ASU node of the National Nanotechnology Infrastructure Network (NNIN). The collaboration has resulted in two major programs: First, CNS-ASU now contributes the Social and Ethical Implications training required of all researchers who seek to use the ASU NNIN facilities. The training is part of the standard NNIN lab safety training that occurs at least once a month. <u>Bennett</u>, <u>Wetmore</u>, and doctoral student Trinidad have all served as instructors in the course (discussed further below).

Second, the ASU NNIN Node cosponsors with CNS-ASU the ASU Informal Science Communication Program for graduate students. The program offers training sessions every two weeks for students in how to communicate with the general public about science and engineering and then gives them the opportunity to gain important practical experience by presenting their work on the floor of the Arizona Science Center. The basic idea behind the program is to help young scientists develop valuable communication skills. The added bonuses are that the public gets to know about the cutting edge research being done at ASU and the students are asked difficult questions about the social and ethical implications of their work that they must develop good answers to. The program began in Mar 10 and students present at the museum monthly.

CNS scholars at Georgia Tech have also been helping to facilitate education in the social sciences for grants that are primarily technical in nature. Shapira, Youtie, and Porter have been collaborating with Elsa Reichmanis, Professor, Chemical and Biomolecular Engineering, Georgia Institute of Technology on a new IGERT Program entitled Nanostructured Materials for Energy Storage and Conversion and have participated in the Program's inaugural seminar series by introducing students to "Trajectories of Global Nanotechnology Commercialization." One related outcome of this collaboration is that Youtie has been invited to organize a societal research presence on GA Tech's proposal to the NNCI.

In 2007, CNS-ASU developed a partnership with a new degree program the Professional Science Masters in Nanoscience, led by the Department of Physics and the Department of Chemistry and Biochemistry, to offer a 2-credit graduate course in the societal aspects of nanotechnology. Bennett has taught this course for the program since 2008.

In 2011, <u>Wetmore</u> collaborated with Patrick Phelan to develop and run a new Professional Science Masters in Solar Power Engineering and Commercialization. The curriculum of the PSM, sponsored in part by a \$700K NSF PSM grant, has a significant focus on the ethical and political issues inherent in solar power. Wetmore has taught a 2-credit graduate level class

on Solar Energy Policy with Mike Pasqualetti for the first two years after program was created. This class in the past has evaluated and offer suggestions to the Arizona Science Center's "Solarville" exhibit. All students enrolled in the program will be participating in the DC summer session, which will continue to be a required component of the curriculum.

The third graduate student audience at CNS-ASU consists of those students in traditional departments and schools, as well as those in interdisciplinary programs, who are interested in CNS-related coursework. CNS-ASU faculty have established thirteen graduate courses at ASU:

- In Spring 15, Arizona 2050: Sustainability and the Past, Present, and Possible Future of Arizona was taught by faculty closely tied to CNS-ASU using CNS research as the basis. As a rapidly growing state in one of the world's hottest and driest regions, Arizona faces incredible sustainability challenges over the coming decades. In the course, students learned about past and present attempts to understand the future of Arizona, then worked both individually and in teams to conduct research and use that knowledge to shape a variety of narrative visions for our shared future. The course started in its first week with a discussion of design research conducted by CNS-ASU researchers Foley, Petrucci and Wiek on scenario development, sustainability, nanotechnology, storytelling, and the future of Phoenix specifically, their article "Imagining the Future City" in *Issues in Science & Technology*.
- In Spring 13, TRC 2 co-leader <u>Wiek</u> and Darren <u>Petrucci</u>, former director of the Design School, offered "Design Thinking, Sustainability, and the Future of Nanotechnology in the City" in a cross-listed course between the School of Sustainability and the School of Design. The course brought together fourteen graduate students to redesign the architecture and urban form of Phoenix to reflect four scenarios generated by TRC 2 researchers. The course takes a complex systems approach to design and draws upon societal context, innovation models, nanotechnology applications and urban sustainability problems to inform the urban design proposals in preparation by the studio. The resulting product from the studio a short film has been shown in many diverse venues, including Phoenix Biosciences High School and CSPO's "New Tools" seminar in Washington, DC.
- In Spring 12, <u>Selin</u> developed and taught a research studio class through the School of Arts, Media and Engineering that explored the observation, documentation, analysis and summarization of large-scale collaborative events. Students in the class were trained either in ethnographic methods or observational media documentation and applied their skills in the field at the *Emerge* event (see **Section 9 Research Program, Accomplishments, and Plans**, RTTA 3). Subsequent to the event, and using the collected data, the students spent the remainder of the semester designing and developing a physical gallery exhibition, participating in the creation of a dynamic online media archive, and/or contributing to analysis of the *Emerge* event as a novel form of future-oriented deliberation."
- In AY 11-12, <u>Guston</u> developed and taught with CSPO Professor of Practice Gregg Zachary the two-semester sequence, "Science and Technology Policy" and "Advanced Science and Technology Policy," the core sequence for the STP PSM. The course achieved a novel synthesis of analytic and communication approaches and explored key tools like real-time technology assessment and anticipatory governance, as well as substantive topics like DIY biology and manufacturing, derived from the CNS agenda. <u>Guston</u> taught the sequence solo in AY 12-13, AY13-14, and AY 14-15.

- In Spring 11, <u>Fisher</u> developed a new course entitled "Analysis of Scientific and Technological Innovation Systems," primarily for graduate students in the PSM in Science and Technology Policy Program. A number of HSD students have taken the course as well. The course draws on a number of <u>Fisher's</u> research projects within CNS.
- "Future Scenarios, Anticipatory Governance, and Sustainability Urban Development in Phoenix" was offered by TRC 2 co-leader Wiek and RTTA 3 coleader Selin in Sp 10. The course engaged 22 graduate students from five ASU graduate programs in systematically crafting visions of sustainability for Phoenix and developing governance strategies for transformative change. The course also integrated the theme of urban socio-technical systems and emerging technologies. As the course was embedded in a collaborative research project with the City of Phoenix to inform the adaptation of the General Plan, the course facilitated research in teams and involved faculty across ASU as well as stakeholder groups across the city. The course built capacity in anticipatory governance and attracted students to engage in subsequent research. Moreover, it created a network among stakeholders, professionals, and decision makers in Phoenix interested in "Nano and the City." In Spring 11, ASU awarded the course its President's Award for Sustainability. In Spring 12 Wiek reworked the course into "Sustainable Solutions: Options for Phoenix," to continue to engage graduate students in TRC 2 research. Three walking audits that brought together researchers, Kay and Wiek, with graduate students and community members has strengthened the novel methodology of walking audits to co-train community and academic actors on the complex, place-based urban sustainability syndromes, while seeking solutions (including nanotechnology).
- Wetmore created a new course in Spring 10 entitled: "Introduction to Analyzing Sociotechnical Systems," offered in the School of Human Evolution and Social Change. Not only were a number of nanotechnology topics covered, but students were also assigned a research project to develop a demonstration for NanoDays 2010. This class also fulfills a core requirement of the Professional Science Master's Degree program in Science and Technology Policy offered by CSPO. Wetmore taught this course again in Fall 10 and Spring 12 and 13 and attracted a number of HSD students as well.
- In AY 09-10, <u>Boradkar</u> developed a training program akin to InnovationSpace but for graduate students. Two students under his direction have performed additional research, design and development on nanotechnologies previously conceived by the undergraduate InnovationSpace students.
- "Nanotechnology: Law and Regulation," was taught by <u>Marchant</u> in the Sandra Day O'Connor School of Law in Spring 10. Several other CNS-ASU faculty participated in the course, including <u>Guston</u>, <u>Robert</u>, and <u>Selin</u>. As a major project the students explored potential regulatory and liability issues in the scenes developed by NanoFutures.
- "Governing Emerging Technologies," taught in Fall 08 and Fall 09 through the
 School of Politics and Global Studies by <u>Guston</u> and in Fall 10 and Spring 12 by
 <u>Fisher</u>, explores the Center's core concept of anticipatory governance and
 synthesizes many of the Center's findings. Students in the course were tightly
 integrated into the Center's activities, e.g., participating in the Oct 08 Visioning
 Workshop and the Nov 09 Equity Workshop. Several other CNS-ASU faculty have
 participated in the course including <u>Conz</u>, <u>Corley</u>, and <u>Selin</u>. This class also fulfills a

- core requirement of the Professional Science Master's Degree program in Science and Technology Policy offered by CSPO.
- "Energy and Energy Policy," taught by <u>Bennett</u> in Spring 09, is a 1-credit seminar for PhD students in chemistry that explores the dynamic interplay between scientific research, technological innovation, policy development, and cultural change surrounding large-scale energy system change in the 21st century.
- "Science, Technology and Developing Areas," a one-credit course offered through
 the Department of Chemistry and Biochemistry and the School of Human Evolution
 and Social Change, was developed in F 09 by Harsh and Wetmore to work through
 TRC 1 topics with graduate students. The course attracted graduate students from
 the social sciences, natural sciences, and engineering and explored the myriad
 issues that must be addressed for technical assistance to truly benefit the
 disenfranchised.
- "Nanotechnology, the Brain, and the Future," taught in the School of Life Sciences
 and the School of Politics and Global Studies, is a variable-credit course offered by
 Miller and Robert (Fall 07, Spring 08, Fall 08) as part of the E2E project. Students
 and faculty used it to prepare research projects for E2E and the CNS All-Hands
 meeting.
- "Science, Technology & Societal Outcomes," taught in the School of Life Sciences and the School of Human Evolution and Social Change by <u>Wetmore</u> and <u>Bennett</u> was offered in Spring 06 and Spring 07.

The Center has also been an integral part of the development of a new doctoral program at ASU, the Human and Social Dimensions of Science and Technology (HSD), which was approved by the Arizona Board of Regents in Dec 07 and matriculated its first class in Aug 08. CNS Associate Director Miller directs the HSD PhD program, and Guston, Robert, Sarewitz, Corley, and Wetmore serve on its Executive Committee. Other CNS faculty, including Fisher and Selin serve as members of its Graduate Faculty. In addition to the summaries of HSD students who are working specifically with CNS-ASU provided above, numerous other HSD students have participated in CNS-related activities over the life of the Center, including the scenario-based solar-to-fuels workshop, the anticipatory governance visioning workshop, CNS-ASU All-Hands meetings, and Emerge.

While the vast majority of classroom-oriented activities at CNS-ASU have occurred at ASU, in Summer 10 co-PI and RTTA 2 co-leader <u>Scheufele</u> and his Wisconsin team created an online class, Science 2.0: Media, Politics, and Emerging Technologies, for both graduate and undergraduate students, offered over iTuneU. This course is the third that CNS-ASU affiliates have offered completely on-line, with Harsh's undergraduate Science and Democracy in Winter 10 and Hays' Human Enhancement and Democracy class in Summer 10.

Undergraduate Education and Training

New this year is the CNS-ASU Program to Increase Diversity in Science and Technology Studies and Science Policy of Emerging Technologies, is designed to attract sophomore level undergraduates from under represented minorities into science studies fields. For more on the program, **see Section 10 Diversity**.

CNS-ASU organizes a variety of undergraduate education and research training experiences. Although there are none in the current year, in previous years, numerous undergraduates

September 1, 2014 - August 31, 2015

have written honors theses with CNS faculty, and undergraduates – mostly from the W.P. Carey School of Business – also complete honors theses in conjunction with their InnovationSpace coursework.

CNS has supported undergraduate student interns in conjunction with the TRC 2 Nano in City Environments database project: Sarah Hoke, and Evan Taylor. It has also supported Daniel Escolin in videography support, including the videotaping and editing of all presentations and special projects.

Nano Ethics at Play (NEAP) is a CNS-associated NSF NUE project 026913-001 for \$200,000 which started on November 1st 2013 and will continue through October 31st 2015, that employs a method called "LEGO Serious Play"® to help interdisciplinary cohorts of students explore the social, ethical and environmental dimensions of nanotechnology. Students are presented with nano-related content from different disciplines and researchers across ASU campus. Students then build metaphorical models using LEGO® bricks to illustrate their thoughts and create a hands on dialogue about the nano-based content. In addition to the development and execution of the workshops, the course has provided students with an interactive and unconventional learning experience. Some students discovered that some ideas must be developed through hands on building and that their ideas literally emerged before their eyes during the building process. One student mentioned that, "... having the opportunity to experience new technology and discuss its potential use was a great experience". The course seeks to improve literacy in the impact of emerging technologies on social and environmental systems while simultaneously improving the way students communicate across disciplinary boundaries.

NEAP is directed by CNS-affiliate Camilla Jensen, currently pursuing a PhD in the Herberger Design School, and supported by a cadre of CNS-ASU faculty, students and fellows, including Cynthia Selin, Jameson Wetmore, Michael Bernstein, Ben Wender and Kaitlin Vortherms. The NEAP curriculum leverages products previously developed by researchers at CNS-ASU, including components of the "Community Engagement Workshop" and the "Nano Around the World" card game. The course supports the mission of CNS-ASU by helping students and researchers create and engage in broad-reading dialogue regarding the promise, perils, and societal dimensions of emerging technologies while using LEGO Serious Play® to improve retention and communication of such abstract and complex concepts.

In addition to the numerous undergraduate courses developed in the first five years of CNS – including "Perspectives on Nanotechnology," "Justice and the Future," "Learning Community: Nanotechnology in Society," "Human Enhancement and Democracy," "Global Environmental Politics," "Technology and Society," and "Science and Democracy" – nanotechnology and society issues were newly integrated into two other undergraduate courses. Harsh revised the "Science and Democracy" course for Winter 10 as a 3-credit online course with interactive and video-enhanced oral exam modules, and Hays will taught an online version of Human Enhancement and Democracy in Summer 12. In Spring 11, Miller, Bennett, Harsh, and Wetmore developed a new, 125-student undergraduate course entitled "Introduction to Science & Technology Policy," which integrated discussions about nanotechnology into each of the course's five focal topics: health, food, military, economy, and environment. The course has been offered each Spring by other CSPO faculty. In Spring 14 CNS Post-doc de Ridder-Vignone developed this course into an online course and taught it.

CNS-ASU has had a long-standing relationship with InnovationSpace. InnovationSpace is a two-semester long, transdisciplinary course collaborative among the ASU Schools of Design, Engineering, and Business. It satisfies the design or project requirements for senior majors in each school by creating cross-functional teams who use an Integrated Innovation model to research, develop and refine real-world product concepts for paying sponsors. In AY 13-14, the students developed nano-enabled products for autistic children. While the Center did not formally support any InnovationSpace teams in the current year, several members of the Center continued to interact with the students (See **Section 9 Research Program, Accomplishments and Plans** RTTA 3/2).

During summer 2012, the Georgia Tech contingent of TRC 1 served as mentor to one of the NNIN's two REU students focused on the societal and ethical implications of nanotechnology. Duy Do, an electrical engineering major at San Antonio College, spent the summer in Atlanta studying the websites of about 60 companies doing research on water, agri-food, and energy nanotechnology. He researched the ways in which these companies were using nanotechnology and whether their products would affect equity issues. He presented his work in a report – "Nanotechnology Companies in the U.S.A: A Web-Based Analysis of Companies and Poverty Alleviation" – at the NNIN's August REU convocation in Atlanta.

Scheufele teaches a course in "Science, Media, and Society," which has been offered jointly to undergraduates by the Department of Life Sciences Communication and Science and Technology Studies. This new curriculum offering was informed heavily by the last 8 years of CNS-related work at UW, and has become a required course for all Life Sciences Communication majors at UW, one of the fastest-growing majors in the College of Agricultural Sciences. The course currently enrolls students from five different colleges at UW.

K-12 Education

TRC 2 has been actively engaging with science educators and students at the Bioscience High School through various partnerships and exchanges. Bioscience High School is a public high school in Phoenix that is a magnet for college-bound students interested in science, technology, engineering and math (STEM) education opportunities. TRC 2 built upon existing relationships held by co-leader Wiek and faculty at the school. In previous years, the Bioscience High School welcomed the entire student body of the CNS Winter School on Anticipatory Governance for an exchange between graduate students and high school students. Faculty from Bioscience have also served as speakers at the monthly Science Café Series (see Outreach section). Additionally, CNS personnel offered presentations to the entire sophomore class on the M52 Superfund Site. Bioscience High School then committed to taking on the M52 Superfund Site as its annual project for students to investigate the technical, scientific and social uncertainty that generate misunderstandings and perpetuate a lack of trust between regulatory agencies and citizens. That initial visit was followed by a presentation by Foley and the Maricopa County's Sustainability Manager, Jonce Walker, on the impact of the built environment on urban sustainability challenges. The Phoenix metropolitan area is located almost entirely within Maricopa County, making the copresentation between neighborhood and metropolitan scales apparent and meaningful for students. This co-presentation strengthened the ties between TRC 2, Maricopa County and Bioscience High School.

In a previous reporting year, CNS-ASU described the development of a graduate course that provided in-service K-12 teachers with research experiences and also helps them develop curricular materials for their own K-12 classrooms on societal aspects of nanotechnologies. CNS has not offered the course in several years, although <u>Bennett</u> continues to be involved in some more ad hoc high school outreach derived from contacts at that time. <u>Bennett</u> was also a principal in the Citizens Engagement Program with High School Students in conjunction with CSPO and ECAST (see **Section 12 Outreach and Knowledge Transfer**).

CNS-ASU had also arranged for its Science Cafés, held monthly during the academic year in conjunction with the Arizona Science Center (see below) to provide in-service teachers with continuing education credit. This mechanism for attracting the attendance of teachers became less important over time, however, as the state of Arizona changed the requirements for continuing education, allowing teachers to gain credit through simple online activities. In other work oriented toward pre-college audiences, Miller served as a primary consultant to two chapters (4 and 13) in *The Big Ideas of Nanoscale Science and Engineering* (Stevens et al. 2009) published by NSTA Press for K-12 science teachers. These chapters are based, in part, on a guide to nanotechnology in society education produced by CNS (Miller et al. 2007). Much of the work done with NISE Net and the Arizona Science Center (see sections above and below) also reaches K-12 audiences, and one of the target audiences for the *Encyclopedia for Nanoscience and Society* (Guston 2010) is high school students and teachers.

Informal Science Education

CNS-ASU has had a significant impact on informal science education nationally through its partnership with the Nanotechnology Informal Science Education Network (NISE Net) to incorporate research on the ethical and societal implications of nanotechnology into museum programs and exhibits around the country. Early in its operation, CNS produced a guide to this topic (Miller et al. 2007) that NISE Net distributes as part of its Forums Guide and NanoDays Kit. This guide has also been distributed widely to science museums at NISE Net meetings and is available on the CNS-ASU website for download. In addition, NISE Net Director Larry Bell, who has attended nearly all of the CNS All-Hands Meetings and serves on the CNS Board of Visitors, has identified anticipatory governance as a central theme for future NISE Net programming and, more broadly, as the basis for a new model for the role of science museums in informal science education (Bell 2008). Most significantly are the series of workshops that occured conjunction with NISE Net to train museum staff in how to facilitate conversations about nanotechnology and society. In fall of 2014, CNS-ASU hired Rae Ostman, who previously worked for NISE Net and was instrumental in helping develop the collaboration between the two institutions, into a part-time professor of practice position that has since moved into state funding with the new Center for Engagement and Training in Science and Society. Further details of this strong collaboration can be found in Section 12 Outreach and Knowledge Transfer.

Practitioner Training

The Center has developed and piloted training modules in the ethical and societal implications of nanotechnology for scientists and engineers working in user facilities at the DOE Center for Integrated Nanotechnologies (CINT) and the National Nanotechnology Infrastructure Network (NNIN).

For the first few years, NNIN user facilities were strongly encouraged to use the video (created by <u>Guston</u> and others) and a survey was conducted to evaluate their experience. Respondents at 9 of the 11 user facility sites in the NNIN indicated that they were already using the video, and an additional site indicated that it would be doing so from this point forward. Four sites indicated that the video had been presented at a total of 117 training sessions, with the other sites indicating that users watched the video individually, with no formal records being kept. The sites indicated that approximately 1000 NSE researchers in total had watched the video. The actual use of the video varied. Some sites merely made the video URL link available. Other sites asked users to verify via a signature that they had viewed the video. Others required users to watch the video in groups. One group indicated that questions and comments sometimes follow, and one group indicated that they always follow the video with group discussion. Post-doc Reifschneider is currently attempting to follow up with the various NNIN sites to see explore the possibility of conducting an evaluation of this program.

While the video remains on the NNIN website for use at some sites, after much deliberation NNIN decided that face-to-face discussions of SEI issues would better engage the researchers at its user facilities. Wetmore attended a workshop in Jan 10 at Cornell University and Bennett attended a workshop in Oct 10 at Washington University in St. Louis to help inject CNS-ASU experience and knowledge into NNIN training across the country. Wetmore, Bennett and Trinidad have developed a thirty-minute module that is presented in conjunction with the health and safety training that all users of the ASU NNIN facility must successfully pass. The module introduces researchers to the practical implications and applications of CNS research and findings, while also making them aware of the support CNS can offer to young scholars in the form of PhD+ opportunities and coursework.

<u>Wetmore</u> and <u>Sarewitz</u> also participated as Faculty in the *IHEST European Summer School:* Which Place for Science in the Public Debate? at the Saline Royale d'Arc et Senans, France in Summer 10. This summer school was established in large part to help local and national French officials reflect on the protests during the government's effort to solicit input into its nanotechnology decisionmaking process. The summer school resulted in a publication that included <u>Wetmore</u> and <u>Sarewitz</u>'s lectures translated into French.

Winter School

In the Winter 15 CNS-ASU hosted its third Anticipatory Governance of Emerging Technologies Winter School at the Saguaro Lake Ranch in Mesa Ariz. It was attended by 15 junior scholars (graduate students or PhDs fewer than three years out) and by faculty from the RTTAs and TRCs as well as the assistant and associate directors. The student participants represented 13 institutions from 7 countries. In the spirit of the Gordon Research Conference, intense topical sessions were interspersed with activities designed to build the group into a cohort and take advantage of the natural resources at the Ranch. The post-school evaluative session indicated that general format and topics were appropriate and facilitated a cohort model of learning that was deemed successful by participants. This year, CNS charged participants a modest fee to begin moving the Winter School to a revenue neutral model like the Science Outside the Lab program. Based on feed back from this session and other comments CNS will conduct another Winter School in Jan 16. Highlights for the participants included their interactions with All-Hands and Board of Visitors attendees, as well as participants of an international anticipatory Life Cycle Assessment research group led by CNS-ASU researcher Foley.

Practitioners taking courses

Teachers Students

Total

K-12 (Pre-college) Education

Table 3A: Education Program Participants, Irrespective of Citizenship

Gender Race Mixed-incl. Mixed Other *Ethnicity Not **Student Type** F NA PI AA C A NA,PI,AA C,A Provided Non-US Hispanic Disabled Total M Enrolled in full degree programs Undergraduate 7 Masters 14 17 4 Doctoral 47 16 31 5 14 1 28 **Enrolled in NSEC Degree Minors** Undergraduate Masters Doctoral **Enrolled in NSEC Certificate Programs** Undergraduate Masters Doctoral Practitioners taking courses **Enrolled in NSEC Programs** Undergraduate Masters Doctoral

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147

Table 3B: Education Program Participants, U.S. Citizens or Permanent Residents

Gender Race Mixed-incl. Mixed

Student Type

Total M F NA PI AA C A NA,PI,AA C,A Provided Non-US Hispanic Disabled

Enrolled in full degree programs

Undergraduate Masters Doctoral

Enrolled in NSEC Degree Minors

Undergraduate Masters Doctoral

Enrolled in NSEC Certificate Programs

Undergraduate Masters Doctoral

Practitioners taking courses

Enrolled in NSEC Programs

Undergraduate
Masters
Doctoral
Practitioners taking courses

K-12 (Pre-college) Education

Teachers Students

Total

| 44 | 19 | 25 | 0 | 0 | 3 | 34 | 7 | 0 | 0 | 0 | 0 | 0 | 0 |
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12. Outreach and Knowledge Transfer

The outreach activities at CNS-ASU are, on one hand, tightly integrated with research and education and, on the other, governed by a strategy that aims at developing broad-based capacities among both NSE researchers and various publics. As described in the strategic research plan, CNS-ASU pursues an agenda of foresight, engagement and integration in order to advance its strategic goal of building capacities for reflexivity and anticipatory governance in the NSE enterprise in particular and in society more broadly. CNS-ASU thus has a dual-tracked outreach strategy that includes, in one track, outreach to various lay-publics (engagement) and, in the other track, outreach to scientists and engineers (integration). In addition, CNS has more traditional outreach and knowledge transfer to professional colleagues via workshops and presentations, as well as a modest technology transfer program associated with InnovationSpace.

In YR 10, we have begun the transition to post-CNS with the hiring on state funds informal science education and citizen science personnel and the creation of a new Center for Engagement and Training in Science and Society that will sustain much of the outreach activities that CNS-ASU started. Because many of the engagement and outreach programs have achieved a high level of success in part because they were nurtured and developed within a cohesive center, we decided that they should be spun off into their own center. The goal is to continue to develop the synergies between these programs and further their increasingly national and international reputation. Assistant Director for Education Bennett and Associate Director for Engagement Wetmore pioneered a number of these programs, and they have been named co-directors of the new center. CNS served not only as a way to incubate these new programs, but also as a way for the scholars involved in them to develop new communication and leadership skills.

The new center brings together 10 ASU faculty members to focus on 9 project areas: Community Engagement, Participatory Technology Assessment, the Future of Storytelling, Training, Ethics, Informal Science Education, Formal Science Education, Creative Nonfiction, and Citizen Science. We have even hired additional faculty to strengthen core areas. Rae Ostman, formerly in the leadership of NISE Net is now working half time as an associate research professor with a focus on developing new programming in the informal science education field. Darlene Cavalier is now serving half time as a professor of practice to expand our activities into the citizen science domain.

Collaborations with the Nanoscale Informal Science Education Network (NISE Net)

"Nanotechnology is relevant to everyone's lives, and has important societal and ethical implications" is one of the original learning goals established by the Nanoscale Informal Science Education Network (NISE Net). This goal was somewhat of a departure from traditional science museum content; soon after its creation, NISE Net recognized that CNS-ASU could be a valuable partner in developing programs in this area. For the past several years, CNS-ASU has developed demonstrations, presentations, posters, and film scripts for NISE Net to help introduce nano-and-society ideas to museum guests and have helped build up significant trust and a good working relationship.

Mini Nano Exhibit

<u>Bennett</u> and <u>Wetmore</u> served as consultants on one of the most ambitious projects that NISE Net has performed – the Mini Nano Exhibit project. CNS scholars provided valuable feedback and helped to evaluate the 200 square foot exhibit that NISE Net developed to focus on nanotechnology

in everyday life. The original plan to produce 50 copies of the exhibit increased to 70, and they were distributed to museums across the country. By 2015 the exhibit was on permanent display at 93 sites. Because of the collaborations NISE Net designated one of the first five exhibits produced to be sent to the Arizona Science Center to give CNS-ASU scholars easy access to the display as we develop further activities around it, e.g., a discussion space for the Winter School. NISE Net estimates that Mini Nano reaches more than 7 million visitors each year.

NISE Net evaluators estimate that between the *Nano* Exhibit and the NanoDays modules NISE Net products created with CNS input have reached approximately 10 million members of the public in 2015. These successes have only further strengthened the relationship between the two organizations. CNS faculty and NISE Net staff now collaborate on a number of projects designed to bring science closer to the public.

For instance, the Science Museum, Boston, has recently been awarded an NSF grant to develop learning programs in the field of synthetic biology. The project capitalizes on the expertise and networks that were developed under NISE Net. The program – Multi-Site Public Engagement with Science (MSEPS) – has pulled in eight science museums from across the country to develop tabletop demonstrations to introduce the public to some of the work being done in engineering biology. Because of previous partnerships with CNS faculty, the project has hired Bennett and Wetmore to work with program developers to ensure that they address and facilitate reflection on some of the social, ethical, and political aspects of synthetic biology. Guston also serves in an advisory capacity for the MSPES.

In April 15 CNS and NISE Net will also be collaborating on two workshops in Tempe. The first will be an attempt to expand the number of social science scholars at ASU that partner with museum professionals to give their research a wider audience. This workshop seeks to take the lessons learned by CNS researchers to see if the CNS/NISE Net partnership can serve as a model for other scholars who have important findings that should be presented to the public. Currently there are 10 museum professionals from 6 museums and 7 scholars signed up to spend a day brainstorming ways for their research to be packaged into informal science education programming. Wetmore and Bennett have recently applied for additional NSF funding from the STS directorate to create a nation-wide community of practice around this idea. The second workshop is being led by NISE Net to find new models for reaching audiences that typically do not go to science museums or centers.

Collaborations with the Arizona Science Center

Over the past several years, the already strong collaborations CNS-ASU has had with the Arizona Science Center have strengthened considerably. The Informal Science Education Program that CNS coordinates with the ASU node of the NNIN sends a group of graduate students to present on the museum floor at least once a month throughout the academic year, including the annual Nanodays event. The Science Center has also opened its doors as a place for CNS scholars to develop and test new projects and to work collaboratively on sponsored research. In the current year, Bennett is part of the ASC team that carries out an NIH Pathways grant. The Science Center is also helping CNS researchers Wetmore and Bennett to develop a small museum exhibit in conjunction with the Life Cycle Nanotechnology grant the EPA recently awarded ASU. CNS also provides an expert resource to the Science Center.

The Arizona Science Center also served as host to a joint project between CNS, the new Center for Engagement and Training in Science & Society, the Science Cheerleaders, and VICIS, a Seattle-based

company developing concussion reducing helmets. With the Super Bowl being played in Arizona and significant media attention focused on Phoenix, CNS helped to create an event that showcased cutting edge safety technologies and motivate girls to think about pursuing STEM careers. About 100 Pop Warner cheerleaders learned new cheers from the Science Cheerleaders, and then spent the rest of the day at the Arizona Science Center. The event garnered national attention, including being the final lengthy story that evening on the nationally televised NBC Nightly News with Brian Williams.

The strength of this relationship has led ASU to designate CNS assistant director of education Bennett as the faculty member in charge of the partnership between the university and the Arizona Science Center. The ASU administration viewed the relationship and projects that were created by CNS with the Arizona Science Center as exemplary and has entrusted CNS researchers to propagate that model across the university. As co-director of the Center for Engagement and Training in Science & Society (CENTSS; see below), Bennett is organizing a series of collaborative activities to bring the two organizations together. He will serve as a mentor to other academics who wish to take their message to a larger audience through museum engagement and programming.

Science Cafés

The CNS-ASU Science Café series continued this year. We made one major move with the series. Instead of being held at the Arizona Science Center, we have transitioned to the Tempe Center for the Arts to test out a new venue and attempt to reach a new audience. This change launched in the fall of 2014 with the goal of achieving a higher impact. CNS partnered with University of Advancing Technology in Tempe to host a series of talks on a particular substance that is very important to Arizona's history – copper. CNS-ASU coordinated three of the lectures, dealing with the impact of copper technology on native-American society, on the environment, and on the future of computing technology respectively.

NanoDays 2015

As in previous years, CNS-ASU is participating in NanoDays by adding the societal "so what?" twist on the information and materials provided by NISE Net. In coordination with the NanoDays national program, CNS-ASU sponsored three days of demonstrations about phenomena at the nanoscale. Two-dozen students from graduate classes taught by <u>Bennett</u> as well as students newly active in the Informal Science Communication Program participated in public displays at the Tempe Festival of the Arts, a street art fair that attracts upwards of 250,000.

Other Museum Collaborations

Frankenstein Bicentennial Project

Guston and assistant professor Ed Finn, who directs ASU's Center for Science and the Imagination (CSI), launched the Frankenstein Bicentennial Project in 2014, to recognize and celebrate the theme of creativity and responsibility in Mary Shelley's gothic novel, *Frankenstein, or The Modern Prometheus*, first conceived in 1816 and published in 1818. This project develops and extends CNS attention to anticipatory governance and responsible innovation and helps the Center to transition to other emerging technologies, historical (electricity) and contemporary (synthetic biology, robotics and AI, tissue engineering). Together with co-PI Helms-Tillery, Finn (PI) and Guston (co-PI) received a small award from NSF to host an interdisciplinary, cross-sectoral workshop to explore new ways of collaborating and set new project agendas around the project themes. The

workshop took place 28-30 April 2014. Outputs and outcomes of the workshop include: the integration of the Frankenstein theme into the campus-wide *Emerge* event in 2017, the announcement of a monster-themed fellowship program at ASU's Institute for Humanities Research (IHR); the planned development of a monster-themed exhibition in ASU's library space derived in part from work produced by the IHR fellows; the planned development by the ASU's Lincoln Center for Applied Ethics – in conjunction with CSI and CSPO – of a new edition of *Frankenstein* targeted at science and engineering students; public presentations on Frankenstein, synthetic biology and responsible innovation by Guston at the Seattle International Film Festival and at the Philadelphia's Mutter Museum, sponsored by Drexel University; a journal manuscript entitled "Stitching Together Creativity and Responsibility" submitted to an open call special issue of the *Bulletin of Science*, *Technology and Society* on "Science & Science Fiction;" and a planned volume on Frankenstein for CSPO's Rightful Place of Science print-on-demand book series. These latter two activities were led by CNS-ASU post-doctoral fellow Megan Halpern.

Beyond the \$50K NSF workshop award, the project has expended or had pledged or committed approximately \$450K from various ASU sources; Finn and <u>Guston</u> have also led a \$3M follow-on proposal to NSF's Advances in Informal Science Learning program to develop and evaluate the impact of a citizen-curated virtual museum of Frankenstein and other transmedia elements. The underlying hypothesis for the learning research in the proposal is derived from results from CNS-ASU's National Citizens' Technology Forum (NCTF), especially the finding that participants were likely to increase their feelings of internal efficacy but decrease their feelings of external efficacy through their participation in NCTF. The proposal hypothesizes that less dialogic and more hands-on activities – the kind of material deliberation pioneered by RTTA 3 and already embraced in science museums through table-top demonstrations, the NanoDays kit, etc. – will improve feelings of external efficacy as well. Museum and other informal education partners in this proposal include the Bakken Museum, the Rosenbach of the Free Library of Philadelphia, the Chemical Heritage Foundation, and others. CNS post-doc Halpern was also intimately involved in the production of this proposal.

Broader Engagement Programs and Activities

New Tools for Science Policy

CNS-ASU is leveraging the CSPO DC office to reach out to policy audiences. In YR 10 several CNS researchers presented at CSPO's New Tools for Science Policy series, which asks: How do we know what science is "the right science" to do? How can we effectively orient the vast research enterprise to make real progress toward societal goals? Since its inception, CSPO and its network of researchers have been developing models, tools, and methods to help address fundamental questions in science policy. CNS researchers met DC policy audiences to catalyze discussions and collaborations between science policy researchers and decision makers about new ideas and approaches for improving the social value of science and technology. YR10 CNS presenters included former post-doc de Ridder-Vignone on "Deliberating Differently" in Oct 14; Guston is scheduled to speak on the societal aspects of synthetic biology in Apr 15.

Informal Science Communication Program

During YR 10, CNS-ASU and ASU's node of the National Nanotechnology Infrastructure Network (NNIN) continued a program in informal science communication in cooperation with the Arizona Science Center. Graduate students interested in working with the public to promote a broader

understanding of science and technology receive training in methods and techniques to engage with diverse audiences. These "Science Liaisons" then have the opportunity to work on the floor of the Arizona Science Center once or twice a month during the semester. Students of all disciplines are invited to apply. Faculty leads Thornton, Wetmore, Bennett, and student leader Trinidad provide ongoing support and mentorship through informal monthly group meetings and an online organizational space in the university's courseware system, Blackboard. A set of informal and formal science educational resources, training materials, and a collaboratively-edited Google calendar schedule are accessible through the community site. Thirty-five students are now members of the online group and receive regular announcements about program activities; ten students have completed the training and are active volunteers. On average, during each visit to the Science Center the students engage with 60-70 museum guests. The program has significantly strengthened the relationship between CNS-ASU and the Arizona Science Center.

In April 14 <u>Wetmore</u> used the skills developed in this program to run a training program in South Africa. He took several of the kits that had been developed as part of the Nanodays program to PhD students pursuing degrees in nanoscience and technology at the University of the Western Cape. Over the course of three days he trained students in that program as well as staff from the ArcelorMittal Science Center. For two days the students presented the demonstrations to visitors at the Cape Town Science Center. He left the kits behind so that the students and staff from ArcelorMittal could continue to use them in their outreach activities.

In Jan 15 Trinidad expanded upon the traditional ISC model by running a two-day workshop on how to turn your academic research into a museum demonstration. The program trained 12 students from natural science, engineering, and the social sciences in a combination of communication and engagement skills.

In a parallel effort, <u>Bennett</u> and <u>Wetmore</u> taught a Chemistry 501 course in the spring of 2015 to train PhD level chemists in how to turn research into public outreach. Over the course of the semester, five student groups developed tabletop demonstrations. One group was able to illustrate the effects of photosynthesis by putting small circles of spinach leaves in water under strong light and watching them rise as they produced oxygen. Another illustrated the ways in which cold germs can be transferred through contact with objects, and another used a powder that fluoresces under a black light. Another group used heat lamps, portable thermometers, and a timer to have guests record how long different materials held their heat in an effort to illustrate the urban heat island effect – a phenomenon that has significant implications for Phoenix weather. All five demonstrations were presented at the Arizona Science Center as a final project. ASC staff were very impressed and asked to keep some of the kits that the students developed.

ECAST

In Apr 2010, the Woodrow Wilson International Center for Scholars (WWIC) released the report *Reinventing Technology Assessment: A 21st Century Model* by Richard Sclove, founder and senior member of the Loka Institute, a non-profit research and advocacy organization concerned with the social, political, and environmental repercussions of research, science and technology. The report gives an overview of participatory technology assessment, reviews its applications in Europe and some prototypes in the US, and it forwards a proposal to create the ECAST network – Experts and Citizen Assessment of Science and Technology (www.ecastnetwork.org) – a consortium of NGOs, non-profits and universities that administer public engagement events on scientific and technological topics relevant to policy makers. Guston and a network of partners at WWIC, Loka, Museum of Science Boston, Pomona College, CSPO and others discuss projects, funding mechanisms

and network governance in regular conference calls. Since the report, ECAST partners have conducted several small-scale demonstration citizen engagement projects at several home institutions about emerging technologies including geoengineering, nanotechnology, and synthetic biology. ECAST has been instrumental in coordinating the participation of US sites in the Danish Board of Technology's World Wide Views (WWV) on Global Warming (which overlapped substantially with NCTF sites) and the WWV on Biodiversity held in September 2012 (which also had some overlap). In the current reporting year, ASU and other sites are preparing to participate in WWV on Climate and Energy, which will take place in June 2015.

In the current reporting year, ECAST, via ASU, was awarded a \$200K cooperative agreement from the National Aeronautics and Space Administration (NASA) to perform a prospective, participatory technology assessment of the proposed Asteroid Initiative in which NASA would plan on capturing a small asteroid for purposes potentially including planetary defense, commercial development, and preparation and planning for other human deep space missions including Mars. The award funded face-to-face deliberation projects at ASU and in Boston, coordinated by the Museum of Science, and virtual deliberations, coordinated by SciStarter. The program was very well received by NASA officials, and the outputs generated by the deliberation were requested earlier than originally agreed to in order that NASA Administrators could use the data in their deliberations about which asteroid initiative to proceed with.

Emerge 2015: Artists and Scientists Design the Future

For the fourth consecutive year, CNS helped support the annual *Emerge* festival at ASU, one of the university's major town-gown initiatives. CNS post-doc Halpern served as the director for collaboration and research for the festival and deployed a cadre of five graduate students (Trinidad, Altimirano-Allende, Fuller, Burnam-Fink, and Connelly) to perform ethnographies of the activities. Highlights from the CNS perspective included several of the participatory "visitations" derived from CNS activities such as Future Fairy Tales with Legos (after the Lego Serious Play NUE project) and the Participatory Design Studio (in the mode of material deliberation), as well as the performancebased follow-on to the design studio, Future Design Studio Improv Hour, in which one of the objects designed in the studio was chosen for performance by a troupe of trained improvisation actors. Major collaborators on Emerge at ASU included the Ira A. Fulton Schools of Engineering, the Herberger Institute for Design and the Arts, the Julie Ann Wrigley Global Institute of Sustainability, the Center for Science and the Imagination, and others, as well as the ASU Art Museum, Scottsdale Public Art, KJZZ, and the Arizona Sci-Tech Festival, for which *Emerge* was a signature event. The role of Halpern and the students means that in addition to the high point of a public festival, research in the form of three manuscripts intended for Futures, Public Understanding of Science, and Computer-Human Interactions will be derived from it.

<u>Presentations to Public Audiences</u>

Beyond those mentioned above, highlights in YR 10 include:

- I. Bennett. 2014, May. "An Unbelievable Roadmap." Unbelievable Biomed, Arizona Science Center, Phoenix, AZ.
- G. Gano and K. Harper. 2014, April. "Futurescape Springfield." Museums a la Carte Lecture, Springfield Museums, Springfield, MA.

D.H. Guston. 2014, September. "From Frankenstein to Synthetic Biology: Responsible Innovation and the Insufficiency of 'Cool'." Drexel University Alumni Association, Mutter Museum, Philadelphia, PA.

<u>Presentations to Policy and Professional Audiences</u> Beyond those mentioned above, highlights in YR 10 include:

E. Fisher. 2014, May. "How to Talk to Scientists about Ethics." Workshop on Ethics and Society, French National Research Agency, Paris.

D.H. Guston. 2014, November. "Understanding Anticipatory Governance." Presentation before the National Academy of Sciences Board on Life Sciences, Tempe, AZ.

B.A. Wender. 2014, September. "Advancing Life Cycle Assessment for Emerging Technologies." Institute for Technology Assessment and Systems Analysis (ITAS), Karlsruhe, Germany.

Integration Programs and Activities

Integration with technical colleagues in the sciences and engineering continues to be a key component of CNS-ASU's work – stretching from research to education, engagement, and outreach. It continues to be a key aspect.

National Nanotechnology Infrastructure Network

In addition to the Informal Science Communication program for graduate students mentioned previously, the CNS-ASU continues broader discussions about integrating SEI issues in the NNIN. Currently <u>Wetmore</u> is head of SEI for the NNIN but there was no funding for significant research in the NNIN extension. In Aug 14, <u>Wetmore</u>, did run an ethics engagement exercise with the 75+ NNIN REU students at their annual convocation at Georgia Tech. Student feedback on the exercise was extremely positive. One student who applied to the NNIN REU program the previous year said that the one-hour ethics program caused her to completely rethink her career trajectory and compelled her to focus on doing work with an eye towards developing countries.

With the end of the NNIN, CNS researchers are involved in assisting with the SEI efforts in the developing National Nanotechnology Coordinated Infrastructure (NNCI). Youtie and Shapira have been asked to develop an SEI effort for the Georgia Tech proposal. And Wetmore, Guston, and Bennett are working closely with ASU's Trevor Thornton to develop an SEI component for both ASU and the possible national network. Within the "Southwest NNCI node" entry we have proposed an "SEI user facility." This proposal is based on the extensive visits that scholars from around the made to visit with and learn from CNS researchers at ASU. The proposed SEI user facility will modularize many of the tools developed at CNS and offer small stipends to scholars who want to travel to Tempe to learn how to integrate them into their own work. We will also propose that the Southwest NNCI node serve in a coordinating position for the entire network as it develops.

Research Integration Presentations

Beyond those mentioned above, highlights in YR 10 include:

I. Bennett. 2014, November. "Science Outside the Lab: Teaching scientists how the government works and then to believe it might not be so bad." American Chemical Society Midwest Regional Meeting, University of Missouri, Columbia, MO.

R.W. Foley and R. Rushforth. 2014, November. "Can nZVI Decontaminate Water in a Socially Contested Context? Evaluating EPA community involvement processes for technology adoption." 3rd Sustainable Nanotechnology Organization Conference, Boston, MA.

D.H. Guston. 2014, September. "The Case for Responsible Innovation," Schlinger Symposium Plenary Address, Innovation Day, Chemical Heritage Foundation, Philadelphia.

Collaborations with Academic Colleagues

Society for the Study of Nanoscience and Emerging Technologies (S.NET)

After CNS-ASU served as co-organizer (with CNS-UCSB) and host of S.NET's third annual meeting in Nov 11, S.NET continues to thrive in a way now largely independent from the original investments made by NSF. CNS-ASU participation in the 2014 meeting in Karlsruhe included: CNS-ASU board of visitors member Colin Milburn serving on the S.NET board; Foley's chairing a panel on "Indicators for Responsible Research and Innovation" with presentations by CNS graduate student Bernstein, recent ASU Sustainability PhD (and incoming post-doc) Keeler, and visiting doctoral student Bos; a presentation by Youtie and Shapira; and Foley, Wender and German colleague Weil chairing a panel on "Advancing Life Cycle Analysis for RRI," featuring a presentation by CNS senior investigator Seager.

Governance of Emerging Technologies: Law, Policy, and Ethics (GET)

CNS-ASU has been a major sponsor of the annual "Governance of Emerging Technologies: Law, Policy, and Ethics" meeting, organized by <u>Marchant</u> at ASU's Sandra Day O'Connor College of Law. <u>Guston</u> has served on the program committee, along with board of visitors member Jennifer Kuzma, and organized and chaired a plenary panel in each year. In the reporting year, CNS-ASU involvement in the second annual meeting included: <u>Guston's</u> chairing a plenary screening of Regan Brashear's *Fixed*, and various roles for board of visitors members Andrew Maynard and Jennifer Kuzma. For the coming year (May 2015), <u>Guston</u> has organized a plenary panel on intergenerational justice and emerging technologies, and CNS/VIRI visitor Sujatha <u>Raman</u> and RTTA 3 graduate student Jathan Sadowski are presenting on concurrent panels. The meeting draws 80-100 attendees, mostly academics but some government officials and private sector participants.

CNS-ASU, Anticipatory Governance and the Structure of Large-scale Societal Research

In the reporting year, Guston participated in five activities that highlight how CNS is seen as a model for articulating a strong central vision and pursuing it in an interdisciplinary way. In summer 2014, he gave talks at both the nascent Science, Technology, Engineering and Public Policy (STEaPP) Department at University College, London and the well-established Science Policy Research Unit (SPRU) at the University of Sussex that focused on the connection between the organizational design and intellectual pursuits of the Center. In fall 2014 at ASU, he anchored a panel sponsored by the Office of Knowledge Enterprise and Development on organizing and conducting interdisciplinary research. In February 2015, he spoke at the University of California, Berkeley, to the Center for Science, Technology, Medicine and Society, in part to advise about CNS-

ASU experience that might relevant to CSTMS's intention to create a new Center for Regulatory Science. That presentation led to a follow-up invitation from the new Berkeley Institute for Data Science to discuss similar issues.

<u>Presentations to academic and professional audiences</u> Beyond those mentioned above, highlights in YR 10 include:

K. Reifschneider and M.J. Bernstein. 2014, August. "Science Outside the Lab: Reporting on a Science Policy Education Intervention." Poster presented at the Gordon Research Conference on Science and Technology Policy: Systems Approaches to Research and Practice, Waterville Valley, NH.

D. Tomblin. 2014, December. "The Influence of Demographic Diversity on the Outcomes of Futurescape City Tours: A Multi-site Comparison." Dupont Science Policy Summit, Washington, DC.

J. Wetmore. 2014, August. "Social and Ethical Implications of Nanotechnology." NNIN Research Experience for Undergraduates Convocation. Atlanta, GA.

Collaborations/Interactions with Industry and Other Sectors

Governance Scenarios for Cities (Phoenix)

Throughout the renewal period of the Center, TRC 2 has repeatedly conducted engagement activities with expert and stakeholder groups, including industry. In this reporting year, the focus of engagement continued to be sharing the "Nano and the City: Future Scenarios of Nanotechnology Innovation." The scenarios were constructed with participation from representatives from the Arizona Corporation Commission (ACC), Arizona Nanotechnology Cluster (ANC), Arizona Biotechnology Association (ABA), Arizona Technology Council (AzTC), Arizona Technology Investors Forum (ATIF), Maricopa Association of Governments (MAG), various city officials, Greater Phoenix Economic Council (GPEC), Intel, SDC Materials, Phoenix Revitalization Corporation, and Bioscience High School. We visualized the scenarios in collaboration with the ASU School of Design through the production of a 15-minute film. The film is being shared via both online and in-person presentations. Last year, many of these groups were re-engaged with a screening of the film with the ANC, ABA, AzTC, ATIF, MAG, GPEC and local industry at a monthly meeting of the ANC. In the current year we shared the film with 40 members of the Arizona Chapter of the United States Green Building Council, 75 students at Biosciences High School, as well as with 35 science policy experts in Washington DC at the CSPO breakfast seminar. Another effort entailed a community engagement workshop that brought together 22 engineering graduate students at Concordia University in Montreal and 14 engineering graduate students at ASU in Tempe to explore questions of incorporating social and cultural context into engineering for community development work. That latest effort builds on the experimental approaches taken by TRC 1 in South Africa and at Georgia Institute for Technology.

InnovationSpace

CNS-ASU has a modest technology transfer program through its support of InnovationSpace (ISpace). One important output of ISpace is an invention disclosure by each of the cross-functional undergraduate teams. ISpace teams working with CNS have disclosed 12 inventions to ASU's technology transfer arm, Arizona Technology Enterprises (AZTE). These disclosures have generally been the endpoint of technology development from ISpace, as neither it nor CNS-ASU has had the resources to perform follow-up research and development – although ISpace faculty leader

<u>Boradkar</u> and <u>Guston</u> are attempting to cultivate potential sources of support. <u>Wetmore</u>, Bernstein, and Vortherms worked closely with ISpace this year, including running a short workshop for the class.

Journal of Responsible Innovation

In Aug 13, <u>Guston</u> signed a contract with Taylor & Francis to publish the <u>Journal of Responsible Innovation</u> (*JRI*) under their Routledge imprint – the world's largest publisher of social science journals. The effort had started several years earlier, when <u>Fisher</u> and several European colleagues began to draft a proposal. They eventually brought <u>Guston</u> on board, and together they revised the proposal and offered it to several presses (MIT, Sage, Oxford) and finally found a partner in T&F. *JRI* has an internationally esteemed set of associate editors and members of its editorial board. Volume 1, issue 1 appeared online and in print in Feb 14

(http://www.tandfonline.com/toc/tjri20/current#.U0ID915tiCU); it will remain open access in perpetuity, and select and timely articles in future issues will be open access as well. The journal will also abide by the open access policy of the United Kingdom. As the reporting year closes, JRI has published volume 1, issue 3, and volume 2 issue 1 is compiled and available online. T&F have also submitted the journal's application for listing in ISI.

Presentations to private sector/industrial audiences

Beyond those mentioned above, highlights in YR 10 include:

E. Fisher. 2014, April. "Responsible Innovation: Integrating Care and Creativity." Association for Managers of Innovation, Spring Conference, San Diego, CA.

R. Rushforth and R.W. Foley. 2014, May 19-22. "Nanotechnology versus the Dragon: CVOC contaminated groundwater and the socially contested M52 Superfund site." Ninth International Conference on Remediation of Chlorinated and Recalcitrant Compounds. Monterey, CA.

B.A. Wender. 2014, May. "Life Cycle Assessment: Beyond Compliance." Intel Corporation, Chandler, AZ.

Documentary and Video/Television Projects

In 2013, CNS-ASU revamped its website (cns.asu.edu) with the goal to demonstrate CNS-ASU's recognition that interdisciplinary and integrated communications about the societal dimensions of nanotechnology require a diverse outreach strategy. CNS-ASU thus continues to develop its new media project to infrastructure, workflows, and capacities. The goal of the project is to expand the reach of the Center's regular research and engagements through a variety of media.

Our goal has been to video as much as possible and make it accessible to a broader audience through the website. To this end we have been producing videos of CNS's Occasional Speaker series; they are available at: http://vimeo.com/album//is42414. We have been recording the CNS Science Café Series for several years as well, posting those videos at: http://vimeo.com/album/1662457. We have also tried to highlight specific faculty and projects by compiling short videos of them discussing their work. We have also disseminated the short films that Websites.org/websites.or

Fixed

Regan Brashear, former CSPO filmmaker in residence, completed her film "Fixed: The Science/Fiction of Human Enhancement," which generously credits CNS-ASU as assisting with the film. Over the course of making the film, she interviewed Center faculty including <u>Guston</u> and <u>Miller</u>, and the completed version includes significant footage of CNS collaborator <u>Wolbring</u>. <u>Guston</u> moderated a screening of the film at the S.NET annual meeting in Boston and it was scheduled to be shown at the upcoming EuroScience Open Forum in Copenhagen but appropriate arrangements could not be made. <u>Guston</u> moderated a CNS-sponsored screening at the 2nd Annual Conference on the Governance of Emerging Technologies meeting in May 2014. *Fixed* has also been screened and won awards at numerous film festivals – including best (full-length) documentary at the Picture This Film Festival 2014 – and it has been licensed by the United Nations for its work on the Convention for the Rights of People with Disabilities. The film is scheduled to air in Fall 2015 on public television in the United States through KRCB and the American Public Television Exchange.

Lab-Life

Filmmaker Frank Theys continues his work on a documentary film that involves the work of RTTA 4/STIR embedded humanists. The film – produced by Savage Films (Belgium) and Cobos Films (The Netherlands) in a coproduction with the public broadcaster ZDF/ARTE (Germany/France), supported by the Flemish and the Dutch Film Funds, the European MEDIA Program and the CERA Art Foundation – has suffered some recent creative and collaborative setbacks, but director Theys is committed to its completion.

STEM Journal

In spring 2014, <u>Bennett</u> and <u>Wetmore</u> were featured actors in the "Nanotechnology" episode of the AZ Cox Channel 7 television show "The STEM Journal." The locally produced show has won multiple Telly Awards for "TV program – education." The show stars Geoff Notkin and was directed by David Routt. <u>Bennett</u> and <u>Wetmore</u> introduce Notkin to the basics of nanotechnology and then help him on his journey to understand more about applications and implications. The show is available for free download at: http://www.cox7.com/stem-journals/nanotechnology and is used in K-12 classrooms across Arizona to supplement existing curriculum.





13. Shared and other Experimental Facilities

While CNS-ASU has no physical science or engineering experimental facilities as such, it has created a nexus of exciting, cutting-edge inquiry that has drawn large numbers of scholars, many of them international, to visit and collaborate with us in a variety of capacities. The Center has a physically coherent space – integral with its parent center, the Consortium for Science, Policy and Outcomes (CSPO) – and sufficient capacity and flexibility to host visitors. To date, since beginning operation in Oct 05, and according to rigorous selection criteria, CNS-ASU has hosted numerous visitors including one hundred and twelve (113) international scholars, students, and policy practitioners from twenty-six (26) countries. These numbers do not include dozens more international visitors to the Georgia Tech and University of Wisconsin-Madison sites, nor do they include some sixty-five (65) international visitors to the ASU Tempe campus who attended the 2011 S.NET conference and the 2013 Communities of Integration workshops. This section reports on the interactions that CNS-ASU has generated, which in turn point to the Center's value as a destination for visiting international scholars and its role as the central node in a widening international network.

To provide meaningful structure for our reporting on these visits, we limit our account here to include only a subset of these interactions based on three rigorous selection criteria. First, we only report on visitors who come from outside the US to CNS-ASU in Tempe. Thus, in past years, we have not counted Bowman (Northern Ireland) or twelve other international visitors who attended the fourth STIR project workshop or three UK visitors who attended the US-UK dialogue on responsible innovation, since these meetings were both held in Washington DC. Second, we only report on visitors who have no formal positions within US institutions, whether at ASU or elsewhere. Thus, as in past years, we do not count international visitors such as Gjefsen, who currently have appointments at another US institution. Third, we only count one member of each group of two or more visitors from the same institution or country (except in cases where members engaged in separate Center interactions that did not involve the group as such). We thus have counted Naranjo (Ecuador) and Hosono (Japan), but not the other five scholar-practitioners who comprised the same South American and Japanese delegations, respectively.

In YRs 1-9, CNS-ASU was visited by one hundred and two (102) international visitors who fit these criteria. Visits from these people varied in length of stay, ranging from a few days to several months, but in nearly every case the visitor provided a lecture or seminar on his or her work related to nanotechnology in society and met intensively with CNS-ASU researchers. These visitors included faculty, students, and policy practitioners.

In YR 10, the following eleven CNS-ASU visitors fit the three criteria specified above:

- 1. Anwesha Borthakur Jawaharlal Nehru University, India
- 2. Colette Bos University of Utrecht, Netherlands
- 3. Vanessa Chenel, University of Sherbrooke, Canada
- 4. Stevienna de Saille University of Sheffield, U.K.
- 5. Sarah Hartley University of Nottingham, U.K.
- 6. Miklos Lukovics University of Szeged, Hungary
- 7. Vanessa Messina Univ. Federal do Estado do Rio de Janiero, Brazil
- 8. Sujatha Raman University of Nottingham, U.K.



- 9. Martin Sand Karlsruhe Institute of Technology, Germany
- 10. Bart Walhout University of Twente, Netherlands
- 11. Sarah Weingartz Maastricht University, Netherlands

YR 10 CNS-ASU visitors consist of eleven students/researchers who come from seven countries. Several YR 10 visitors are developing research plans that grow out of their interactions with the Center. Six were participants in the Winter School. In general, all visiting graduate students receive mentorship from CNS-ASU researchers and most have opportunities to present and to publish.

Sample publications or publishing activity in YR 10 by previous international visitors to the Center that stemmed from or were shaped by their interactions with CNS-ASU include the following articles:

- 1. Gjefsen, Mads Dahl, and Erik Fisher. "From Ethnography to Engagement: The Lab as a Site of Intervention." *Science as Culture* 23.3 (2014): 419-431.
- 2. Fisher, Erik, et al. "Mapping the integrative field: taking stock of socio-technical collaborations." *Journal of Responsible Innovation* (2015): 1-23.
- 3. de Saille, Stevienna. "Fixed: the science/fiction of human enhancement." *Journal of Responsible Innovation* 1.1 (2014): 142-145.

During YR 10, several instances of knowledge transfer, dissemination, and application occurred, including those mentioned in conjunction with the Center Assessment Study (RTTA4).

These activities and capacities have enabled CNS-ASU to become increasingly involved in arranging and participating in international events that take place outside of our physical space proper and that extend the reach and vibrancy of our network of partners and collaborators. They have also provided the template for activities anticipated under the proposal to NSF's "Science Across Virtual Institutes" program for a "Virtual Institute for Responsible Innovation."

14. Personnel

The Center is managed by a Director (<u>Guston</u>), three Associate Directors (<u>Fisher</u>, integration; <u>Selin</u>, anticipation; and <u>Wetmore</u>, engagement), and an Assistant Director (<u>Bennett</u>, education). An Executive Committee composed of the Center's team leaders and institutional PIs meets monthly by phone. In addition to <u>Guston</u> (ASU), Center co-PIs are Elizabeth <u>Corley</u> (ASU), to recognize her work across RTTAs, Dietram <u>Scheufele</u> (Wisconsin) and Jan <u>Youtie</u> (<u>GA Tech</u>) – to recognize the deep partnership with those subcontracting institutions.

CNS-ASU staffing has turned over completely since the beginning of grant year 4. Staffing is currently comprised of Deron Ash, Program Manager starting in September, 2013; Patty Ryan, coordinator of administrative and event functions as of July, 2014, replaced Michelle Iafrat, who took on a new position at ASU; and Jennifer Banks at 75% who coordinates communication for both CNS-ASU and VIRI, started in January, 2014.

CNS-ASU has a set of team leaders for each of its major RTTA and TRC research programs. These leaders are spread across the Center's participating institutions and in some instances overlap with institutional leaders (see below). The team leaders currently are:

RTTA 1: <u>Jan Youtie</u>, GA Tech; <u>Jose Lobo</u>, ASU

RTTA 2: Elizabeth Corley, ASU; Dietram Scheufele, Wisconsin

RTTA 3: Cynthia Selin, ASU; Kathryn de Ridder-Vignone, James Madison University

RTTA 4: Erik Fisher, ASU; Elizabeth Corley, ASU

TRC 1: Jameson Wetmore, ASU; Susan Cozzens, GA Tech

TRC 2: Arnim Wiek, ASU; Rider Foley, UVA

This group convenes monthly in a telephone call as the Executive Committee. CNS-ASU also communicates internally through a regular lab meeting, held every other week, for personnel at ASU, and regular lab meetings held at similar intervals among the Wisconsin and GA Tech groups, as well as between GA Tech and ASU for TRC 1 and UVA and ASU for TRC2. A listsery dedicated to CNS-ASU affiliated personnel at all its institutions also facilitates communication.

Much of the interface among CNS personnel is driven by both the preparation for and the interactions that occur at the annual Winter School for the Anticipatory Governance of Emerging Technologies, as well as a series of other meetings which take place concurrently, at Saguaro Lake Ranch in Mesa, AZ. For 2015, these meetings included our annual Board of Visitors meeting, our faculty and graduate researcher All-Hands Meeting, and an organizational workshop to develop the Broadening Participation supplemental program.

These overlapping meetings create a dynamic atmosphere during the Winter School and participants report that the opportunity to interact and collaborate with a variety of faculty/researchers during the week is one of the most positive aspects of the program.

We also expect to organize a final meeting for the Center to which we would invite CNS-ASU "alumni/ae" back for a larger intellectual gathering.

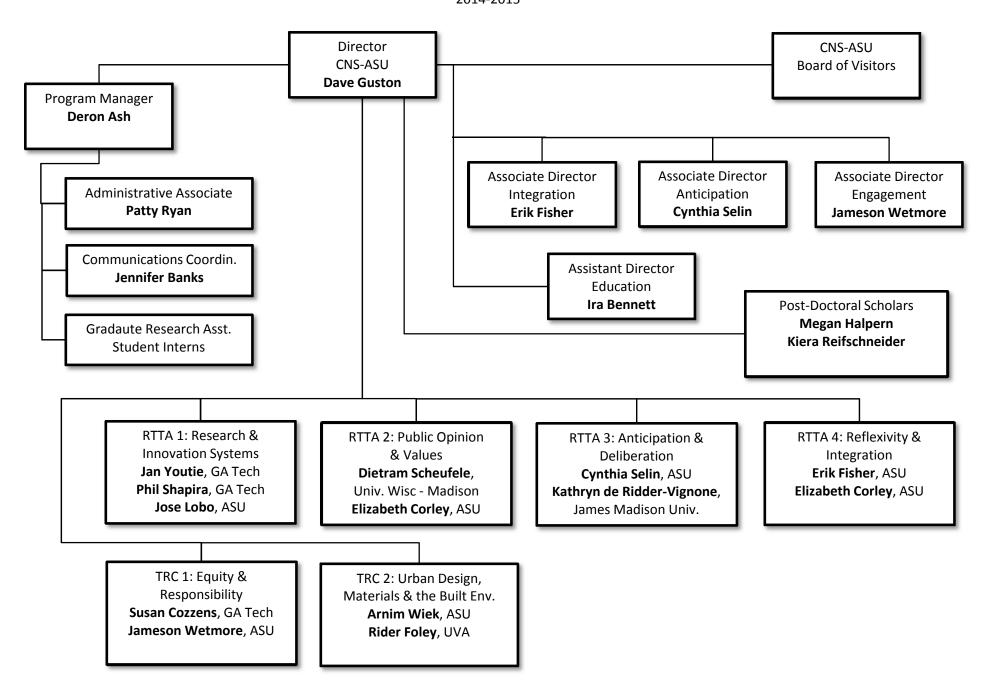


Table 4A: NSEC Personnel, Irrespective of Citizenship

| | | <u> </u> | | | | | | | (| Citiz | enship Statu | | | | | | |
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| | | | | | | | | U.S | | | or Permanent I | | | | | | |
| | | | | Ge | ender | Race | | | | | Mixed-incl. | | | | | | |
| | | | | | | | | | | | | | Not | Other | *Ethnicity | 1 | % NSEC |
| Personne | el Type | | Total | Male | Female | NA | ΡI | AA | С | Α | NA,PI,AA | C,A | Provided | Non-US | Hispanic | Disabled | Dollars |
| | | | | | | | | | | | | | | | | | |
| Director | | | 1 | 1 | | | | | 1 | | | | | | | | 0% |
| Team Lea | ders | | 11 | 7 | 4 | 0 | 0 | 0 | 11 | | | | | | 1 | | 50% |
| Staff | | | 5 | 2 | 3 | | | | 4 | 1 | | | | | | | 75% |
| Collaborat | tors | | 214 | 127 | 87 | 0 | 1 | 1 | 186 | 22 | | | | | 7 | 0 | 0% |
| | | | 1 | | | | | | | | | | | | <u> </u> | | |
| Research | | | | | | | | | | | | | | | | | |
| | Post Docs | | 16 | 6 | 10 | 0 | 0 | | 12 | 4 | | | | | 1 | 0 | 50% |
| | Doc/Mas. Students | | 170 | 90 | 80 | 0 | 0 | 2 | 117 | 51 | | | | | 18 | 0 | 50% |
| | Undergraduate Stude | nts | | | | | | | | | | | | | | | |
| Curriculus | The properties of the properti | trooch | | | | | | | | | | | | | | | <u> </u> |
| Cumculun | | Teach | | | | | | | | | | | | | | | |
| | Senior Faculty | | | | | | | | | | | | | | | <u> </u> | - |
| | Junior Faculty | 1 | | | | | | | | | | | | | | | ļ |
| | Research Staff | 1 | | | | | | | | | | | | | | ļ | <u> </u> |
| | Visiting Faculty | | | | | | | | | | | | | | | | <u> </u> |
| | Industry Researchers | 1 | <u> </u> | | | | | | | | | | | | | <u> </u> | 4 |
| | Post Docs | | | | | | | | | | | | | | | | <u> </u> |
| | Doctoral Students | | | | | | | | | | | | | | | | <u> </u> |
| | Masters Students | | | | | | | | | | | | | | | | <u> </u> |
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| REII Stud | ent, if applicable | + | | | | | | | | | | | | | | | |
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| Other Visi | ting College Students | | | | 1 | | | | | | | | | | | 1 | |
| Pre-colleg | | | | | | | | | | | | | | | | † | † |
| | Students | | | | | | İ | | | | | | | | | | |
| | Teachers - RET | | | | | | | | | | | | | | | | |
| | Teachers - non-RET | | | | | | | | | | | | | | | | |
| TOTALS | | | 417 | 233 | 184 | 0 | 1 | 3 | 331 | 78 | | | | 0 | 27 | 0 | |

| Table 4 | B: NSEC Personn | el, U.S. C | itizens | ship | | | | | | | | | | | | | | |
|-------------|-----------------------|------------|---------|------|--|------------------------------------|----|----|-----|-------------|--------------|-----|----------|--------|------------|----------|---------|--|
| | | | | | | | | | (| Citiz | enship Statu | 3 | | | | | | |
| | | | | | | U.S. Citizen or Permanent Resident | | | | | | | | | | | | |
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| | | | | | | | | | | | | | Not | Other | *Ethnicity | | % NSEC | |
| Personne | el Type | | Total | Male | Female | NA | PI | AA | С | Α | NA,PI,AA | C,A | Provided | Non-US | Hispanic | Disabled | Dollars | |
| Director | | | 1 | 1 | | | | | 1 | | | | | | | | 0% | |
| Team Lea | nders | | 11 | 7 | 4 | 0 | 0 | 0 | 11 | | | | | | 1 | | 50% | |
| Staff | | | 5 | 2 | 3 | | | | 4 | 1 | | | | | | | 75% | |
| Collaborat | tors | | 160 | 85 | 74 | | | | 154 | 6 | | | | | 2 | 0 | 0% | |
| Research | | 1 | | | | | | | | | | | | | | | | |
| | Post Docs | | 16 | 6 | 10 | 0 | 0 | 0 | 12 | 4 | | | | | 1 | 0 | 50% | |
| | Doc/Mas. Students | | 119 | 63 | 56 | 0 | 0 | 2 | 112 | 5 | | | | | 14 | 0 | 50% | |
| | Undergraduate Stude | ents | | | | | | | | | | | | | | | | |
| Curriculun | n Development and Ou | itreach | | | | | | | | | | | | | | | | |
| | Senior Faculty | | | | | | | | | | | | | | | | | |
| | Junior Faculty | | | | | | | | | | | | | | | | | |
| | Research Staff | | | | | | | | | | | | | | | | | |
| | Visiting Faculty | | | | | | | | | | | | | | | | | |
| | Industry Researchers | 3 | | | | | | | | | | | | | | | | |
| | Post Docs | | | | | | | | | | | | | | | | | |
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| Other Visi | ting College Students | | | | | | H | | | | | | | | | | | |
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| | Teachers - RET | | | | | | H | | | | | | | | | | | |
| | Teachers - non-RET | † | | | | | H | | | | | | | | | | | |
| TOTALS | . Suchors Horrice | 1 | 312 | 164 | 147 | 0 | ┪ | _ | 294 | | | | | 0 | 18 | 0 | - | |

14. Publications, Patents and Press

Primary NSEC support indicated by (‡) symbol. Partial NSEC support for all others.

Faculty level participants indicated in boldface.

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- 18. ‡Fremling, Alicia. 2008. "SCIO: An Innovative Health Product that Uses Nanotechnology to Monitor for Cancer." Undergraduate Thesis. Barrett Honors College. Arizona State University. Tempe, AZ.
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Presentations

- Akin, Heather, Sara K. Yeo, **Dietram A. Scheufele**, **Dominique E. Brossard** and **Michael A. Xenos**. May 2014. "The Spillover Heuristic? How the GMO Labeling Debate Affects Information Processing of Nanotechnology." Presentation. Annual Convention of the International Communication Association (ICA). Seattle, WA.
- 2. **Allenby, Braden**. August, 2006. "Schumpeters Next Wave: Convergence of Nanotechnology, Biotechnology, Information Science, and Cognitive Science." Chaired and contributed to the session. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 3. **Allenby, Braden** and **Peter de Marneffe**. April 19, 2013. "Privacy in the Nano City: Humans and Nano-enabled Communication Technologies." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 4. Anbar, Ariel and Michael E. Smith. February 19, 2010. "The End of Earth: If Not in 2010, Then When." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 5. Anderson, Ashley A., **Dietram A. Scheufele** and **Dominique E. Brossard**. May, 2010. "Trust in Scientists: The Role of Media in Establishing Trust in Sources of Information about Nanotechnology." Presentation. Annual Convention of the World Association for Public Opinion Research, Chicago, IL.
- 6. Anderson, Ashley A., **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. March, 2012. "Parole Toxique? Comment L'incivilite "En Ligne" Peut Miner Les Perceptions de la Credibilite des Medias." Texte Presente au Colloque International "Com. L'Information et la Communication dans Les Enjeux Contemporains de la "Mondailisation, Co-Organise par ICA, GERIICO et la SFSIC, Roubaix, France.
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- on Political Participation." Paper Presentation. The Annual Convention of the Association for Education in Journalism and Mass Communication, Chicago, IL.
- 8. Arora, Sanjay. May, 2012. "Website Indicators for the Strategic Management of Emerging Technologies." Poster Session. International Conference on Innovative Methods for Innovation Management and Policy, Beijing, China.
- 9. Arora, Sanjay, et al. February, 2012. "Commercialization of New and Emerging Technologies: A Cross Country Comparison of Graphene Firms." Poster Session. Georgia Tech Research and Innovation Conference, Atlanta, GA.
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- 12. **Askland, Andrew** and **James Elser**. October 15, 2010. "A Weak Link: Phosphorous Scarcity and Our Food Chain." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 13. **Barben, Daniel**. July 18, 2009. "Was ist "neu" an neuen Technologien? Die vergangene und gegenwaertige Zukunft der Biotechnologie in soziologischer Perspektive." Talk. Deutsches Museum, Neue Technologien im Spannungsfeld von Wissenschaft, Politik, Oeffentlichkeit und Wirtschaft, Munich, Germany.
- 14. **Barben, Daniel**. June 05, 2009. "Reflexive Governance toward Sustainable Development: Combining Deliberation, Anticipation, and Transformation." Talk. 1st European Conference on Sustainability Transitions: Dynamics and Governance of Transitions to Sustainability, Amsterdam, The Netherlands.
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- 16. **Barben, Daniel**. June 16, 2008. "Biotechnologieregime im Gesellschaftsvergleich. Zur Soziologie neuer Wissenschaft und Technik." Guest lecture. Institute for Science and Technology Studies, University of Bielefeld, Bielefeld, Germany.
- 17. **Barben, Daniel**. April 16, 2007. "Innovation Regimes and Institutional Reflexivity in Comparative Perspective." Talk. Swiss Federal Institute of Technology, EAWAG: Innovation, Institutions and Path Dependency: The Management of Variation and Diversity in Innovation Systems, Zurich, Switzerland.
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- of the European Association for the Study of Science Technology (EASST), University of Lausanne, Lausanne, Switzerland.
- 19. Barben, Daniel and **Frank Laird**. June, 2006. "Acceptance Politics of Contested Technologies: A Comparison between Nuclear Power, Biotechnology, and Nanotechnology." Annual Meeting of the Science and Democracy Network, Kennedy School of Government, Harvard University, Cambridge, MA.
- 20. **Barker, Anna** and Denise Meridith. May 17, 2013. "Healing in the Nano City: Designing Equity into Transformative Healthcare." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 21. Beaute, Stacie. November 21, 2013. "Citizen Science! Bridging the Gap: Meeting Challenges, Building Capacity." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 22. Benn, Troy M. November, 2008. "The Transport of Nanomaterials in Various Environments." Workshop on Nanotechnology, Equity and Equality. Center for Nanotechnology in Society at Arizona State University and Project Resultar at the Technology Policy and Assessment Center, Georgia Institute of Technology, Tempe, AZ.
- 23. Benn, Troy M., **Jameson Wetmore** and Ira Bennett. July, 2008. "Nanosilver from Socks into Wastewater." Experiment demonstration. Arizona Science Center, Triple Play Days, Phoenix, AZ.
- 24. **Bennett, Ira**. October 15, 2014. "Introduction to Publicly Funded Science." Invited Presentation. Appalacian State University, Boone, NC.
- 25. **Bennett, Ira**. September 27, 2014. "Careers in Science Policy." Invited Presentation. University of Florida, Gainesville, FL.
- 26. **Bennett, Ira**. November 15, 2014. "Science Outside the Lab: Teaching scientists how the government works and then to believe it might not be so bad." Invited Presentation. American Chemical Society Midwest Regional Meeting, University of Missouri, Columbia, MO.
- 27. **Bennett, Ira** and Kiera Reifshneider. April 10, 2014. "Nanotechnology Around the World." Invited Presentation. *Nanohub Users Meeting*, Phoenix, AZ.
- 28. **Bennett, Ira, Jameson Wetmore**, Brad Herring, Kevin Dilly and Douglas Coler. March 4, 2014. "Nano and Society Brownbag." Invited Presentation. *Nanoscale Informal Science Education Network Online Brownbag Series*.
- 29. **Bennett, Ira**. May 10, 2014. "An Unbelievable Roadmap." Invited Presentation. Unbelievable Biomed, Arizona Science Center, Phoenix, AZ.
- 30. **Bennett, Ira, Jameson Wetmore**, Stephanie Long, Rae Ostman, Brad Herring, Kevin Dilly and Heather Barnes. October 17, 2014. "Engaging Visitors in Nanotechnology and Society, Preconference workshop." Academic Presentation. Association of Science and Technology Centers, Durham, NC.

- 31. **Bennett, Ira**. March, 2010. "Visions for Future Innovation and Implications." Presentation. Atlanta Transatlantic Workshop on Nanotechnology Innovation and Policy. Georgia Tech, Atlanta, GA.
- 32. **Bennett, Ira**. February, 2010. "Lessons of Engagement: Learning from Policymakers and the Public." Presentation. Annual Meeting of the American Association for the Advancement of Science, San Diego, CA.
- 33. **Bennett, Ira**. March, 2009. "Anticipatory Governance of Emerging Nanotechnologies." American Chemical Society, Salt Lake City, UT.
- 34. **Bennett, Ira**. 2009. "Thinking Longer Term about Technologies: is there Value in Science Fiction-Inspired Appraoches to Constructing Futures." Presentation. Publics and Emerging Technologies, Banff, Canada.
- 35. Bennett, Ira. 2007. "Frozen in Time: A Tour of Alcor Life Extension Foundation." Tour. Spirit of the Senses, Scottsdale, AZ.
- 36. Bennett, Ira. 2007. "What if I Dont Want My Advisors Job: Careers Outside (gasp) the Academic Laboratory." Talk. Association of Women in Science Central Arizona Chapter, Tempe, AZ.
- 37. Bennett, Ira. 2006. "Emerging Technologies." Talk. Spirit of the Senses, Phoenix, AZ.
- 38. **Bennett, Ira** and **Jameson Wetmore**. December 18, 2012. "Exploring Nanotechnology around the World." Presentation. Books and Beakers, Yard Gnome Bookstore, Phoenix, AZ.
- 39. **Bennett, Ira** and **Jameson Wetmore**. September 12, 2011. "Science and Regulatory Challenges of Commercial Nanoparticles." Presentation. Science Cafe', Berkeley, CA.
- 40. Bennett, Ira and Tim Boyd. November 16, 2012. "Equity in the Nano City: How Can Nanotechnology Empower Equitable Water Distribution?" Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ
- 41. Bernstein, Michael J. and **Ira Bennett**. September 17-19, 2015 submitted. "Responsible Innovation and Science Policy: The Case for an Intervention Research Approach." Panel. Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 42. Bernstein, Michael J. September 17-19, 2015 submitted. "An Intervention Research Approach to Responsible Innovation. Presentation." Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 43. Bernstein Michael J. and **Rider W. Foley**. April 10-11, 2015 accepted. Intervention Research for Responsible Innovation: A Pragmatic Approach. Presentation. STGlobal Consortium. Washington, DC.
- 44. Bernstein Michael J., Kiera Reifschneider, **Ira Bennett**, **Jameson Wetmore**. February 12 -16, 2015. "Science Outside the Lab: Changing Perspectives on the Role of Science & Engineering in Society." Poster. American Association for the Advancement of Science (AAAS) Annual Meeting, San Jose, CA.

- 45. Bernstein, Michael J., **Rider W. Foley** and **Ira Bennett**. 2014. "Guidelines for Solutions to Sociotechnical Problems." Presentation. STGlobal Consortium. Washington, DC, April 4-5.
- 46. Binder, Andrew R., Michael A. Cacciatore, **Dietram A. Scheufele**, Bret R. Shaw and **Elizabeth A. Corley**. August, 2010. "Measuring Perceptions of Emerging Technologies: Errors in Survey Self-Reports and their Potential Impact on Communication of Public Opinion Toward Science." Presentation. Annual Convention of the Association for Education Journalism and Mass Communication, Denver, CO.
- 47. **Bowditch, Rachel**, Matt Watkins and **Karin D. Ellison**. October 16, 2009. "Bone Portraits: Scenes from a Play about the Invention of the X-Ray." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 48. **Brossard, Dominique E.**, Eunkyung Kim and **Dietram A. Scheufele**. May, 2007. "The Politics of Nanotech: Communication and Opinion Formation about Scientific Issues and Policies." Paper presentation. Annual convention of the International Communication Association, San Francisco, CA.
- 49. **Brune, Daniel C.** and **David Conz**. October 29, 2006. "Alternative Fuels: What We Can Do (and Cant Do) to Make Our Skies Blue Again." Public talk. CNS-ASU Science Cafe, Changing Hands Bookstore, Tempe, AZ.
- 50. Cacciator, Michael A., Dietram A. Scheufele, Dominique E. Brossard and Michael A. Xenos. May 2014. "Nanotechnology, Synthetic Biology, and Nuclear Power: Understanding the Social Media Discourse of Science Issues." Presentation. Annual conference of the International Communication Association. Seattle, WA.
- 51. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. 2013. "Explaining Attitudes toward Nanotechnology: The Interaction between Risk Perceptions and Regulatory Trust on Public Support." Presentation. Paper presented at the Annual Convention of the Society for the Study of Nanoscience and Emerging Technologies. Boston, MA.
- 52. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. December, 2012. "Communicating Risks about Science: Exploring the Interactive Effects of Cognitive Schema and Journalist News Frames on Public Risk Perceptions." Paper Presentation. The Annual Convention of the Society for Risk Analysis, San Francisco, CA.
- 53. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2011. "Institutional Trust, Risk Information Processing and Support for an Emerging Technology." Paper Presentation. Annual Convention of the Association for Education in Journalism & Mass Communication, St. Louis, MO.
- 54. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. January, 2011. "Reexamining Science Knowledge Acquisition: Exploring the Internet as a Leveler of Education-Based Nanotechnology Knowledge Gaps." Paper Presentation. All Hands Meeting for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 55. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2010. "A New (Methodological) Look at Science Knowledge Gaps: Merging Trend-Data to Examine Widening

- Nanotechnology Knowledge Gaps." Presentation. Annual Convention of the Association for Education in Journalism and Mass Communication, Denver, CO.
- 56. Cacciatore, Michael A., Dietram A. Scheufele and Elizabeth A. Corley. May, 2010. "The Emergence of Nanotechnology Knowledge Gaps: Differences in Knowledge across Education Levels and Media Exposure." Presentation. Annual Convention of the American Association for Public Opinion Research, Chicago, IL.
- 57. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. May, 2010. "From Enabling Technology to Applications: The Evolution of Risk Perceptions about Nanotechnology." Paper Presentation. National Science Foundation Site Visit for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 58. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. November, 2009. "In God we Trust? Exploring the Link between Religiosity and Risk Perceptions in Nanotechnology Attitude Formation." Presentation. Annual Convention of the Midwest Association for Public Opinion Research, Chicago, IL.
- 59. Cacciatore, Michael A., **Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2009. "It depends on what you have heard: Exploring the Link between Risk Perception and Attitudes across different Applications of Nanotechnology." Presentation. Annual Convention of the Association for Education in Journalism and Mass Communication, Boston, MA.
- 60. Cacciatore, Michael A., **Dietram A. Scheufele**, **Elizabeth A. Corley**, **Philip Shapira** and **Jan Youtie**. April, 2012. "Practicing what they preach? Comparing the Self-Reported Attitudes of Nanoscientists with their EHS Publication Records." Paper Presentation. 12th International Public Communication of Science and Technology Conference, Florence, Italy.
- 61. Cacciatore, Michael A., **Dietram A. Scheufele**, **Elizabeth A. Corley**, **Philip Shapira** and **Jan Youtie**. December, 2011. "Do Leading U.S. Nanoscientists Practice what they preach? Using Publication Records as a Predictor of Scientists' Attitutudes toward the Regulation and Communication of Nanoscience." Paper Presentation. Annual Convention of the Society for Risk Analysis, Charleston, SC.
- 62. Cacciatore, Michael A., **Dietram A. Scheufele**, Sarah K. Yeo, Michael A. Xenos, Doo-Hun Choi, **Dominique E. Brossard**, and **Elizabeth A. Corley**. June 2013. "Misperceptions in Polarized Politics: The Role of Knowledge, Religiosity and Media." Presentation. Annual Convention of the International Communication Association. London, United Kingdom.
- 63. Cacciatore, Michael A., Doo-Hun Choi, **Dietram A. Scheufele** and **Elizabeth A. Corley**. November, 2011. "Unpacking the Relationships between Religiosity, Deference to Scientific Authority and Support for Nanotechnology: A Structural Equation Modeling Approach." Paper Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 64. Cacciatore, Michael A., Doo-Hun Choi, **Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2011. "Support for Emerging Technologies: Disentagling the Predispositional, Affective and Cognitive Pathways." Paper Presentation. Annual Convention of the Association for Education in Journalism & Mass Communication, St. Louis, MO.

- 65. Cacciatore, Michael A., Doo-Hun Choi, **Dietram A. Scheufele** and **Elizabeth A. Corley**. Under review, "Religiosity, Deference to Scientific Authority and Support for Nanotechnology: A Structural Equation Modeling Approach." Paper submission. Annual meeting of the Association for Education in Journalism and Mass Communication, St. Louis, MO.
- 66. Cacciatore, Michael A., Sara K. Yeo, **Dominique E. Brossard**, **Dietram A. Scheufele**, Kristin K. Runge, Leona Yi-Fan Su, Elizabeth A. Corley. November, 2012. "Partisan Amplification of Risk: American Perceptions of Nuclear Energy Risk in the Wake of the Fukushima Daiichi Disaster." Paper Presentation. The Annual Convention of the Midwest Associate for Public Opinion, Chicago, IL.
- 67. Cacciatore, Michael A., Sara K. Yeo, **Dominique E. Brossard**, **Dietram A. Scheufele**, Kristin K. Runge, Leona Yi-Fan Su, Elizabeth A. Corley. 2013. "Partisan Amplification of Nuclear Energy Risk in the Wake of the Fukushima Daiichi Disaster." Paper Presentation. The Annual Conference of the Association for Education in Journalism and Mass Communication, Washington, DC.
- 68. Cacciatore, Michael A., Sarah K. Yeo, L. Y-F Su, Doo-Hun Choi, Michael A. Xenos, **Dietram A. Scheufele**, et al. 2012. "Is Facebook Making us Dumber? Exploring Social Media use as a Predictor of Political Knowledge." Paper Presentation. Annual Convention of the Association for Education in Journalism and Mass Communication, Chicago, IL.
- 69. Calleja, Antonio and **Erik Fisher**. 2009. "Dialogues from the Lab: Contemporary Maieutics for Socio-Technical Inquiry." Presentation. Converging Technologies, Changing Societies. Proceedings for Philosophy and Technology. University of Twente, the Netherlands.
- 70. Carley, Stephen. November 16, 2012. "Valuing Government Collaborator Inovolvement in University-Industry Partnerships." Doctoral Research on Nanotechnology Triple Helix C. Workshop on Original Policy Research, Georgia Institute of Technology School of Public Policy, Atlanta, GA.
- 71. Carley, Stephen. October 19, 2007. ""Nano Research Profiling on Demand" on nanotechnology datamining techniques and applications." Poster Presentation. Atlanta Conference on Science, Technology, and Innovation Policy, Atlanta, GA.
- 72. Carley, Stephen and **Alan L. Porter**. November 05, 2011. "A New Measure of Knowledge Diffusion." Session. Measuring Research Interdisciplinarity and Knowledge Diffusion, American Evaluation Association.
- 73. Carley, Stephen, **Alan L. Porter** and Li Tang. November, 2011. "Testing for Nano EHS Convergence at the State Level." Poster Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 74. **Carlson, Marilyn P.** April, 2006. "An Overview of a Project to Improve Mathematics and Science Education for a Technical Society: Cognitive Research Informs Curriculum Development and Instructional Support." Presentation. Materials Research Society Symposium on Education in Nanoscience and Engineering, San Francisco, CA.
- 75. Castillo, Rafael. September 26-28, 2013. "Impact of Nanotechnology- infused Industries on Employment and Wage Inequality in the United States." Presentation. Atlanta Conference on Science and Innovation Policy. School of Public Policy. Georgia Institute of Technology. Atlanta, GA.

- 76. Cavalier, Darlene. January 16, 2014. "Citizen Science! New Technologies, New Audiences." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 77. Choi, Doo-Hun, Anthony D. Dudo and **Dietram A. Scheufele**. November, 2011. "U.S. Newspaper Coverage of Neuroscience Nanotechnology." Paper Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 78. Choi, Doo-Hun, Anthony D. Dudo and **Dietram A. Scheufele**. January, 2011. "Food Nanotechnology in the News: Coverage Patterns and Thematic Emphases during the Last Decade." Paper Presentation. All Hands Meeting for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 79. Choi, Doo-Hun, Michael A. Cacciatore, **Dietram A. Scheufele** and **Elizabeth A. Corley**. November, 2011. "Nanotechnology and Talk: Differential Gains Model for an Emerging Technology." Paper Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 80. Choi, Doo-Hun, Michael A. Cacciatore, **Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2011. "Connecting Interpersonal Discussion and the Internet: How Interpersonal Discussion Moderates the Effect of the Internet on being informed about Nanotechnology." Paper Presentation. Annual Convention of the Association for Education in Journalism & Mass Communication, St. Louis, MO.
- 81. Choi, Doo-Hun, Michael A. Cacciatore, **Dominique E. Brossard** and **Michael A. Xenos**. May, 2012. "Disentangling Public Opinion of Nanotechnology: Exploring the Interactive Effects of News Media, Values, and Information Processing on Opinion Formation." Paper Presentation. Annual Convention of the American Association for Public Opinion Research, Orlando, FL.
- 82. Choi, Doo-Hun, Michael A. Cacciatore, **Michael A. Xenos**, **Dietram A. Scheufele** and **Dominique E. Brossard**. May, 2012. "The Digital Producation Gap: The Role of News Media Use, Information Processing, and Opinion Expression." Paper Presentation. Annual Conference of the International Communication Association, Phoenix, AZ.
- 83. Choi, Doo-Hun, Michael A. Cacciatore, **Michael A. Xenos**, **Dietram A. Scheufele**, **Dominique E. Brossard** and **Elizabeth A. Corley**. 2013, "How do Individuals Develop Attitude Extremity in the New Media Environment? The Interplay between the Internet, Schemas, and Information Seeking." Presentation. The Annual Conference for the Association for Education in Journalism and Mass Communication, Washington, DC.
- 84. Choi, Doo-Hun, Michael A. Cacciatore, Youngjae Kim, **Dietram A. Scheufele** and **Dominique E. Brossard**. May, 2013. "Issue Publics in Nanotechnology in the New Media Environment." Paper Presentation. The Annual Convention of the American Association for Public Opinion Research, Boston, MA.
- 85. **Cobb, Michael D.** March, 2009. "Public Engagement: National Citizens Technology Forum." Presentation. Nanotechnology and Public: Data for Decision Makers briefing to the U.S. Congressional Nanotechnology Caucus, Washington, DC.

- 86. **Cobb, Michael D.** January, 2009. "U.S. Public Opinion about Nanotechnologies used for Human Enhancements: Consensus Conferences, Deliberation and Framing Effects on Risk Perceptions." Communicating Emerging Technologies II: Risks and Uncertainties, University of Nevada, Las Vegas, NV.
- 87. **Cobb, Michael D.** and **Patrick Hamlett**. June 27, 2008. "The First National Citizens Technology Forum on Converging Technologies and Human Enhancement: Adapting the Danish Consensus Conference in the USA." Paper presentation. Tenth International Conference on Public Communication of Science and Technology (PCST-10), Malmo, Sweden.
- 88. Coleman, Grisha and Aaron Golub. October 19, 2012. "Moving in the Nano City: What Will the Impact Be." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 89. Conley, Shannon. April, 2009. "Nanotechnology Policy in Cambridge, Massachusetts: Local Reflexive Governance." Presentation. Midwest Political Science Association Conference, Chicago, IL.
- 90. Conley, Shannon. November, 2008. "Regulating Life: The Regulation of Assisted Reproduction in Canada and the UK." Center for the Study of Institutional Diversity Weekly Seminar Series, Arizona State University, Tempe, AZ.
- 91. **Conz, David**. October 12, 2007. "Reflexivity Assessment of STS Engagement of Nanotechnology." Presentation. Annual Meeting of the Society for Social Studies of Science, Montreal, Canada.
- 92. **Corley, Elizabeth A.** 2013. "The Science of Science Communication II: Creating Collaborations for Communication about Nanotechnology Regulation." Presentation. The National Academy of Sciences. Washington, DC.
- 93. **Corley, Elizabeth A.** April, 2011. "Soft Law Mechanisms for Nanotechnology Governance." Paper Presentation. Workshop on Soft Law Oversight Mechanisms for Nanotechnology, Scottsdale, AZ.
- 94. **Corley, Elizabeth A.** March, 2011. "Public Attitudes about Nanotechnology Regulation." Paper Presentation. Biggest Issues for the Smallest Stuff: Regulation and Risk Management of Nanotechnology, Phoenix, AZ.
- 95. **Corley, Elizabeth A.** March, 2010. "Public Attitudes about Nanotechnology." Paper Presentation. NNI Capstone Workshop: Risk Management Methods & Societal, Ethical, and Legal Implications of Nanotechnology, Washington, DC.
- 96. **Corley, Elizabeth A.** 2010. "Expert and Public Perceptions about Nanotechnology Risks, Benefits and Regulations." Paper Presentation. David Lincoln Lecture Series, Paradise Valley, AZ.
- 97. **Corley, Elizabeth A.** 2009. "Public and Nano-Scientist Perceptions about Nanotechnology. Workshop on Emerging Technologies, Military Operations and National Security." Presentation. Case Western University, Cleveland, OH.

- 98. **Corley, Elizabeth A.** 2009. "Eliciting Public Understanding of and Values toward Emerging Technologies through Opinion Polls." Presentation. Society for the Study of Nanoscience and Emerging Technologies, Seattle, WA.
- 99. **Corley, Elizabeth A.** July, 2008. "Societal Dimensions of Nanotechnology: An Exploration of Public and Scientist Perceptions." Invited presentation. Young Scientists Nanotechnology Workshop, French Embassy, Washington, DC.
- 100. **Corley, Elizabeth A.** April, 2008. "Scientists and the Public: Comparing Views on Nanotechnology Risks and Regulations." Talk. CSPO Enlightening Lunch, Arizona State University, Tempe, AZ.
- 101. Corley, Elizabeth A. 2008. "Scientist and the Public Risk Perceptions about Nanotechnology." Societal Implications of Nanotechnology 2008 Principal Investigators Meeting at National Science Foundation, Arlington, VA.
- 102. **Corley, Elizabeth A.** and Dietram A. Scheufele. February, 2008. "A Comparative Look at Markets, Media, and Emerging Attitudes about Nanotechnology." Presentation. American Association for the Advancement of Science (AAAS) Annual Meeting, Boston, MA.
- 103. **Corley, Elizabeth A.** and **Dietram A. Scheufele**. November, 2006. "Factors Impacting Public Support of Federal Funding for Nanotechnology." Presentation. 28th Annual Association for Public Policy Analysis and Management Research Conference, Madison, WI.
- 104. **Corley, Elizabeth A., Dietram A. Scheufele** and Qian Hu. November, 2008. "Exploring Public and Scientist Attitudes About the Risks and Regulation of Nanotechnology Research: What Does the Future Hold for Policy-Making?" Presentation. Annual convention of the Association for Policy Analysis and Management, Los Angeles, CA.
- 105. Corley, Elizabeth A., Dietram A. Scheufele, Sharon Dunwoody, Elliott D. Hillback, Tsung-Jen Shih and David H. Guston. October, 2007. "Nanotechnology Attitudes among Scientists and the Public." Presentation. Annual Meeting, Society for Social Studies of Science, Montreal, Canada.
- 106. **Corley, Elizabeth A.** and **Jan Youtie**. 2009. "Learning to Manage Multi-institutional Multidisciplinary Research Centers: A Case Study the LIFE Center." Paper Presentation. 10th Public Management Research Association Conference.
- 107. Cortes Lobos, Rodrigo. October, 2012. "Advocacy Groups Participation in the Public U.S. agrifood Nanotechnology Research Agenda." Presentation. Fourth Annual Conference of Society for the Study of Nanoscience and Emerging Technologies, Twente, the Netherlands.
- 108. Cortes Lobos, Rodrigo. March, 2012. "Can Nanotechnology research contribute to Sustainable Development of the US Agri-food sector?" Presentation. S&T Global PhD Conference, Washington, DC.
- 109. Cortes Lobos, Rodrigo. November, 2011. "The Chilean Nanotechnology Sector: Catching up or falling behind." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.

- 110. Cortes Lobos, Rodrigo. September, 2011. "Nanotechnology and the Millennium Development Goals: Energy, Water, and Agri-food." Presentation. Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 111. Cortes Lobos, Rodrigo. May, 2011. "Can Agri-food Nanotechnology contribute to achieve the Millennium Development Goals in Developing Countries?" Presentation. 7th International Globelics Academy, Tampere, Finland.
- 112. Cozzens, Susan. March, 2013. "Invited Lecture." Tshwane University of Technology, South Africa.
- 113. **Cozzens, Susan**. October, 2012. "Equity, Equality, and National Contexts: The U.S. and South Africa as Environments for Nanotechnologies." Presentation. Fourth Annual Conference of Society for the Study of Nanoscience and Emerging Technologies, Twente, the Netherlands.
- 114. **Cozzens, Susan**. April 03, 2012. "Keynote Lecture." NanoAfrica Conference, Bleoemfontein, South Africa.
- 115. **Cozzens, Susan**. April, 2012. "Environmental Health and Safety in Nanotechnology: A Critical Interface with the Public." Presentation. NanoAfrica 2012, University of Freestate, South Africa.
- 116. **Cozzens, Susan**. November, 2011. "Equity, Equality, and Development: A Framework for Analyzing Nanotechnology Potentials." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 117. **Cozzens, Susan**. July, 2011. "Equity, Equality, and Nanotechnology." Presentation. Tshwane University of Technology, Pretoria, South Africa.
- 118. **Cozzens, Susan**. January, 2011. "TRC 1 Equity and Responsibility Program Assessment." Presentation. Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 119. **Cozzens, Susan**. December 03, 2010. "Knowledge to Policy: Contributing to the Measurement of Social, Health, and Environmental Benefits." Presentation. Science Measurement Workshop presented by the Office of Science and Technology Policy, Washington, DC.
- 120. **Cozzens, Susan**. July, 2010. "Nanotechnology and Society." Presentation. REU students at GA Tech NNIN Node, Atlanta, GA.
- 121. **Cozzens, Susan**, Rodrigo Cortes Lobos, Diran Soumonni and Thomas Woodson. November, 2011. "Nanotechnology and the Millennium Development Goals: Energy, Water, and Agri-food." Presentation. Globelics, Argentina.
- 122. Cunningham, S. W. and **Alan L. Porter**. 2011. "Bibliometric Discovery of Innovation and Commercialization Pathways in Nanotechnology." Presentation. 2011 Proceedings of Technology Management for Emerging Technologies (PICMET). Portland, OR.
- 123. **Dalrymple, Kajsa E.**, Amy B. Becker, **Dominique E. Brossard, Dietram A. Scheufele** and Al C. Gunther. August, 2009. "Getting Citizens Involved: How Controversial Science Policy Debates

- Stimulates Issue Participation during a Political Campaign." Presentation. Annual Convention of the Association for Education in Journalism and Mass Communication, Boston, MA.
- 124. **Dalrymple, Kajsa E., Dietram A. Scheufele** and **Elizabeth A. Corley**. May, 2009. "Proximity to Experts? Rethinking Operationalizations of Cognitive Outcomes Based on Dual-source Measures." Paper presentation. International Communication Association (Mass Communication Division) Conference, Chicago, IL.
- 125. Davies, Sarah R. November, 2011. "Knowing and Loving: Pleasure in Public Engagement." Presentation. 4S Annual Meeting, Cleveland, OH.
- 126. Davies, Sarah R. September, 2011. "Invited Discussant." Inaugural Conference of the Belgian Science, Technology and Society (BSTS) Network, Brussels.
- 127. Davies, Sarah R. September, 2011. "Deliberating Futures: Pathways, Locales, and Imagery in the Imagination of Technoscientific Change." Paper Presentation. Governing Futures Conference, Vienna.
- 128. Davies, Sarah R. May 16, 2011. "NanoEthics: Responsibility, Risk, and Responsible Innovation." Presentation to Private Sector audience. Training Session, SESHA (ESH for High Technology) Annual Symposium, Scottsdale, AZ.
- 129. Davies, Sarah R. December, 2010. "Deliberation beyond Discourse: Experimenting with Science-Society Engagement." Presentation. CSPO Enlightening Lunch, Arizona State University, Tempe, AZ.
- 130. Davies, Sarah R. November, 2010. "Public Engagement: Genealogies and Reflections." Presentation. Practices of Anticipatory Governance Workshop, Arizona State University, Tempe, AZ.
- 131. Davies, Sarah R. September, 2010. ""Unethical for them": The Ethical as a Category in Public Talk." Presentation. Annual Meeting of the Society for the Study of Nanoscience and Emerging Technologies, Darmstadt, Germany.
- 132. Davies, Sarah R. April, 2010. "How we talk when we talk about Nano: Public Discussion of Future Technologies." Presentation. Center for Nanotechnology in Society, University of California Santa Barbara, Santa Barbara, CA.
- 133. Davies, Sarah R., **Cynthia Selin**, Gretchen Gano and **Angela Pereira**. May, 2011. "Finding Futures." Presentation. Science in a Digital Society, EC-JRC Workshop, Lisbon.
- 134. Davies, Sarah R. and **Denisa Kera**. February, 2012. "DIY Micro-Governance: Hackerspaces as Science Policy." Presentation. Inaugural Asia Pacific Science Policy Studies Research Conference, Wellington, New Zealand.
- 135. Davies, Sarah R. and **Noela Invernizzi**. November, 2011. "Nanotechnology and the Private Sector: Innovation, Governance, and Regulation." Panel Organizer. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.

- 136. de Ridder-Vignone, Kathryn. August 2014. "Images as Authoritative Knowledge in Public Engagement with Emerging Technologies." Presentation. Social Studies of Science. Buenos Aires.
- 137. de Ridder-Vignone, Kathryn. November 2013. "Four Design Principles of Public Engagement." Presentation. Sensing Change: Mapping the Climatic Imaginary Through Art, Science and History Workshop. Chemical Heritage Foundation. Philadelphia, PA.
- 138. de Ridder-Vignone, Kathryn. October 2013. "The Futurescape City Tours: Material Deliberation as Public Engagement." Presentation. Society for the Study of Nanoscience and Emerging Technologies (S.NET) Annual Conference. Boston, MA.
- 139. de Ridder-Vignone, Kathryn. October 2013. "The Futurescape City Tours: Material Deliberation as Public Engagement." Presentation. Society for the Social Studies of Science Annual Meeting. San Diego, CA.
- 140. de Ridder-Vignone, Kathryn. September 10, 2013. "How Material Deliberation Creates More Democratic Governance of Emerging Technologies." Presentation. Enlightening Lunch Series. Arizona State University. Tempe, AZ.
- 141. de Ridder-Vignone, Kathryn. August-September 2013. "Visual Methods Seminar: Observing and Visualizing Urban Culture by Jon Wagner, Richard Chalfen, Luc Pauwels, and John Grady." Presentation. University of Antwerp Summer School. Belgium.
- 142. de Ridder-Vignone, Kathryn. September 2013. "Design and the Appropriation of Place Experimenting with Photography as a Method." Presentation. Visual Methods Seminar: Observing and Visualizing Urban Culture. Belgium.
- 143. de Ridder-Vignone, Kathryn. July 2013. "Material Deliberation as Public Engagement in the Nanoscale Informal Science Education Network." Presentation. Science in Public 2013: Critical Perspectives on Making Science Public. Nottingham, United Kingdom.
- 144. de Ridder-Vignone, Kathryn, **Cynthia Selin** and Gretchen Gano. October 8, 2013. "Futurescape City Tours: Incorporating the Temporal, Sensual and Material in Public Engagement with Nanotechnology." Presentation. Science and Its Publics: Exploring Emergent Forms of Public Engagement, Newkirk Center: Promoting Scientific Knowledge in Society's Interest. University of California Irvine.
- 145. Dudo, Anthony D. May, 2010. "Project Overview: Nanotechnology in the News." Paper Presentation. National Science Foundation Site Visit for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 146. Dudo, Anthony D., Dominique E. Brossard, James Shanahan, Dietram A. Scheufele, Michael Morgan and Nancy Signorelli. August, 2009. "Science on Television in the 21st Century: Recent Trends in Portrayals and their Contributions to Public Attitudes toward Science." Presentation. Annual Conference of the Association for Education in Journalism and Mass Communication, Boston, MA.

- 147. Dudo, Anthony D., Doo-Hun Choi and **Dietram A. Scheufele**. January, 2011. "Food Nanotechnology in the News: Coverage Patterns and Thematic Emphases during the Last Decade." Paper Presentation. All Hands Meeting for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 148. Dudo, Anthony D., **Sharon Dunwoody** and **Dietram A. Scheufele**. August, 2009. "The Emergence of Nano News: Tracking Thematic Trends and Changes in Media Coverage of Nanotechnology." Presentation. Annual Convention of the Association for Education in Journalism & Mass Communication, Boston, MA.
- 149. Falls, Dee Dee and Adriene Jenik. January 18, 2013. "Learning in the Nano City." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 150. **Fernandez-Ribas, Andrea**. October 03, 2009. "Firms' Global Patent Strategies in an Emerging Technology." Paper presentation. Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 151. **Fernandez-Ribas, Andrea** and **Philip Shapira**. October, 2009. "The Globalization of Innovation in Nanotechnology: Some Empirical Evidence for US, Japanese, and European Firms." Presentation. 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 152. **Fernandez-Ribas, Andrea** and **Philip Shapira**. May, 2008. "Technological Diversity, Scientific Excellence and the Location of Inventive Activities Abroad: The Case of Nanotechnology." Presentation. National Bureau of Economic Research (NBER) Nanobank Conference, Boston, MA.
- 153. **Fichtner, Aaron**. 2007. "Preliminary Results: The Workforce Needs of Companies Using Nanotechnology in Arizona." Presentation. Nanotechnology 2007 Conference, San Jose, CA.
- 154. Finn, Edward and Arnim Wiek. September 12, 2012. "Envisioning the Nano City: How Will it Look." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 155. **Fisher, Erik**. March 20-21, 2015. "Reflections on Responsible Innovation, Training, and Institutional Capacity Building." Invited Presentation. Can Innovators Be Made? A Dialogue on the Past, Present, and Future of Innovation Expertise, Washington, DC.
- 156. **Fisher, Erik**. May 29, 2014. "How to Talk to Scientists about Ethics." Invited Presentation. Workshop on Ethics and Society, French National Research Agency, Paris, France.
- 157. **Fisher, Erik**. May 28, 2014. "Socio-Technical Communication for Integration." Invited Presentation. Technical University of Delft, The Netherlands.
- 158. **Fisher, Erik**. April 2-4, 2014. "Responsible Innovation: Integrating Care and Creativity." Invited Presentation. Association for Managers of Innovation, Spring 2014 Conference, San Diego, CA.
- 159. **Fisher, Erik**. June 9, 2014. "STIR Overview." Academic Presentation. Communities of Integration 2nd Annual Meeting, University of Waterloo, Kitchener, Ontario, Canada.
- 160. **Fisher, Erik** and Michael O'Rourke. June 9, 2014. "Mapping Socio-Technical Integration." Academic Presentation. University of Waterloo, Kitchener, Ontario, Canada.

- 161. **Fisher, Erik**. May 23, 2014. "Modulating the Laboratory: Integrating Care and Creativity." Academic Presentation. Department of Science, Technology, Engineering and Public Policy, University College, London.
- 162. **Fisher, Erik**. February 15, 2014. "Responsible Collaborations in Interdisciplinary Research." Presentation. American Association for the Advancement of Science. Chicago, IL.
- 163. **Fisher, Erik**. February 11, 2014. "Invited Testimony." Presentation. The Presidential Commission for the Study of Bioethical Issues. Sixteenth meeting. Washington, DC.
- 164. **Fisher, Erik**. December 16, 2013. "Socio-Technical Collaboration in Science: Building Capacities for Responsible Innovation." Presentation. International Symposium for Responsible Research and Innovation. Osaka University. Japan.
- 165. **Fisher, Erik**. June 24-27, 2013. "Probing Capacities for Socio-Technical Integration." Presentation. Communities of Integration Track. 4th Annual International Science for Team Science Conference. Northwestern University. Evanston, IL.
- 166. **Fisher, Erik**. September, 2012. "Exploring the Possibility, Utility, and Meaning of Lab-based Socio-Technical Collaborations." Preentation. Science of Science and Innovation Policy Conference 2012. The National Academy of the Sciences, Washington, DC.
- 167. Fisher, Erik. June, 2012. "Broader Societal Implications: Long-Term Scenarios, Challenges for Humankind." Presentation. NBIC2: International Study on Converging Technologies for Societal Benefit. The National Science Foundation.
- 168. Fisher, Erik. April 26, 2012. "Self-Critical Public Science: How to Integrate Creativity and Responsibility." Presentation. New Tools for Science Policy Seminar. ASU Washington Center, Washington, DC.
- 169. **Fisher, Erik**. March 22, 2012. "The Code of Conduct for Responsible Nanosciences and Nanotechnologies Research as a Platform for Deliberation." Presentation. Soft Law Oversight Mechanisms for Nanotechnology. Skysong, Arizona State University.
- 170. **Fisher, Erik**. November, 2011. "Stirring the Governance Capacities of Experts-in-the-Making." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 171. Fisher, Erik. November, 2011. "Lost in the NanoWorld: 10 years of Emergence." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 172. **Fisher, Erik**. June 17, 2011. "Future Regimes of Science, Politics and Convergence Work." Presentation. The Future of Science and Society: A Symposium in Honor of Arie Rip, University of Twente.

- 173. **Fisher, Erik**. May, 2011. "Responsible Innovation R&D: the US Experience." Presentation. Franco-British Workshop on Responsible Innovation: From Concepts to Practice. Residence of the French Ambassador, London.
- 174. **Fisher, Erik**. May, 2011. "STIR Spin-offs: Beyond the Laboratory Engagement Study." Presentation. Institute for Innovation and Governance Studies. University of Twente.
- 175. **Fisher, Erik**. February 16, 2011. "Workshop Public Agenda: International Network for Responsible Innovation." Workshop organizer and principal investigator. STIR Project Workshop 4, Washington, DC.
- 176. **Fisher, Erik**. February, 2011. "STIR Project Overview." Presentation. International Network for Responsible Innovation. STIR Project Workshop. Woodrow Wilson International Center for Scholars, Washington, DC.
- 177. **Fisher, Erik**. December 03, 2010. "Public Value Integration in Science and Innovation Policy Processes." Presentation. Science Measurement Workshop presented by the Office of Science and Technology Policy, Washington, DC.
- 178. **Fisher, Erik**. October 28, 2010. "Science, Democracy and the Reinvention of the Liberal Arts." Presentation. Lowdenslager Annual Lecture. Western State College, Gunnison, CO.
- 179. **Fisher, Erik**. October, 2010. "Midstream Modulation and Socio-Technical Integration Research." Presentation. Ethics on the Work Floor: Interdisciplinary Research and Responsible Innovation workshop. Technical University of Delft, Delft, Netherlands.
- 180. **Fisher, Erik**. October, 2010. "Socio-Technical Integration Research." Presentation. NSF Science of Science and Innovation Policy Workshop: Building a Community of Practice II. American Association for the Advancement of Science, Washington, DC.
- 181. **Fisher, Erik**. August, 2010. "Integration Outcomes." Presentation. Integration Study Comparisons. STIR Project Workshop. University of Tokyo, Tokyo, Japan.
- 182. **Fisher, Erik**. June 09, 2010. "Lab-level Socio-technical Integration." Presentation. Genome British Columbia, GSEAC Retreat, Vancouver, Canada.
- 183. **Fisher, Erik**. June 02, 2010. "Midstream Modulation of Emerging Technology: Probing the Capacity of Research Decisions." Presentation. Research Council of Norway, Oslo, Norway.
- 184. **Fisher, Erik**. April, 2010. "The Political Ethnography of Lab-Level Bureaucrats: Probing the Capacity of Research Decisions." Presentation. Midwest Political Science Association 68th Annual National Conference, Chicago, IL.
- 185. **Fisher, Erik**. February 27, 2010. "What is Midstream Modulation?" Presentation. Reflexive Systems Biology Kick-Off Meeting. University of Bergen, Bergen, Norway.
- 186. **Fisher, Erik**. February 26, 2010. "TA-Trends in the U.S.." Keynote Lecture. TA Workshop: Keeping Pace with T.A. Instituut Samenleving and Technologie. Flemish Parliament, Brussels, Belgium.

- 187. **Fisher, Erik**. September 08, 2009. "Integration and Reflexivity: Integrating Social Science and Humanisitic Work with Laboratory Research in Emerging Science and Technology." Presentation. S.NET Pre-Conference Workshop: Real-time Technology Assessment and Anticipatory Governance. University of Washington.
- 188. **Fisher, Erik**. July, 2009. "Inquiry as Intervention." STIR Workshop 2: Inquiry as Intervention, Vatnahalsen, Norway. July 4-7, 2009.
- 189. **Fisher, Erik**. June, 2009. "Laboratory Engagement, STIR: Initial Project Results." Presentation. TA NanoNed Annual Meeting, Utrecht, the Netherlands.
- 190. **Fisher, Erik**. June, 2009. "The Two Cultures in Science Policy." Presentation. Center for Science and Technology Policy Research. University of Colorado at Boulder, Boulder, CO.
- 191. **Fisher, Erik**. June, 2009. "Science and Society in the Laboratory? Reflections of an Embedded Humanist." Presentation. Colorado Fuel Cell Center. Colorado School of Mines, Golden, CO.
- 192. **Fisher, Erik**. June, 2009. "Integrating Science and Society in Nanotechnology Laboratories." Presentation. The Nano Renewable Energy Summit, Denver, CO.
- 193. **Fisher, Erik**. June, 2009. "Integrating Ethics and Engineering in the Laboratory: Reflections of an Embedded Humanist." Presentation. Graduate Interdisciplinary Liberal Engineering Ethics Workshop on Integrating Ethics and Societal Issues into a Graduate Curriculum. Virginia Tech, Blacksburg, VA.
- 194. **Fisher, Erik**. May 18, 2009. "Inquiry and Nanotechnology." Presentation. Human Practices Workshop. University of California at Berkeley, Berkeley, CA.
- 195. **Fisher, Erik**. May, 2009. "The "Two Cultures" in Science Policy Today." Presentation. University of Colorado-Denver, School of Public Affairs, Denver, CO.
- 196. **Fisher, Erik**. March, 2009. "Socio-Technical Integration Research." Presentation. Research Funding and the Good Life, University of Twente, the Netherlands.
- 197. **Fisher, Erik**. January, 2009. "STIR Project Overview." STIR Workshop 1: Constructing Foundations. Arizona State University, Tempe, AZ.
- 198. **Fisher, Erik**. November, 2008. "Deliberation on the Implementation of a Code of Conduct and fostering International Dialogue and Collaboration." Expert participant. European Commission, Brussels, Belgium.
- 199. **Fisher, Erik**. November, 2008. "Nanotechnology: Environment, Health and Safety." Presentation. Environmental Professionals of Arizona / Academy of Certified Hazardous Materials Managers, Tempe, AZ.
- 200. **Fisher, Erik**. October, 2008. "Laboratory Engagements: Risky Discourse and Research Decisions." Presentation. Networks, Risk and Knowledge Sharing, University of Massachusetts, Amherst, MA.

- 201. **Fisher, Erik**. July, 2008. "Collaborations for Financial Success: Universities Collaborating with Government and the Private Sector." Panelist. The Nano Renewable Energy Summit, Denver, CO.
- 202. **Fisher, Erik**. July, 2008. "Midstream Modulation: Embedding the Humanities in Engineering Practice and Education." Presentation. Kluyver Colloquium, Delft Technical University, Delft, the Netherlands.
- 203. **Fisher, Erik**. April, 2008. "Embedded Humanists." Presentation. Engineering in Context, Colorado School of Mines, Golden, CO.
- 204. **Fisher, Erik**. March, 2008. "Midstream Modulation and the Politics of Engagement." Presentation. STS in Action, Claremont, CA.
- 205. **Fisher, Erik**. December, 2007. "Inventing the Socially Conscious Laboratory." Presentation. Consortium for Science, Policy & Outcomes, Arizona State University, Tempe, AZ.
- 206. **Fisher, Erik**. September, 2007. "Integrating Social Considerations into Nanotechnology Research." Presentation. 1st Rocky Mountain Nanotechnology Showcase, Denver, CO.
- 207. **Fisher, Erik**. August, 2007. "Broader Impacts and the Embedded Humanist." Presentation. Making Sense of the Broader Impacts of Science and Technology, Golden, CO.
- 208. **Fisher, Erik**. July, 2007. "Integrating Societal Considerations and Nanotechnology in the Four Corners Region." Presentation. Colorado Nanotechnology Alliance, Denver, CO.
- 209. **Fisher, Erik**. June 27, 2007. "Integrating Science and Society in the Laboratory." Presentation. Center for Integrated Nanotechnologies, Los Alamos National Laboratory, Los Alamos, NM.
- 210. **Fisher, Erik**. June, 2007. "Drilling Down on U.S. Ethics Policy for Nanotechnology." Presentation. Center for Interdisciplinary Research (ZiF), Bielefeld University, Bielefeld, Germany.
- 211. **Fisher, Erik**. June, 2007. "Socio-technical Integration and the Nanotechnology Laboratory." Presentation. Visions about Nanoscience and Technology Workshop, Leuven, Belgium.
- 212. **Fisher, Erik**. June, 2007. "Investigating the Implementation of U.S. Ethics Policy for Nanotechnology." Presentation. Institute for Technology Assessment and Systems Analysis, Forschungszentrum Karlsruhe in der Helmholtz-Gemeinschaft, Karlsruhe, Germany.
- 213. **Fisher, Erik**. June, 2007. "Engaging the Reflexive Capacity of Nanotechnology Researchers." Presentation. Nanotechnology, Ethics & Sustainability; NANOMAT Conference, Bergen, Norway.
- 214. **Fisher, Erik**. June, 2007. "Socio-technical Integration at Macro and Micro Levels." Presentation. Rathenau Institute, Den Haag, The Netherlands.
- 215. **Fisher, Erik**. January, 2007. "Social and Policy Issues in Nanotechnology." Presentation. 5th CINT Users Workshop, Center for Integrated Nanotechnologies, Albuquerque, NM.

- 216. **Fisher, Erik**. November 20, 2006. "Current Societal Considerations in Nanotechnology." Presentation. Center for Integrated Nanotechnologies, Los Alamos National Laboratory, Los Alamos, NM.
- 217. **Fisher, Erik**. November, 2006. "Reflecting on the Shape of Nanotechnology Research from Within." Presentation. 4S Conference (Society for Social Studies of Science), Vancouver, Canada.
- 218. **Fisher, Erik**. September, 2006. "Socratic Engagement of Nanotechnology: A Case Study in Ethics Policy." Presentation. University of North Texas, Department of Philosophy and Religion Studies, Denton, TX.
- 219. Fisher, Erik. August, 2006. "From Upstream Engagement to Midstream Modulation: Shaping Technology from Within." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 220. Fisher, Erik. July, 2006. "Midstream Modulation: U.S. Federal Nanotechnology Policy Implementation." Presentation. TA NanoNed Day, Utrecht University, the Netherlands.
- 221. Fisher, Erik. May, 2006. "Midstream Modulation of Technological Trajectories." Trading Zones and Interactional Expertise Workshop, Arizona State University, Tempe, AZ.
- 222. **Fisher, Erik** and Antonio Calleja. October, 2009. "Reflexive Modulation of Laboratory Practices for the Governance of Science and Technology." Presentation. Society for the Social Studies of Science Annual Meeting, Washington, DC.
- 223. **Fisher, Erik, Daan Schuurbiers** and **Harro Van Lente**. June, 2011. "A Whole New Set of Lab Responsibilities? Responsible Innovation and its Consequences for Research Practices." Presentation. Risky Entanglements? Contemporary Research Cultures Imagined and Practiced, Vienna, Austria.
- 224. **Fisher, Erik** and **David H. Guston**. July, 2011. "Integration of Social Science and Humanities Scholars with Natural Scientists." Presentation. Anticipatory Governance of Emerging Technologies: Foresight, Engagement and Integration. Euroscience Open Forum, Turino, Italy.
- 225. **Fisher, Erik** and **David H. Guston**. June, 2010. "Changing Practices: An Engagement of Expert Epistemologies in the Making." Presentation. Ninth Annual Meeting of the Science and Democracy Network. Kavli Royal Society International Centre, Chicheley Hall, United Kingdom.
- 226. **Fisher, Erik** and Derrick Anderson. December 04, 2009. "From Lab to Legislature: Public Value Mapping of Nanotechnology Science and Innovation Policy Making." Presentation. Dupont Summit on Science and Technology Policy, "The New Administrations Challenges on Science and Technology: Staying the Course in Times of Crisis." Policy Studies Organization, Carnegie I, Washington, DC.
- 227. **Fisher, Erik**, Derrick Anderson and David Renolds. August, 2008. "Mapping and Modulating the Public Value of Academic Research." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.

- 228. **Fisher, Erik** and Eric Kennedy. September 8-11, 2013. "Communities of Practice: Sociotechnical Integration (CoPSI)." Digital Poster. 1st Global Conference on Research Integration and Implementation. Australia National University. Canberra, Australia.
- 229. **Fisher, Erik** and Francois Thoreau. September, 2010. "On Reflection and Reflexiveness: Positioning the Self, Enframing the Other." Presentation. Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Darmstadt, Germany.
- 230. **Fisher, Erik** and Hannot Rodriguez. August, 2010. "Socio-technical Integration in European Framework Programmes." Poster Presentation. Gordon Research Conference on Science and Technology Policy, Waterville Valley, NH.
- 231. **Fisher, Erik** and Hannot Rodriguez. April, 2010. "Tracking the Pervasiveness of Socio-Technical Integration in the European Research and Development Framework Programmes." Presentation. Science and Governance: Global and Comparative Perspectives. Arizona State University, Tempe, AZ.
- 232. **Fisher, Erik** and **Roop L. Mahajan**. November, 2006. "Midstream Modulation." Presentation. International Mechanical Engineering Conference, Chicago, IL.
- 233. **Fisher, Erik** and Shannon Conley. November, 2011. "Socio-Technical Integration: Collaborating with Geneticists in Patient Engagement." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 234. **Fisher, Erik**, Shannon Conley and Cameron Keys. September 8-11, 2013. "Socio-Technical Integration Research: Around the world in 30 labs." Presentation. Digital Poster. 1st Global Conference on Research Integration and Implementation. Australia National University. Canberra, Australia.
- 235. **Fisher, Erik** and Topi Heikkero. May, 2011. "Public Deliberation in the Education of Science: Contemporary Practices and Classical Ideals." Presentation. Annual Meeting of the Society for Philosophy and Technology. University of North Texas.
- 236. **Foley, Rider W.** August 18-19, 2015 accepted. "Accounting for community knowledge in environmental policy at the Motorola 52nd Street Superfund Site." The Knowledge from the Margins: Social Justice and Sustainability Conference. East Lansing, Michigan.
- 237. **Foley, Rider W**., Darren Petrucci. February 28, 2014. "New Tools for Science Policy Design thinking, sustainability and the future city." Consortium for Science, Policy & Outcomes, Washington, DC. http://www.cspo.org/dc/tools/022814
- 238. **Foley, Rider W.** and Lauren Withycombe-Keeler. January 20-22, 2015. "Cities, innovation, sustainability, and the future of health." Presentation. Assembling Cities: STS Concepts and Methodologies in Planning Studies. Zurich, Switzerland.
- 239. **Foley, Rider W.** and Richard Rushforth. November 4-6, 2014. "Can nZVI Decontaminate Water in a Socially Contested Context? Evaluating EPA Community Involvement Processes for Technology Adoption." 3rd Sustainable Nanotechnology Organization (SNO) Conference. Boston, MA.

- 240. **Foley, Rider W.** October 29, 2014. "How can interactions between scientists and social scientists, upstream in the research process, help create an ethos of reflexivity?" Trading Zone on Responsible Research and Innovation in Synthetic Biology. European Commission Workshop, Wilson Center.
- 241. **Foley, Rider W.**, Colette Bos, Michael J. Bernstein and Lauren Keeler-Withycombe. September 21-24, 2014. "Intersections and divergences: Sustainability, Responsible Innovation and Intervention Research". 6th Annual Conference for the Society for the Studies of Nanoscience and Emerging Technologies (S.NET), Karlshrue, GER.
- 242. **Foley, Rider W.** and Michael J. Bernstein. September 17-19, 2014. "Normative principals to guide the process of responsible innovation." Presentation. European Association for the Study of Science and Technology. Turin, PL.
- 243. **Foley, Rider W.** November 18, 2013. "Scenarios: A Means to Understand Future Implications of Science and Technology." Presentation. Invited Talk at BioScience High School. Phoenix, AZ.
- 244. **Foley, Rider W**. November, 2012. "Guilding Innovation Sustainably: Applying Principles of Sustainaility and Anticipatory Governance." Paper Presentation. 1st Sustainable Nanotechnology Organization Conference (SNO), Washington, DC.
- 245. Foley, Rider W. and **Arnim Wiek**. October, 2012. "Nanotechnology Innovation: Governance by Urban Actors." Paper Presentation. 4th Annual Conference for the Society for the Studies of Nanoscience and Emerging Technologies (S.NET), Enschede, the Netherlands.
- 246. Foley, Rider W. and **Arnim Wiek**. November, 2011. "Reconciling Urban Sustainability Syndromes and Urban Nanoscape." Poster Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 247. Foley, Rider W., **Arnim Wiek** and **David H. Guston**. June 2013. "Integrating Nanotechnology into Comprehensive Interventions to Global Challenges." Presentation. Gordon Research Conference: Environmental Nanotechnology. Stowe, VT.
- 248. Foley, Rider W., **Arnim Wiek** and **David H. Guston**. February 2013. "Risk versus Reward: Comparing Cultures of Innovation." Presentation. Annual Meeting of the American Association for the Advancement of Science (AAAS). Boston, MA.
- 249. Foley, Rider W., Braden Kay, Richard Rushforth and **Arnim Wiek**. May, 2012. "Can Nanotechnology Decontaminate Water in a Morally Contested Contex?" Presentation. International Symposium on Sustainable Systems and Technology, Boston, MA.
- 250. Foley, Rider W., C. Kuzdas, B. Warner, Lauren Withycombe Keeler, D, Iwaniec and **Arnim Wiek**. February, 2012. "Designing Sustainable Governance: Cross-Domain Comparison and Evaluation." Poster Presentation. 3rd Annual International Conference on Sustainability Science, Tempe, AZ.
- 251. Foley, Rider W., **Darren Petrucci** and **Renata Hejduk**. October 2013. "Scenarios of the Nanoenhanced City." Presentation. 5th Annual Conference for the Society for the Studies of Nanoscience and Emerging Technologies (S.NET). Boston, MA.

- 252. Foley, Rider W., **Ira Bennett**, **Jameson Wetmore**, **David H. Guston** and **Arnim Wiek**. October, 2012. "Applied Nanoethics: Who is Reponsible for what." Paper Presentation. 4th Annual Conference for the Society for the Studies of Nanoscience and Emerging Technologies (S.NET), Enschede, the Netherlands.
- 253. Foley, Rider W., Michael J. Bernstein and Youngjae Kim. May 2013. "Ground Control: Linking Topdown and Bottom-up Approaches for International Nanotechnology Governance." Presentation. First Annual Conference on Governance of Emerging Technologies. Chandler, AZ.
- 254. Foley, Rider W., Thomasz Kalinowski and Richard Rushforth. December, 2012. "Rethinking Participatory Technology Assessment: Integrating Diverse Perspectives from the Community, Engineering, and Sustainability." Paper Presentation. Dupont Summit on Science, Technology, and Environmental Policy, Carnegie Institute for Science, Washington, DC.
- 255. Gallo, Jason. October 19, 2007. "The National Science Foundation and the Creation of a Standing Army for Science." Paper presentation. Annual Meeting of the Society for the History of Technology, Washington, DC.
- 256. Gallo, Jason. April, 2007. "The National Science Foundation and the Control of Information." Department of Life Sciences Communication colloquium series, University of Wisconsin, Madison, WI.
- 257. Gano, Gretchen. August 2014. "Participatory Technology Assessment (pTA) as Technological Wayfinding." Presentation. New Designs for Engagement: Theories and Practices of Material Deliberation Panel. Society for the Social Studies of Science/ECOSITE Annual Meeting.
- 258. Gano, Gretchen. 2011. "Local Deliberation and Imagined Transition Epistemologies." Presentation. Annual Meeting of the Society for the Social Studies of Science, Cleveland, OH.
- 259. Gano, Gretchen. 2011. "Finding Futures." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 260. Gano, Gretchen. 2011. "Empowerment and Social Learning: Long Term Benefits of Citizen Deliberation about Nanotechnologies for Human Enhancement." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 261. Gano, Gretchen. 2011. "What we've learned about Nano and Society a Working Session on Data Sharing for NSF NSECs." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 262. Gano, Gretchen. 2011. "Emergent Technology Assessment: the Transition Initiative and Energy Futures." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 263. Gano, Gretchen. 2011. "Exploring the Uncertain Technological Future: Lessons in Anticipatory Governance." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.

- 264. Gano, Gretchen and Krista Harper. April 2014. "Futurescape Springfield." Presentation. Museums à la Carte Lecture. Springfield Museums.
- 265. Gao, L., **Alan L. Porter**, Tingting Ma, Wenping Wang, Stephen Carley and X. Zhang. 2011. "Measuring the Interdisciplinarity of Nano-Biosensor Research based on Citation Analysis." Presentation. Atlanta Conference on Science and Innovation Policy 2011, Atlanta, GA.
- 266. Garay, Manuel and **Erik Fisher**. August, 2008. "NSECs and the Integration of Societal Concerns into R&D." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 267. **Garcia, Antonio** and **Joan McGregor**. October 17, 2008. "Will Genetic Discrimination Replace Racial Discrimination?" Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 268. Glerup, Cecilie. October 20, 2012. "Managing Demands for Social Engagement." Presentation. Panel Presentation on "Displacing the Laboratory and STS with It. New Modes of Engagement-Naural Scientists and the Lab. 4S/EASST Conference, Copenhagen, Denmark.
- 269. Glerup, Cecilie. 2012. "Scientific Social Responsibility as a Mode of Ordering." Presentation. Arizona State University, Tempe, AZ.
- 270. **Goodnick, Stephen** and **Tim Lant**. November 20, 2009. "Good to the Last Drop? The Water-Energy Connection." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 271. **Gordon, Claire** and Ira Bennett. February 16, 2007. "Why Things (Still) Don't Fit: Human Variation and Ergonomics in the 21st Century." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 272. Guo, Ying, **Alan L. Porter** and Lu Huang. May, 2011. "Empirically Informing a Technology Delivery System Model for an Emerging Technology: Illustrated for Dye-Sensitized Solar Cells." Presentation. 4th International Seville Conference on "Future-Oriented Technology Analysis".
- 273. Guo, Ying, **Alan L. Porter** and Lu Huang. October, 2009. "Comparing and Probing National Research Strategies for Nanotechnology Thin-film Solar Cells." Presentation. 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 274. Guo, Ying, **Alan L. Porter** and Lu Huang. April 09, 2009. "Nano-enhanced Thin-film Solar Cells: Global Activity and Forecast." Paper presentation. IAMOT 2009, 18th International Conference on Management of Technology, Management of Green Technology, International Association for Management of Technology, Orlando, FL.
- 275. Guo, Ying, Lu Huang and **Alan L. Porter**. October, 2009. "Profiling Research Patterns for a New and Emerging Science and Technology: Dye-sensitized Solar Cells." Presentation. 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 276. Guo, Ying, Lu Huang, L. Zhang, D. Zhu and Alan L. Porter. 2011. "Up-to-down Science & Technology Planning: a New Approach Based on Patent Data and Technology Roadmapping." Presentation. Global TechMining Conference, Atlanta, GA.

- 277. Guo, Ying, Tingting Ma, **Alan L. Porter** and Ismael Rafols. October, 2011. "A Comparative Analysis of Asia-Pacific Research Thrusts vs. Euro-North American for DSSC by Employing Tech Mining Approach." Presentation. The 6th Aceanian Conference on Dye-sensitized and Organic Solar Cells, Beppu, Japan.
- 278. Guo, Ying, Tingting Ma, **Alan L. Porter**, Jose M. Vicente Gomila and Chen Xu. October, 2011. "Technology Opportunities Analysis for DSSCs using Text Mining and Semantic-TRIZ." Presentation. The 6th Acenian Conference on Dye-sensitized and Organic Solar Cells, Beppu, Japan.
- 279. Guston, David H. September, 2014. "The Case for Responsible Innovation." Schlinger Symposium Plenary Address, Innovation Day, Chemical Heritage Foundation, Philadelphia, PA.
- 280. Guston, David H. September, 2014. "From Frankenstein to Synthetic Biology: Responsible Innovation and the Insufficiency of 'Cool'." Presentation. Drexel University Alumni Association, Mutter Museum, Philadelphia, PA.
- 281. Guston, David H. May 21-23, 2014. "Building the Capacity for Public Engagement with Science in the US." Presentation. Science-Policy Interface Meeting, University of Waterloo, Waterloo, Ontario, Canada.
- 282. Guston, David H. July, 2014. "Understanding Anticipatory Governance." Presentation. Science Policy Research Unit, University of Sussex, Brighton, UK.
- 283. Guston, David H. July, 2014. "Understanding Anticipatory Governance." Presentation. Science, Technology, Engineering and Public Policy Department, University College, London, London, UK.
- 284. Guston, David H. November 21, 2014. "Understanding Anticipatory Governance." Presentation. National Academy of Sciences Board on Life Sciences, Tempe, AZ.
- 285. **Guston, David H.** May 2013. "Responsible Innovation." Presentation. Video presentation at Society of Environmental Toxicology and Chemistry annual meeting. Glasgow, United Kingdom.
- 286. **Guston, David H.** May 2013. "Rethinking Responsibility in Innovation." Presentation. The Brookings Institution. Washington, DC.
- 287. **Guston, David H.** March, 2013. "The Role of Real-Time Technology Assessment in STI Processes." Keynote Address. Second Annual International Symposium on Science, Technology and Innovation Governance, University of Tokyo, Tokyo, Japan.
- 288. **Guston, David H.** October, 2012. "Anticipatory Governance as a Form of Making Science Public." Presentation. Annual Meeting of the Society for Social Studies of Science, Copenhagen, Denmark.
- 289. **Guston, David H.** October, 2012. "Back to the Future: Why Should We Promote Oublic Engagement with Science?" Presentation. Annual Meeting of the Society for Social Studies of Science, Copenhagen, Denmark.

- 290. **Guston, David H.** May 11, 2012. "The Pumpkin or the Tiger?: Frederick Soddy, Michael Polanyi and the Anticipatory Governance of Emerging Technologies." Presentation. Come and Tell About the Future Seminar.
- 291. **Guston, David H.** March 06, 2012. "The Pumpkin or the Tiger? Polanyi, Soddy and the Anticipation of Emerging Technologies." Presentation. Workshop on Pacing Governance with Technology, Scottsdale, AZ.
- 292. **Guston, David H.** March 01, 2012. "EMERGE: From Technology to Democracy." Presentation. Emerge: Artists + Scientists Redesign the Future, Tempe, AZ.
- 293. **Guston, David H.** February 14, 2012. "Nanotechnology and Anticipation." Talk. UW Bothell Innovation Forum, with other panelists speaking on Innovation Squared: Why innovations in technology require innovations in ethics, Bothell, WA.
- 294. **Guston, David H.** February 13, 2012. "Anticipatory Governance of Emerging Technologies." Talk. Biological Futures in a Globalized World colloquium series at University of Washington, Seattle, WA.
- 295. **Guston, David H.** December, 2011. "Innovation and Advances in Governance of Nanotechnology: New Research in Anticipatory Governance of Nanotechnology." Presentation. National Science Foundation 2011 NSF NSE Grantees Meeting, Arlington, VA.
- 296. **Guston, David H.** November 03, 2011. "The Pumpkin or the Tiger? Or, Michael Polanyi, Frederick Soddy and the Anticipatory Governance of Emerging Technoscience." Presentation. Society for the Study of Science (4S) Annual Conference, Cleveland, OH. Guston,
- 297. **Guston, David H.** June, 2011. "Shaping Science and Nanotechnology Future." Presentation. 2011 "Environmental Nanotechnology" Gordon Research Conference, Waterville Valley, NH.
- 298. **Guston, David H.** May 22, 2011. "The Role of Nanotechnologies in our Future." Presentation. Humanist Society of Greater Phoenix.
- 299. **Guston, David H.** April 04, 2011. "Nano and the City: Anticipatory Governance and Urban Sustainability." Presentation at 8th Annual U.S. Korea Forum on N. California Technical Institute, Pasadena, CA.
- 300. **Guston, David H.** March 14, 2011. "Anticipatory Governance: A Strategic Vision for Building Reflexivity into Emerging Technologies." Presentation. Resilience 2011, Arizona State University, Tempe, AZ.
- 301. **Guston, David H.** March 11, 2011. "CNS-ASU and its Strategic Vision of Anticipatory Governance." Talk. Service Academy Alumni of Arizona.
- 302. **Guston, David H.** March 02, 2011. "Anticipatory Governance of Emerging Technologies." Presentation. Technology and Ethics Working Group, Yale University, New Haven, CT.

- 303. **Guston, David H.** December 06, 2010. "Anticipatory Governance of Emerging Technologies." Presentation. "New Tools for Science Policy: Better S&T for the Real World" series, CSPO, Washington, DC.
- 304. **Guston, David H.** November 17, 2010. "Anticipatory Governance of Emerging Technologies." Presentation. ESRC Genomics Forum, University of Edinburgh, Edinburgh, United Kingdom.
- 305. **Guston, David H.** November 15, 2010. "The Pumpkin of the Tiger? Or, When to Consider the Risks of Research." Presentation. Institute of Hazard, Risk, and Resilience, Durham University, Durham, United Kingdom.
- 306. Guston, David H. November 10, 2010. "Anticipatory Governance of Emerging Technologies." Presentation. Institute of Systems and Synthetic Biology, Imperial College, London, United Kingdom.
- 307. **Guston, David H.** November 04, 2010. "Anticipatory Governance of Emerging Technologies: The Center for Nanotechnology in Society at ASU." Presentation. Triple Helix at ASU, Tempe, AZ.
- 308. **Guston, David H.** July, 2010. "Anticipatory Governance of Emerging Technologies: Foresight, Engagement and Integration." Presentation. Euroscience Open Forum 2010, Torino, Italy.
- 309. **Guston, David H.** May 10, 2010. "Reflections on Anticipatory Governance of Nanotechnology: Meanings for the Regulatory Environment." Talk. Toward Regulation of Nanomaterials: Conversation between academia, industry, law, and government, University of Notre Dame, IN.
- 310. **Guston, David H.** March, 2010. "Broader Societal Implications." Plenary remarks. Nano2: International Study of the Long-term Impacts and Future Opportunities for Nanoscale Science and Engineering, Evanston, IL.
- 311. **Guston, David H.** March, 2010. "The Anticipatory Governance of Emerging Technologies." Plenary remarks. INEW 2010: The Second International Nanomaterials Ethics Workshop, Korea Institute of Science and Technology, Seoul, Korea.
- 312. **Guston, David H.** March, 2010. "The Center for Nanotechnology at Arizona State University." Lecture. Program in the History and Philosophy of Science, Seoul National University, Seoul, Korea.
- 313. **Guston, David H.** February, 2010. "Bridging Nanoscience and Society: The Center for Nanotechnology in Society at ASU." Presentation. Annual Meeting of the American Association for the Advancement of Science, San Diego, CA.
- 314. **Guston, David H.** December, 2009. "Anticipatory Governance at the Center for Nanotechnology in Society." Lecture. ESRC Critical Public Engagement Seminar. Durham Universit, Durham, UK.
- 315. **Guston, David H.** December, 2009. "Public Engagement at CNS-ASU: The National Citizens Technology Forum and Other Modes." Lecture. Institute for Hazard Risk Research. Durham University, Durham, UK.

- 316. **Guston, David H.** October, 2009. "Genealogies of Anticipatory Governance." Presentation. Annual Meeting of the Society for Social Studies of Science, Washington, DC.
- 317. **Guston, David H.** October, 2009. "STS and Policy in the Academy." Chairs Plenary Panel. Annual Meeting of the Society for Social Studies of Science, Washington, DC.
- 318. **Guston, David H.** October, 2009. "Emerging Technologies and Sustainability: Parts I and II." Webinar briefing. Consultative Group on Biodiversity with the Center for Genetics and Society, San Francisco, CA.
- 319. **Guston, David H.** September 09, 2009. "The Roots, Branches and First Fruits of Anticipatory Governance." Presentation. Nanoethics Graduate Education Symposium, University of Washington, Seattle, WA.
- 320. **Guston, David H.** June, 2009. "Anticipatory Governance of Emerging Technologies." Presentation. NINE Summer Students Program. Sandia National Laboratory, Sandia, NM.
- 321. **Guston, David H.** June, 2009. "From the Lab to the Legislature: Locating Technology Assessment." Lecture on Science and Values. The Politicisation of Science. University of Bielefeld, Bielefeld, Germany.
- 322. **Guston, David H.** April, 2009. "Anticipatory Governance of Emerging Nanotechnologies at CNS-ASU." Video Plenary Lecture. Nanotechnology: Here and Now Meeting. Ministry of Research, Science and Technology, Wellington, New Zealand.
- 323. **Guston, David H.**, et al. March 09, 2009. "Nanotechnology and the Public: Data for Decision Makers." Briefing. U.S. Congressional Nanotechnology Caucus, Washington, DC.
- 324. **Guston, David H.** March, 2009. "Nano, Human Enhancement, and Public Engagement." Presentation. Faculty seminar on transhumanism, Center for the Study of Religion and Conflict, Arizona State University, Tempe, AZ.
- 325. **Guston, David H.** March, 2009. "Anticipatory Governance at the Center for Nanotechnology in Society at ASU." Presentation. Center for the Study of Institutional Diversity brown bag, Arizona State University, Tempe, AZ.
- 326. **Guston, David H.** March, 2009. "Public Engagement: National Citizens' Technology Forum." Presentation. Nanotechnology and the Public: Data for Decision Makers briefing before the U.S. Congressional Nanotechnology Caucus, Washington, DC.
- 327. **Guston, David H.** March, 2009. "Anticipatory Governance at the Center for Nanotechnology in Society at ASU." Presentation. Department of Political Science brown bag, Arizona State University, Tempe, AZ.
- 328. **Guston, David H.** March, 2009. "Anticipatory Governance at the Center for Nanotechnology in Society at ASU." Video lecture. Graduate class in Science and Technology Policy, Ford School of Public Policy, University of Michigan, Ann Arbor, MI.

- 329. **Guston, David H.** September 10, 2008. "CNS-ASU and Nano-in-Society in the USA." Presentation by video. Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 330. **Guston, David H.** July, 2008. "Reflections on CNS-ASU and Nano in Society in the U.." Keynote talk. Dutch NanoNed Flagship TA and Societal Aspects of Nanotechnology meeting, Utrecht, The Netherlands.
- 331. **Guston, David H.** June, 2008. "The Center for Nanotechnology in Society at ASU and the Anticipatory Governance of Emerging Technologies." Presentation. Institute for Science and Technology Studies, Bielefeld University, Bielefeld, Germany.
- 332. **Guston, David H.** June, 2008. "Anticipatory Governance of Nanotechnologies: The Center for Nanotechnology in Society at ASU." Special talk. Visiting Japanese technology assessment delegation, Arizona State University, Tempe, AZ.
- 333. **Guston, David H.** April 04, 2008. "Governing Emerging Technologies." Presentation. Arizona Institute of Nanoelectronics opening ceremonies, Tempe, AZ.
- 334. **Guston, David H.** February, 2008. "Anticipatory Governance at the Center for Nanotechnology in Society at ASU." Video lecture. Graduate class in Science and Technology Policy, Ford School of Public Policy, University of Michigan, Ann Arbor, MI.
- 335. **Guston, David H.** November, 2007. "Toward Anticipatory Governance of Emerging Technologies." Presentation. Special Series on Science and Public Policy, Brown University, Providence, RI.
- 336. **Guston, David H.** November, 2007. "Governing Emerging Technologies." Presentation. Spirit of the Senses Salon, Phoenix, AZ.
- 337. **Guston, David H.** June 14, 2007. "Anticipatory governance and reflexivity: A means for realtime technology assessment." Talk. The Future of Nanotechnology: A Celebration of the 30th Anniversary of the Cornell NanoScale Science & Technology Facility, Cornell University, Ithaca, NY.
- 338. **Guston, David H.** December, 2006. "Anticipatory Governance of Emerging Technologies." Presentation. Monthly meeting of the Arizona Nanotechnology Cluster, Tempe, AZ.
- 339. **Guston, David H.** October, 2006. "Anticipatory Governance of Emerging Technologies: The Center for Nanotechnology in Society at ASU." Presentation. Stanford University Seminar in Science, Technology and Society, Stanford, CA.
- 340. **Guston, David H.** August, 2006. "Anticipatory Governance of Emerging Technologies." Presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 341. **Guston, David H.** May, 2006. "CNS-ASU: Interdisciplinary Programs in a Self-Styled Boundary Organization." Presentation. Conference of Trading Zones, Interactional Expertise, and Interdisciplinary Collaboration, Arizona State University, Tempe, AZ.

- 342. **Guston, David H.** May, 2006. "What Do We Want to Learn from Public Participation in Nanotechnology?" Presentation. NNI Public Participation in Nanotechnology Workshop, Arlington, VA.
- 343. **Guston, David H.** April, 2006. "Social Science Engages Nanotechnology." Invited talk. Virginia Tech, Blacksburg, VA.
- 344. **Guston, David H.** February 17, 2006. "The Center for Nanotechnology in Society at ASU." Nanotechnology Seminar: Social Science Engages Nanotechnology, AAAS Annual Meeting 2006, St. Louis, MO.
- 345. **Guston, David H.** February, 2006. "Anticipatory Governance at the Center for Nanotechnology in Society at ASU." Video lecture. Graduate class in Science and Technology Policy, Ford School of Public Policy, University of Michigan, Ann Arbor, MI.
- 346. **Guston, David H.** February, 2006. "Societal Implications of Nanotechnology." Lecture. Discovery Lecture Series 2006, Transforming Society Through Emerging Technologies: The National Nanotechnology Initiative at Five Years, Purdue University, West Lafayette, IN.
- 347. **Guston, David H.** and **Arnim Wiek**. November 16, 2010. "Nano and the City: Anticipatory Governance and Urban Sustainability." Presentation. Department of Geography, Durham University, Durham, United Kingdom.
- 348. **Guston, David H.** and **Arnim Wiek**. September, 2010. "Urban Design, Materials, and Built Environment: Nano in the City Research at ASU-CNS." Presentation. S.NET Conference, Darmstadt Technical University, Darmstadt, Germany.
- 349. **Guston, David H.**, **Erik Fisher** and **Daniel Sarewitz**. April 27, 2012. "Introduction to Responsible Innovation." Presentation. International Collaboration Working Group Seminar.
- 350. **Halden, Rolf** and **Ben Hurlbut**. May 20, 2011. "Germ-Free and other Myths: Examining Antimicrobial Products." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 351. **Hamlett, Patrick**. March, 2008. "Public Deliberations About Science and Technology: Should the Public Have a Say on the Future of Nanotechnology." Presentation. NSF Science and Technology Center Program, Center for Environmentally Responsible Solvents and Processes Innovation Seminar Series, North Carolina State University, Raleigh, NC.
- 352. **Hamlett, Patrick** and **Michael D. Cobb**. August, 2008. "Reporting the Results of the first National Citizens Technology Forum." Presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 353. **Hamlett, Patrick** and **Michael D. Cobb**. July, 2008. "The First National Citizens Technology Forum on Human Enhancement: Results and Prospects." Paper presentation. VIPSI-2008 (Information Processing Society, International) Conference: Knowledge Engineering, Tutorials, & Brainstorming, Pisa, Italy.

- 354. **Hamlett, Patrick** and **Michael D. Cobb**. May, 2008. "The First National Citizens Technology Forum on Nanotechnology First Results." Presentation. University & Industry Consortium, Spring 2008 Meeting, Lansing, MI.
- 355. .**Harper, Krista**, **Gretchen Gano** and Marc Lorenc. October 30-November 2, 2014. "Futurescape City Tour Springfield: Science and Technology Studies in a Deindustrializing City." Presentation. Association of Collegiate Schools of Planning Annual Conference
- 356. **Harsh, Matthew**, **Susan Cozzens**, **Jameson Wetmore**, Michael J Bernstein, Rafael Castillo, Thomas Woodson, Diran Soumonni, Rodrigo Cortes-Lobos. December 15-17, 2014. "Postgraduate Training as a Space to Shape the Interface between Emerging Technologies and Development: A Short Course Approach." Presentation. The Closing Conference of the Nanotechnology for Development Conference, Maastricht University Brussels Campus, Brussels, Belgium.
- 357. **Harsh, Matthew**. January 2014. "Designing a Community Engagement Short Course for Engineers." Presentation. Global Engineering Symposium, Engineers without Borders Canada National Conference. Toronto, Ontario, Canada.
- 358. **Harsh, Matthew**. December 2013. "Nanotechnology Public Engagement Program NanoNews Writing Workshop (for graduate students)." Presentation. Co-facilitator for the South African Agency for Science and Technology Advancement. Cape Town, South Africa.
- 359. **Harsh, Matthew**. November 2013. "Ethics and Nanomaterials." Presentation. South African Department of Science and Technology's Nanoscience and Nanotechnology Summer School. University of the Western Cape. Cape Town, South Africa.
- 360. **Harsh, Matthew**. 2013. "Ethics and Nanomaterials." Presentation. Invited presentation at the South African Department of Science and Technology's Nanoscience and Nanotechnology Summer School. University of the Western Cape. Cape Town, South Africa.
- 361. Harsh, Matthew. April, 2012. "Biotechnology and Nanotechnology in Sub-Saharan Africa: Who Decides?" Paper Presentation. Centre for Engineering and Society, Concordia University, Montreal, Canada.
- 362. Harsh, Matthew. November, 2011. "Issues Facing STS Research on the Governance of Emerging Technologies in sub-Saharan Africa." Presentation. Annual Meeting of the Society for the History of Technology, Cleveland, OH.
- 363. Harsh, Matthew. March 29, 2011. "Pro-poor Nanotechnology Applications for Water: Characterizing Private Sector Research Using Publication Data." Paper presentation. Winter School on Emerging Nanotechnologies, organized by Grenoble Ecole de Management, Autrans, France.
- 364. **Harsh, Matthew**, **Susan Cozzens**, **Jameson Wetmore**, Rafael Castillo, Rodrigo Cortes Lobos, Ogundiran Soumonni and Thomas Woodson. 2013. "Preparing Engineers for the Challenges of Community Engagement: A Short Training Course Approach." Presentation. Engineering, Social Justice, and Peace Conference. Rensselaer Polytechnic Institute. Troy, NY.

- 365. Harsh, Matthew and Thomas Woodson. November, 2011. "Pro-Poor Nanotechnology Applications for Water: Characterizing Private Sector Research." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 366. Harsh, Matthew and Thomas Woodson. April, 2011. "Mapping Nano-Innovation Systems for Water Applications." Presentation. Winter School on Emerging Nanotechnologies, Grenoble cole de Management, Pinsot, France.
- 367. Hays, Sean A. July, 2009. "Nietzsche and the Philosophical Underpinnings of Human Enhancement." Presentation. SPT 2009: Converging Technologies, Changing Societies. Society for Philosophy and Technology, University of Twente, the Netherlands.
- 368. Hays, Sean A. March, 2009. "Transhumanism, Anti-humanism, and Nietzsche's Overman." Presentation. Human Enhancement & Nanotechnology, Western Michigan University, Kalamazoo, MI.
- 369. **He, Jiping** and Jason S. Robert. June 04, 2006. "Wiring Brains to Machines: Science Fiction or Science Fact." Talk. CNS-ASU Science Cafe, Mills End Coffee Shop, Tempe, AZ.
- 370. **Hendrickson, Kirstin** and **Scott Lefler**. November 19, 2010. "You Are What You Eat: America's Relationship with Food." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 371. **Herkert, Joseph, Heather Canary, Karin D. Ellison** and **Jameson Wetmore**. November, 2011. "Integrating Microethics and Macroethics in Graduate Science and Engineering Education." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 372. **Hibner Koblitz**, **Ann**, **Priscilla Greenwood** and **Jennifer McNeill Bekki**. March 21, 2008. "Women in Science: Various Issues and Viewpoints." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 373. Hillback, Elliott D., Anthony D. Dudo, Jiun-Yi Tsai, Sharon Dunwoody, Dominique E. Brossard and Dietram A. Scheufele. December, 2009. "Tracking Online Behavior after Exposure to News of a Local Nanotechnology Risk: A Risk Information Seeking and Processing (RISP) Model Approach." Presentation. Annual Convention of the Society for Risk Analysis (Emerging Nanoscale Materials Specialty Group Student Merit Award), Baltimore, MD.
- 374. **Ho, Shirley S., Dietram A. Scheufele** and **Elizabeth A. Corley**. June, 2010. "Integrating Models of Mass-Interpersonal Communication: Testing Moderation and Mediation Effects of Elaborative Processing and Interpersonal Discussion on Scientific Knowledge and Public Attitudes Tow." Presentation. Annual Convention of the International Communication Association, Singapore.
- 375. **Ho, Shirley S., Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2009. "Value Predispositions, Mass Media, and Attitudes toward Nanotechnology: The Interplay of Public and Experts." Presentation. Annual Convention of the Association for Education in Journalism and Mass Communication, Bostom, MA.

- 376. **Ho, Shirley S., Dietram A. Scheufele** and **Elizabeth A. Corley**. May, 2009. "Making Sense of Policy Choices: A Closer Look at the Mediating Roles of Elaborative Processing and Interpersonal Discussion on Public Perceptions of Nanotechnology." Paper presentation. Annual convention of the International Communication Association, Chicago, IL.
- 377. **Ho, Shirley S., Dietram A. Scheufele** and **Elizabeth A. Corley**. August, 2008. "Influences of Mass Media, Interpersonal Communication, and Cognitive Processing on Risks versus Benefits Perception of Nanotechnology." Paper presentation. Annual convention of the Association for Education in Journalism and Mass Communication, Chicago, IL.
- 378. **Ho, Shirley S.**, Xuan Liang, **Dominique E. Brossard**, **Dietram A. Scheufele**, **Michael A. Xenos**, X. Hao and X. He. June 2013. "Value Predispositions as Perceptual Filters: A Cross-cultural Comparison of Public Attitudes toward Nanotechnology in the United States and Singapore." Presentation. Annual Convention of the International Communication Association. London, United Kingdom.
- 379. **Hogle, Linda F.** March, 2007. "Stem Cells as a Study in Transience: A Future History." Paper presentation. Max Planck Institute for the History of Science, Berlin, Germany.
- 380. **Holbert, Keith** and **Clark A. Miller**. January 18, 2008. "Why Not Nuclear Power? The Science and Politics behind Nuclear Energy." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 381. **Honsberg, Christiana** and **Nancy LaPlaca**. March 15, 2013. "Power in the Nano City: Electricity, Democracy and Mutual Influence." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 382. Huang, Lu, **Alan L. Porter** and Ying Guo. April 06, 2009. "Identifying the Role of Emerging Nanoparticles in Biosensors." Paper presentation. IAMOT 2009, 18th International Conference on Management of Technology, Management of Green Technology, International Association of Management of Technology, Orlando, FL.
- 383. Huang, Lu, Ying Guo and **Alan L. Porter**. October, 2009. "A Systematic Technology Forecasting Approach for New and Emerging Science and Technology: Case Study of Nano-enhanced Biosensors." Presentation. 2009 Atlanta Conference on Science and Innovation Policy. The Paper won the Best Graduate Student Paper Award at the 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 384. Huang, Lu, Ying Guo, D. Zhu, **Alan L. Porter**, **Jan Youtie** and **Douglas K.R. Robinson**. 2011. "Organizing a Multidisciplinary Workshop for Forecasting Innovation Pathways: The Case of Nano-Enabled Biosensors." Presentation. Atlanta Conference on Science and Innovation Policy 2011, Atlanta, GA.
- 385. Huang, Lu, Ying Guo, **Jan Youtie** and **Alan L. Porter**. "Early Commercialization Pattern Profiling: Nano-Enhanced Biosensors." Presentation. PICMET (Portland International Conference on Management of Engineering and Technology, Vancouver, Canada.

- 386. Huang, Lu, Ying Guo, Tingting Ma and **Alan L. Porter**. May, 2011. "Text Mining of Information Resources to Inform Forecasting of Innovation Pathways." Presentation. 4th International Seville Conference on "Future-Oriented Technology Analysis".
- 387. **Huang, Wan-Ling**, **Eric Welch** and **Elizabeth A. Corley**. 2009. "Public Sector Voluntary Initiatives: The Adoption of the Environmental Management System for Biosolids by Public Waste Water Treatment Facilities in the United States." Paper Presentation. Midwest Political Science Association Conference.
- 388. **Jacobs, Bert** and **Jameson Wetmore**. March 23, 2007. "Transferring Western Technology to Developing Countries: Good Intentions, Unexpected Outcomes." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 389. Jensen, Camilla. July 20, 2014. "Cross-disciplinary Education in Social & Ethical Aspects of Nanotechnology." Poster presentation. SciRanch, Oracle, AZ.
- 390. Jensen, Camilla. November 2, 2014. "Cross-disciplinary Education in Social & ethical Aspects of Nanotechnology." NEAP Project, Sustainable Nanotechnology Organization (SNO) Conference, Boston, MA.
- 391. Jenson, Camilla and Tamara Christensen. March 6, 2015. "Future Fairy Tales." Visitation. Emerge 2015, Arizona Statue University-Skysong, Scottsdale, AZ.
- 392. Jenson, Camilla. March 7, 2015. "Future Fairy Tales." Panel presentation. Staging the Future Conference, Emerge2015, Arizona Statue University-Skysong, Scottsdale, AZ.
- 393. **Jimenez, Benedict**, **Eric Welch** and **Elizabeth A. Corley**. 2009. "Explaining Differences in the Quality and Effectiveness of Environmental Management Systems in Public Organizations: The Experience of Public Sewage and Wastewater Treatment Facility Operators in the." Paper Presentation. Midwest Political Science Association Conference.
- 394. Johnson, Darlene, Santiago Manriquez, **Terry Ryan**, Lynda Zeise and **Cynthia Selin**. November 21, 2008. "Democratizing Science: Should the Public Have a Voice in Science Research and Development." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 395. **Johnston, Stephen** and **Joan McGregor**. September, 2006. "Predicting Your Medical Future (Docin-a-Box)." CNS-ASU Science Cafe, Changing Hands Bookstore, Tempe, AZ.
- 396. **Jung, Ranu** and **Jason S. Robert**. January, 2007. "Adaptive Technologies for the Central Nervous System: Are We Changing What It Means to be Human." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 397. **Kambhampati, Subbarao** and David Calverley. November 16, 2007. "Do Robots Need a Bill of Rights? Implications of Artificial Intelligence." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 398. **Kavazanjian, Edward** and **Tim Lant**. April 15, 2011. "Disasters in Arizona: Are We Prepared." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.

- 399. Kay, Luciano. November 05, 2011. "Aggregate Patterns of Linkage of Nanotechnology Centers with Industry: Program Outcomes." Session. Evaluation of a Nano Science and Technology Centers Program: Mixed Methods Approach to Assessing its Realization of Policy Objectives, American Evaluation Association.
- 400. Kay, Luciano. October, 2009. "The Emergence of Nanotechnology Enterprise in Brazil." Presentation. 2nd Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 401. Kay, Luciano. October, 2009. "Nanotecnologia en America Latina. Brasil y la Emergencia de Nanoempresas." Presentation. VI Seminario Internacional Nanotecnologia, Sociedade e Meio Ambiente -VI Seminanosoma, Manaus, Brazil.
- 402. Kay, Luciano. May, 2009. "Developing Nanotechnology in Latin America." Poster presentation. NSF Site Visit for CNS Renewal, Tempe, AZ.
- 403. Kay, Luciano. May, 2009. "Nanotechnology R and D Collaboration with Brazil. Managing Challenges and Opportunities in an Emerging Networked Technology." Presentation. Workshop of International R and D Cooperation with Latin America, Madrid, Spain.
- 404. Kay, Luciano. January, 2009. "Nanotechnology Research Networks in Brazil." Poster presentation. CNS All Hands Meeting, Tempe, AZ.
- 405. Kay, Luciano. January, 2008. "Nanotechnology in Latin America." Paper presentation. DRUID-DIME Academy Winter 2008 Ph.D. Conference on Economics and Management of Innovation and Organizational Change, Rebild, Denmark.
- 406. Kay, Luciano and **Jan Youtie**. October, 2012. "Emerging Technologies and Corporate Strategies: The Case of Nanotechnology for Energy Storage Solutions." Presentation. Society for the Study of Nanoscience and Emerging Technologies (S.NET), University of Twente, the Netherlands.
- 407. Kay, Luciano, **Noela Invernizzi** and **Philip Shapira**. October, 2009. "The Role of Brazilian Firms in Nanotechnology Development." Presentation. 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 408. **Kim, Matt** and **Prasad Boradkar**. September, 2007. "Designing Things: Balancing Beauty, Utility and Sustainability in Products." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 409. Kim, Youngjae, **Elizabeth A. Corley** and **Dietram A. Scheufele**. May, 2013. "The Role of Social Responsibility in Leading Nano-Scientists' Perceptions about Nanotech Research and Regulation." Paper Presentation. The Annual Conference on Governance of Emerging Technologies: Law, Policy, and Ethics, Chandler, AZ.
- 410. Kim, Youngjae, **Elizabeth A. Corley** and **Dietram A. Scheufele**. 2013. "How Do Leading U.S. Nano-scientists View their Social Responsibility for Nanotech Research?" Presentation. The Second Conference of the Sustainable Nanotechnology Organization Santa Barbara. Santa Barbara, CA.

- 411. Kim, Youngjae, **Elizabeth A. Corley** and **Dietram A. Scheufele**. November, 2011. "How Should We Regulate Nanotechnology? Perceptions of Leading U.S. Nano-scientists." Paper Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 412. Kim, Youngjae, **Elizabeth A. Corley** and **Dietram A. Scheufele**. January, 2011. "Should we Regulate Nanotech at the Local, National, or International Level." Paper Presentation. All Hands Meeting for the Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 413. Kimbell, Lucy and Cynthia Selin. May 30-31, 2014. "Future Things." Exhibition. Oxford Futures Forum. University of Oxford. Oxford, England.
- 414. Klochikhin, Evgeny A. and **Philip Shapira**. October, 2012. "Giants in Small Worlds? Innovation and Nanotechnology Development in China and Russia." Presentation. Society for the Study of Nanoscience and Emerging Technologies (S.NET), the Netherlands.
- 415. Klug Boonstra, Sherri. February 20, 2014. "Citizen Science! Goes to Mars!" Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 416. Kullman, Joe and **Joel Garreau**. March 19, 2010. "Facts or Hype: What is the Media Telling Us About Nano and Other New Technologies." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 417. Ladwig, Peter, Doo-Hun Choi, Ashley A. Anderson, Michael A. Cacciatore, Xuan Liang, **Dominique E. Brossard**, et al. May, 2011. "Coverage of Emerging Technologies: A Comparison Between Print and Online Media." Paper Presentation. Annual Convention of the International Communication Association, Boston, MA.
- 418. Ladwig, Peter, Kajsa E. Dalrymple, **Dietram A. Scheufele**, **Dominique E. Brossard** and **Elizabeth A. Corley**. August, 2010. "Perceived or Factual Knowledge? Comparing Operationalizations of Science Knowledge." Paper Presentation. Annual Convention of the Association for Education in Journalism & Mass Communication, Denver, CO.
- 419. Laurent, Brice and **Erik Fisher**. August, 2007. "The Integration of Public Input into the American Nanotechnology Federal Program: Meanings and Contradictions." Presentation. Third Living Knowledge conference, Ecoles des Mines, Paris, France.
- 420. Li, Nan, **Dominique E. Brossard** and **Dietram A. Scheufele**. December 2013. "What do Government and Non-profit Stakeholders Want to Know about Nuclear Fuel Cycles? A Semantic Network Analysis Approach." Presentation. Annual Convention of the Society for Risk Analysis (SRA). Baltimore, MD.
- 421. Li, Nan, Heather Akin, Leona Yi-Fan Su, **Michael A. Xenos**, **Dietram A. Scheufele** and **Dominique E. Brossard**. June 2013. "Using Twitter to Assess Public Opinion about Nuclear Power Pre- and Post-Fukushima." Presentation. Annual Convention of the International Communication Association. London, United Kingdom.

- 422. Li, Nan, Leona Yi-Fan Su, Xuan Liang, **Dominique E. Brossard** and **Dietram A. Scheufele**. May 2014. "Policy Decision-making, Public Involvement and Nuclear Energy: What do Expert Stakeholders Think and Why." Presentation. Annual Convention of the International Communication Association (ICA). Seattle, WA.
- 423. Liang, Xuan, Leona Yi-Fan Su, Sara K. Yeo, **Dietram A. Scheufele**, **Dominique E. Brossard**, **Michael A. Xenos**, **Paul Nealey** and **Elizabeth A. Corley**. 2014. "Building Buzz (Scientists) Communicating Science in New Media Environments." Presentation. 13th Annual International Public Communication of Science and Technology (PCST) Conference. Salvador, Brazil.
- 424. **Libaers, Dirk**. September, 2006. "The Role and Contribution of Foreign-born Scientists and Engineers to the U.S. Nano Science and Technology Research Enterprise." Presentation. 2006 Technology Transfer Society Conference, Atlanta, GA.
- 425. Lidberg, Shannon. November, 2008. "Who Benefits? India's National Design Policy and the Setting of Designers' Priorities." Presentation. CNS-ASU Workshop on Nanotechnology, Equity and Equality, Tempe, AZ.
- 426. Lidberg, Shannon. August, 2008. "Design Policy Around the Globe: How Developed and Emerging Markets are Using Design for Economic Competitiveness." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 427. Lidberg, Shannon. March, 2008. "Examining Potential Futures: A Designer's Toolbox for Identifying Potential Social and Cultural Implications." Presentation. ST Global Conference, Washington, DC.
- 428. **Lindsay, Stuart**. March 23, 2006. "Humankind's Future on the Head of a Pin: Nanotechnology What it is, what it can do." Talk. CNS-ASU Science Cafe, Mills End Coffee Shop, Tempe, AZ.
- 429. **Lobo, Jose**. November 09, 2011. "How Green is Nano." Presentation. Society for the study of Nanoscience and Emerging Technologies 2011 Conference, Tempe, AZ.
- 430. **Lobo, Jose** and **Deborah Strumsky**. March, 2010. "What Can Be Learned From Successful Nanotechnology Patent Applications." Presentation. Transatlantic Workshop on Nanotechnology Innovation and Policy, Atlanta, GA.
- 431. **Lynch, John** and Matthew Cooper. February 17, 2012. "Science and Religion: How Can We Peace It All Together." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 432. **Lynch, John** and **Norbert Samuelson**. February 20, 2009. "Evolution and Faith Revisited: Can the Two be Reconciled." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 433. Ma, Tingting, **Alan L. Porter**, Jud Ready, Chen Xu, Lidan Gao, Wenping Wang, et al. May, 2011. "A Technology Opportunities Analysis Model: Applied to Dye-Sensitized Solar Cells for China." Presentation. 4th International Seville Conference on "Future-Oriented Technology".
- 434. **Mahootian, Farzad**. October, 2012. "Innovation by Disequilibrium." Presentation. Society for the Study of Nanoscience and Emerging Technologies, University of Twente, Twente, the Netherlands.

- 435. **Mahootian, Farzad**, **Erik Fisher** and **Michael Gorman**. March, 2012. "Self-Reflexive Science and Emergence of Microtrading and Integration Zones in Bio-, Info- and Nano-Science Research Labs." Presentation. 3rd Annual Conference on Empirical Philosophy of Science, Aarhus University, Denmark.
- 436. **Mahootian, Farzad** and Tara-Marie Linne. October, 2012. "Jung and Laboratory Ethnographies: Lab as Locus of Transformative Research." Presentation. Jung in the Academy and Beyond 100 Years Later, Fordham University, New York, NY.
- 437. **Maracas, George**, **Patrick Phelan** and **Braden Allenby**. September 19, 2008. "Is Nanotechnology Good for Sustainability or Not." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 438. **Marchant, Gary E.** July, 2006. "Nanotechnology Regulation: The United States Approach." Presentation. Conference on New Global Regulatory Frontiers: Evaluating what will work for Nanotechnology, Monash University, Melbourne, Australia.
- 439. Maricle, Genevieve. January, 2008. "The State of Policy and Socio-Economic Research." Presentation. American Meteorological Society Annual Meeting, New Orleans, LA.
- 440. Maricle, Genevieve. December, 2007. "Shaping Science: Turning Science Studies into Science Action." Presentation. Center for Science and Technology Policy Research Noontime Seminar Series, Boulder, CO.
- 441. Maricle, Genevieve. October, 2007. "Wrestling with Engagement: Tools for Iterating Intervention in STS." Presentation. Society for the Social Studies of Science Annual Meeting, Montreal, Canada.
- 442. **McBeath, Michael**. September 19, 2013. "Citizen Science! Executing an Effective and Ethical Citizen Science Study." Presentation. CNS-ASU Science Café. Arizona Science Center. Phoenix, AZ.
- 443. **McCray, Patrick**. November 8, 2013. "The Visioneers: In Pursuit of Space Colonies, Nanotechnologies, and a Limitless Future." Presentation. CNS-ASU Occasional Speaker. Center for Nanotechnology in Society. Arizona State University. Tempe, AZ.
- 444. **McGregor, Joan** and **Jameson Wetmore**. August, 2008. "Researching and Teaching the Ethics and Social Implications of Emerging Technologies." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 445. McKeon, Patrick. September 23, 2008. "State-Level Nanotechnology Policy Initiatives and Implications for Georgia." Presentation. Nano@Tech, Georgia Institute of Technology, Atlanta, GA.
- 446. McKeon, Patrick. 2008. "State-Level Nanotechnology Policy Initiatives and Implications for Georgia." Presentation. Fresh Perspectives on Economic Development, Atlanta, GA.
- 447. **Meldrum, Deirdre** and **Jameson Wetmore**. October 19, 2007. "Less is More Technology: Is Smaller and Cheaper Always Better." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.

- 448. Meng, Yu. April, 2009. "Female Involvement in Nanotechnology Patenting: Does it make a Difference." Presentation. Workshop on Original Policy Research, School of Public Policy, Georgia Institute of Technology, Atlanta, GA.
- 449. Merkerk, Rutger van, **David H. Guston** and **Ruud Smits**. November, 2006. "An International Comparison of Recent Technology Assessment Approaches: Bypassing Collingridge." Presentation. 4S Conference (Society for Social Studies of Science), Vancouver, British Columbia, Canada.
- 450. Miao, Liao. 2012. "Laboratory Collaboration as a Way of Practicing Nano-ELSI." Presentation. Institute for Science, Technology, and Society, Tsinghua University, Beijing, P.R. China.
- 451. Miao, Liao. 2012. "Humanistic Cultivation in the Sciences: Why Do Laboratory Engagements Matter." Presentation. Arizona State University, Tempe, AZ.
- 452. **Michelaki, Kostalena** and **Sandwip Dey**. February 18, 2011. "Invention Then and Now: Ancient and Modern Materials." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 453. **Miller, Clark A.** 2012. "Nanotechnology, the Brain, and the Future." Keynote Lecture. Integrating Nanotechnology with Cell Biology and Neuroscience Symposium, University of New Mexico, Albuquerque, NM.
- 454. **Miller, Clark A.** September, 2010. "Readying Citizens for Anticipatory Governance: A Challenge for Science Museums." Presentation. NISE Network Meeting, San Francisco, CA.
- 455. **Miller, Clark A.** March, 2010. "Innovation: Thoughts on Science, Technology, Transformation, and Valuation." Talk. Manifolds-A Social Innovation Symposium, Fergus, Canada.
- 456. **Miller, Clark A.** 2010. "Systems Integration: The Human and Social Dimensions of Energy System Transformation." Talk. Advisory Meeting, Directorate of Mathematical and Physical Sciences, National Science Foundation, Washington, DC.
- 457. **Miller, Clark A.** 2009. "Themes in Nanotechnology in Society Research." Talk. Nanoscale Informal Science Education Annual Meeting, San Francisco, CA.
- 458. **Miller, Clark A.** 2009. "Nanotechnology: Environment, Health, and Safety." Talk. Semiconductor Environment, Safety, and Health Association, Scottsdale, AZ.
- 459. **Miller, Clark A.** April, 2007. "Commentary: The Law and the Future Brain." Presentation. U.S. District Court and Sandra Day OConnor College of Law, Arizona State University, Tempe, AZ.
- 460. **Miller, Clark A.** December 09, 2006. "Boundary Organizations: Strategies for Linking Knowledge to Action." Presentation. Workshop on Boundary Organizations, Tempe, AZ.
- 461. **Miller, Clark A.** November 16, 2006. "Informing Anticipatory Governance of New and Emerging Technologies through Nanotechnology in Society Research." Presentation. Nanoscale Informal Science Education Network (NISE Net).

- 462. **Miller, Clark A.** October, 2006. "Reflexive, Anticipatory Governance of Science and Technology." Roundtable presentation. Public Administration and Challenges of Emerging Technologies Roundtable, 2006 NASPAA Annual Conference: The Future of the Public Sector, National Association of Schools of Public Administration and A, Minneapolis, MN.
- 463. **Miller, Clark A.** June, 2006. "Think Differently! Strategies for Success in Nano." Presentation. Food Research Institute, University of Wisconsin-Madison, Madison, WI.
- 464. **Miller, Clark A.** April 19, 2006. "Nanotechnology in Society Education: Teaching the Mental Habits of Social Engineers and Critical Citizens." Presentation. Education in Nanoscience and Engineering Symposium, 2006 Spring Meeting, Materials Research Society, San Francisco, CA.
- 465. **Miller, Clark A.** March, 2006. "Nanotechnology in Society." Presentation. Ohio State University, Columbus, OH.
- 466. **Miller, Clark A.** and **Ira Bennett.** March, 2009. "Imagining the Future: Can Science Fiction Help Us Govern Technology." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 467. **Miller, Clark A.** and **Ira Bennett**. April, 2007. "Science Fiction as Technology Assessment: Some Preliminary Thoughts on Anticipatory Governance for the Rest of Us." Presentation. Cornell University, Ithaca, NY.
- 468. **Miller, Thaddeus R.** May 2014. "Futurescape City Tours: Public Engagement in Science and Technology." Presentation. CityWise: A Public Forum with the Toulan School. Portland, OR.
- 469. **Miller, Thaddeus R.** February 26, 2014. "Futurescape City Tours: Public Engagement in the City." Presentation. President's Umbrella Tours. PSU.
- 470. **Moore, Ana L.** September 27, 2006. "Renewable Energy Through Photosynthesis." Talk. CNS-ASU Science Cafe, Friendly House, Phoenix, AZ.
- 471. **Newman, Nils**. November, 2006. "Nanotechnology Research Mapping and Assessment." Presentation. STI Indicators Conference, Leuven, Belgium.
- 472. **Newman, Nils**. June 07, 2006. "Where is Nano Going?" Presentation. Advancing Measures of Innovation: Knowledge Flows, Business Metrics, and Measurement Strategies Workshop, National Science Foundation, Arlington, VA.
- 473. **Newman, Nils, Ismael Rafols, Jan Youtie, Alan L. Porter** and Luciano Kay. November, 2011. "Patent Overlay Mapping: Visualizing Technological Distance." Panel Presentation. Nanotechnology, Innovation, and Commercialization: Learning about a Technology Cycle through Patent Data, Patent Statistics for Decision Makers 2011.
- 474. **Panzda, Kristo**, Paul Ellwood and **Erik Fisher**. October, 2009. "From Social Aspirations to Organizational Capability: Identifying Micro-Foundations and the Role of Strategizing." Presentation. Interactive Strategy Work-in-Progress Workshop/SMS Pre-Conference: Advancing Strategy Process Research, Washington, DC.

- 475. Pei, R., **Alan L. Porter** and P. Gao. December, 2010. "Profiling a Decade of Chinese Nano-Biomedical Science Research." Presentation. IEEE International Engineering and Engineering Management (IEEM), China.
- 476. **Petrucci, Darren** and **Kelly Campbell Rawlings**. February 15, 2013. "Evolving in the Nano City: Urban Design, Urban Culture and Forces of Change." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 477. **Petrucci, Darren** and Rider W. Foley. February 28, 2014. "New Tools for Science Policy Design Thinking, Sustainability and the Future City." Presentation. Consortium for Science, Policy & Outcomes. Washington, DC.
- 478. Philbrick, Mark. September, 2009. "Operationalizing Anticipatory Governance: Steering Emerging Technologies towards Sustainability." Presentation. Inaugural Meeting of the Society for the Study of Nanoscale and Emerging Technologies. September 8-11, 2009, Seattle, WA.
- 479. Philbrick, Mark. 2009. "The National Citizens Technology Forum: Lessons for the Future." Presentation. Annual Meeting of the Society for the Social Studies of Science. October 28-November 1, 2009, Washington, DC.
- 480. **Porter, Alan L.** December, 2010. "Profiling and Knowledge Tracking." Presentation. Chinese Academy of Sciences Library, Beijing.
- 481. **Porter, Alan L.** November, 2009. "Assessing Nanotechnology: Research Metrics and Maps." Presentation. American Evaluation Association Annual Conference, Orlando, FL.
- 482. Porter, Alan L. August, 2009. "Locating Nanotechnology among the Disciplines, Nano @ Tech."
- 483. **Porter, Alan L.** November 30, 2007. "Trends in Data Treatment in the United States." Keynote presentation. International Conference on Competitive Intelligence, Carlos III University of Madrid, Madrid, Spain.
- 484. Porter, Alan L. October, 2007. "Public Lecture." Institute for S&T Information, Beijing, China.
- 485. **Porter, Alan L.** November 15, 2006. "Mining Patents and Research Publications to Improve Technology Management: Nano Illustrations." Presentation. 2nd PATINEX Conference, Seoul, South Korea.
- 486. **Porter, Alan L.**, David J. Schoeneck, **Ajay S. Bhaskarabhatla**, **Jan Youtie** and **Dirk Libaers**. May, 2006. "Explorations in Research and Innovation Systems Assessment: Where Is Nano Going?" Presentation. The Atlanta Conference on Science and Technology Policy 2006 US-EU Policies for Research and Innovation, Atlanta, GA.
- 487. **Porter, Alan L.**, David J. Schoeneck, **Nils Newman**, **Philip Shapira**, **Jan Youtie** and Rich Kolar. September, 2006. "Nano R&D Profiles: A Deeper Look." Presentation. International Conference on Science & Technology Indicators, Leuven, Belgium.

- 488. **Porter, Alan L.**, David J. Schoeneck, **Philip Shapira**, **Jan Youtie** and Rich Kolar. September, 2006. "Defining the Nanotechnology Domain in Realtime Technology Assessment." Presentation. Presented at 2006 Technology Transfer Society Conference, Atlanta, GA.
- 489. **Porter, Alan L.** and **Ismael Rafols**. 2009. "Measuring and Mapping Interdisciplinary in Six Research Fields Over Time (1975-2005)." Presentation. ISSI Conference, Rio de Janeiro.
- 490. **Porter, Alan L.** and **Ismael Rafols**. September, 2008. "Science Overlay Maps: Easy-to-use Tools to Help Visualize and Track Bodies of Research, A Deeper Look at the Visualization of Scientific Discovery in the Federal Context." Presentation. Workshop at the National Science Foundation, Arlington, VA.
- 491. **Porter, Alan L., Jan Youtie**, **Philip Shapira**, David J. Schoeneck, Li Tang and Pratik Mehta. April, 2007. "Profiling Nano R&D." Presentation. Presented at Nano-Giga Challenges, Phoenix, AZ.
- 492. **Porter, Alan L.** and **Jayesh Patil**. March, 2007. "Where Is Nano Going?" Presentation. Nano-Giga Challenges, Phoenix, AZ.
- 493. **Porter, Alan L.** and Lu Huang. December, 2010. "Tech Mining and Forecasting of Innovation Pathways, as Applied to Nano-enhanced Biosensors." Presentation. International Conference on Technological Innovation and Competitive Technical Intelligence, Beijing.
- 494. **Porter, Alan L., Martin Meyer** and **Ismael Rafols**. May, 2008. "The Cognitive Geography of Nanotechnologies: Location and Knowledge Flows of Nano-Research in the Map of Science." Presentation. Presentation at the NBER Conference on Emerging Industries: Nanotechnology and NanoIndicators, Cambridge, MA.
- 495. **Porter, Alan L.**, **Nils Newman** and **Jan Youtie**. October, 2009. "Tech Mining, VantagePoint, and Science Overlay Mapping." Presentation. Pre-conference Workshop of 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 496. **Porter, Alan L., Philip Shapira** and **Jan Youtie**. October, 2008. "Nano Social Science: An Emerging Specialization." Presentation. Nanotechnology and Society: Emerging Opportunities & Challenges Networks, Risk and Knowledge Sharing, University of Massachusetts, Amherst, MA.
- 497. **Porter, Alan L.**, **Philip Shapira** and **Jan Youtie**. September, 2006. "Defining the Nanotechnology Domain in a Real Time Technology Assessment." Presentation. Technology Transfer Society Annual Conference, Atlanta, GA.
- 498. **Porter, Alan L.** and Stephen Carley. November, 2010. "Three Generation Research Knowledge Tracking: Publication and Citation Analyses." Demonstration Workshop. American Evaluation Association Conference, San Antonio, TX.
- 499. **Porter, Alan L.**, Tingting Ma and Ying Gao. November, 2011. "Tracking Emergence of Nanotechnology Dye-Sensitized Solar Cells (DSSCs)." Panel Presentation. Nanotechnology, Innovation, and Commercialization: Learning about a Technology Cycle through Patent Data, Patent Statistics for Decision Makers 2011.

- 500. **Porter, Alan L.**, Tingting Ma and Ying Guo. November, 2011. "Patents+ in Newly Emerging Science and Technology: Tracking Emergence of Dye-Sensitized Solar Cells." Presentation. Patent Statistics for Decision Makers, Alexandria, VA.
- 501. **Porter, Alan L.**, Tingting Ma and Ying Guo. June, 2011. "Multiple Perspective Research Profiling: Illustrated for Dye-Sensitized Solar Cells." Proceedings. International Council for Scientific and Technical Information 2011 Summer Conference.
- 502. **Porter, Alan L.**, Ying Guo and Lu Huang. October 12, 2010. "Integrating Patent Analysis with R and D and Business Analyses to Forecast Innovation Prospects: Nano-Enhanced Solar Cells." Presentation. Patent Information Users Group PIUG 2010 Northeast Conference, New Brunswick, NJ.
- 503. **Porter, Alan L.**, Ying Guo, Lu Huang and Douglas K. R. Robinson. November, 2011. "Forecasting Innovation Pathways: The Case of Nano-enhanced Solar Cells." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 504. **Porter, Alan L.**, Ying Guo, Lu Huang and Douglas K. R. Robinson. December, 2010. "Forecasting Innovation Pathways: The Case of Nano-enhanced Solar Cells." Paper Presentation. International Conference on Technological Innovation and Competitive Technical Intelligence, Beijing.
- 505. **Posner, Jonathan** and **Jameson Wetmore**. April, 2009. "Technologies of Distraction: Mobile Phones, iPods, and E-mail." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 506. **Rafols, Ismael** and **Alan L. Porter**. October, 2009. "Interdisciplinary in Nanoscience: What is the Nano Field and how does it Share its Knowledg." Presentation. 2nd Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 507. **Rafols, Ismael**, **Alan L. Porter**, **Jan Youtie** and Li Tang. September, 2008. "Nanotechnology as a Multi-polar Science." Presentation. Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 508. **Rafols, Ismael**, **Alan L. Porter** and **Loet Leydesdorff**. October, 2009. "Science Overlay Maps: A New Tool for Research Evaluation." Presentation. 2009 Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 509. **Rafols, Ismael**, **Alan L. Porter** and **Loet Leydesdorff**. 2009. "The Use of Global Maps of Science in Management and Policy Contexts." Presentation. Accepted. ENID Indicators Conference 2010.
- 510. **Rafols, Ismael**, **Alan L. Porter** and **Martin Meyer**. September, 2009. "A Model of Interdisciplinarity in Nanotechnology: How Local Knowledge Integration Links a Globally Fragmented Field." Presentation. SNET Conference.
- 511. **Rafols, Ismael**, **Martin Meyer**, Jung-Hwan Park and **Alan L. Porter**. August, 2008. "The Cognitive Geography of Nanotechnologies: Location and Knowledge Flows of Nano-Research in the Map of Science." Presentation. Presented at Society for Social Studies of Science (4S), Rotterdam, the Netherlands.

- 512. Raman, Sujatha. March 24, 2015. "What would it take to Materialize Energy? The Role of Responsible Innovation. Energy & Society Brown Bag Talk. Tempe, AZ.
- 513. Raman, Sujatha. January 21, 2015. Making Antimicrobial Resistance Public: Apprehension, Stewardship & Innovation in Health-Care's Version of Global Warming." CNS-ASU Occasional Speaker Series. Tempe, AZ.
- 514. Raman, Sujatha. October 8-9, 2014. "Making Green Growth Public, Imagining Responsible Innovation." Presentation. Unpacking Green Growth, Global Systems Science Transnational Conference. Phoenix, AZ.
- 515. **Randles, Sally** and **Jan Youtie**. November, 2011. "Responsible Innovation and Responsible Governance." Roundtable Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 516. **Randles, Sally**. October 22, 2013. "Toward an Institutionalist Sociology of Responsible Innovation." Presentation. CNS-ASU Occasional Speaker Presentation. Center for Nanotechnology in Society. Arizona State University. Tempe, AZ.
- 517. Reifschneider, Kiera and Michael J Bernstein. August 10-15, 2014. "Science Outside the Lab: Reporting on a Science Policy Education Intervention." Poster. Science & Technology Policy Gordon Research Conference: Systems Approaches to Research and Practice, Waterville Valley, NH.
- 518. **Rittmann, Bruce** and **Dawn Schwenke**. September 18, 2009. "Ending Age-Related Disease: How Will Our Lives Change if we're Healthier Longer." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 519. **Robert, Jason S.** January, 2009. "Technology and Human Enhancement: Whats the Connectio." Presentation. Midwestern University, Glendale, AZ.
- 520. **Robert, Jason S.** June, 2007. "Braving the Brain." Presentation. Canadian Bioethics Society, Toronto, Canada.
- 521. **Robert, Jason S.** May, 2007. "Cyborgs, Ratbots, and Bionic Humans: Wiring Brains to Machines." Presentation. Discovery Center, Halifax, Nova Scotia, Canada.
- 522. **Robert, Jason S.** May, 2007. "Neural Interface Systems: Ethical and Conceptual Issues at the Frontier of Brain Repair." Presentation. Neuroethics Program, Stanford Center for Biomedical Ethics, Palo Alto, CA.
- 523. **Robert, Jason S.** April, 2007. "Problematizing Enhancement." Presentation. Dartmouth College, , N, Hanover, NH.
- 524. **Robert, Jason S.** February, 2007. "Braving the World of Neurotechnology." Presentation. Health Law Institute Seminar Series, Dalhousie University, Nova Scotia, Canada.
- 525. **Robert, Jason S.** October, 2006. "Brain Repair and Neural Enhancement." 4S Conference (Society for Social Studies of Science), Vancouver, Canada.

- 526. **Robert, Jason S.** October, 2006. "Nanotechnology, Neurotechnology, and Society." Presentation. Institute of Nanotechnology Symposium, Northwestern University, Evanston, IL.
- 527. **Robert, Jason S.** October, 2006. "Forbidden Science Boundaries on New Emerging Science and Technology." Presentation. Jewish Women's Symposium, Tempe, AZ.
- 528. **Robert, Jason S.** August, 2006. "Controversial Science, Controversial Scientist." Presentation. NABIS Conference, Chicago, IL.
- 529. **Rogers, Juan D.** November 05, 2011. "Program Level Assessment of Outcomes and Impacts of Research Performance of Centers." Session. Evaluation of a Nano Science and Technology Centers Program: Mixed Methods Approach to Assessing Its Realization of Policy Objectives, American Evaluation Association.
- 530. **Rogers, Juan D.** December, 2010. "Publication Patterns and Collaborative Work at NSECs." Presentation. 2010 NSF Nanoscale Science and Engineering Grantees Conference, Arlington, VA.
- 531. **Rogers, Juan D.** October, 2009. "Nanotechnology Research Centers: What Value do they add? What Values do they Operate on." Presentation. 2nd Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 532. **Rogers, Juan D.**, **Jan Youtie** and Luciano Kay. November, 2011. "Commercialization Patterns of Nanoscale Science and Engineering Centers: The Cafe of Polymer v. Clean-Room based Technology." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 533. **Rogers, Robert P. Jr.** June, 2008. "Research Centers as Policy Tools in Emerging Technologies: Scientific and Technical Human Capital in Nanotechnology Centers in the U.S." Presentation. Chinese Academy of Sciences, Beijing, China.
- 534. **Rogers, Robert P. Jr.** April, 2007. "The Role of Research Centers in the US Nanotechnology Initiative." Presentation. Workshop on Social Dimensions of Nanotechnology, Paris, France.
- 535. **Roland, Kenneth** and **Antonio Garcia**. September 16, 2011. "Vaccines: Can they give us a Disease-Free World." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 536. Runge, Kristin R., Sara K. Yeo, Dominique E. Brossard, Dietram A. Scheufele and Michael A. Xenos. May, 2013. "God, Money, Politics, and Science: The Role of Religion, Conservative Economic and Liberal Social Attitudes on Perception of Science in the Last Weeks of the 2012 U.S. Presidential Election." Paper Presentation. The Annual Convention of the American Association for Public Opinion Research, Boston, MA.
- 537. Rushforth, Richard and **Rider W. Foley**. May 19-22, 2014. "Nanotechnology versus the Dragon: CVOC Contaminated Groundwater and the Socially Contested M52 Superfund Site." Presentation. Ninth International Conference on Remediation of Chlorinated and Recalcitrant Compounds. Monterey, CA. May 19-22.

- 538. **Samuelson, Hava** and **Braden Allenby**. April 16, 2010. "Upgrading Ourselves: Can Technology Make Us Better." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 539. **Sarewitz, Daniel.** October, 2008. "Paths to Outcomes Based Innovation Policy." Presentation. National Institutes of Health Science of Science Management Meeting, Bethesda, MD.
- 540. **Sarewitz, Daniel**. September, 2008. "Science Policy and Innovation." Presentation. Presidential Council of Advisors on Science and Technology, Washington, DC.
- 541. **Sarewitz, Daniel**. November 26, 2007. "New Tools for Science Policy Making." Presentation. Harvard University, Science, Technology, and Society Circle, Cambridge, MA.
- 542. **Sarewitz, Daniel**. October, 2007. "Anticipatory Governance of Emerging Technologies: Competing Values, Irreducible Uncertainties, and Transformation Innovation." Presentation. University of Oviedo, Oviedo, Spain.
- 543. **Sarewitz, Daniel**. October, 2007. "Technology and Effectiveness in Contested Political Settings, Center for Research on Energy, Environment, and Transportation." Presentation. CIEMAT, Madrid, Spain.
- 544. **Sarewitz, Daniel**. April 16, 2007. "Political Effectiveness in Science and Technology." Presentation. Workshop on Science and Social Values, Center for Interdisciplinary Research, Bielefeld University, Bielefeld, Germany.
- 545. **Sarewitz, Daniel**. March, 2007. "Connecting Research to Social Outcomes." Presentation. Presentation to the University of Nebraska Board of Regents, Lincoln, NE.
- 546. **Sarewitz, Daniel**. January, 2007. "Ways of Knowing Novel Materials, Symposium on Environmental Effects of Novel Materials and Processes." Presentation. Royal Commission on Environmental Pollution, London, England.
- 547. **Sarewitz, Daniel**. August, 2006. "Policy Perspectives." Panel. Meta-Analysis: Emerging Themes in Science Policy. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 548. **Sarewitz, Daniel**. February, 2006. "Tools for Goldilocks: Rethinking the Relationships among Research, Funding, and Progress." Presentation. AAAS Annual Meeting, Symposium on The Goldilocks Dilemma Facing Science Funding: Can it be Just Righ, St. Louis, MO.
- 549. **Sarewitz, Daniel** and **Roy Curtis**. May 18, 2007. "Forbidding Science: Are There Things We Just Shouldn't Know." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 550. **Scheufele, Dietram A.** February 15, 2014. "Trust Deficit Models: The New Dead End for Communicating Controversial Science?" Presentation. American Association for the Advancement of Science (AAAS). Chicago, IL.
- 551. **Scheufele, Dietram A.** February 1, 2014. "Communicating Science in New Information Environments: Challenges at the Interface of Science, Politics and Media." Presentation. Keynote at DGPuK/Universität Zürich Conference. Zürich, Switzerland.

- 552. **Scheufele, Dietram A.** January 17, 2014. "Why Polarized Debates used to be Good for us." Presentation. TEDxUWMadison. Madison, WI.
- 553. **Scheufele, Dietram A.** December 5, 2013. "The Science of Communicating Nanoscience." Presentation. Keynote Presentation at NSF Nanoscale Science and Engineering Grantees Conference. Arlington, VA.
- 554. **Scheufele, Dietram A.** November 26, 2013. "Trollish Behavior and the Future of Online Comments." Presentation. Panelist at Society of Professional Journalists. Madison, WI.
- 555. **Scheufele, Dietram A.** November 15, 2013. "A Brave New (Online) World: Emerging Technologies at the Intersection of Science, Policy, and Rapidly Changing Media Environments." Presentation. Neuroscience & Public Policy Program, Kavli Lecture. Madison, WI.
- 556. **Scheufele, Dietram A.** October 7, 2013. "From Behavior Change Research to Program Design." Presentation. Association of Energy Services Professionals. Madison, WI.
- 557. **Scheufele, Dietram A.** September 23, 2013. "Science Communication as Political Communication." Presentation. Sackler Colloquium on the Science of Science Communication II. National Academy of Sciences. Washington, DC.
- 558. **Scheufele, Dietram A.** July 9, 2013. "Public Engagement with Science." Presentation. Webinar for Association of Science-Technology Centers (ASTC). Washington, DC.
- 559. **Scheufele, Dietram A.** June 20, 2013. "The Future of (Environmental) Communication as a Discipline." Presentation. Panelist at International Communication Association Annual Conference. London, England.
- 560. **Scheufele, Dietram A.** May 20, 2013. "Public Engagement in Science (Policy): Opportunities and Dead Ends." Presentation. Annual Conference on Governance of Emerging Technologies: Law, Policy, and Ethics. Sandra Day O'Connor College of Law. Arizona State University. Tempe, AZ.
- 561. **Scheufele, Dietram A.** May 9, 2013. "Barriers to Addressing Our Climate and Energy Challenges." Presentation. Panelist at Wisconsin Academy of Science, Arts & Letters. Madison, WI.
- 562. **Scheufele, Dietram A.** May 3, 2013. "A Brave New (Online) World: Emerging Technologies at the Intersection of Science, Policy, and Rapidly Changing Media Environments." Presentation. Emerging Technologies at the Intersection of Science, Policy, and Rapidly Changing Media Environments. Arizona State University. Tempe. AZ.
- 563. **Scheufele, Dietram A.** 2013. "Communicating Science in Social Settings." Presentation. Proceedings of the National Academy of Sciences.
- 564. **Scheufele, Dietram A.** March, 2009. "Public Understanding of and Attitudes toward Nanotechnology: An Overview." Presentation. Presented at the Nanotechnology and Public: Data for Decision Makers briefing to the Congressional Nanotechnology Caucus, Washington, DC.

- 565. **Scheufele, Dietram A.** February, 2008. "A Comparative Look at Markets, Media, and Emerging Attitudes about Nanotechnology." Panel. The Annual Convention of the American Association for the Advancement of Science, Boston, MA.
- 566. **Scheufele, Dietram A.** February, 2008. "Engaging Religious Audiences on Nanotechnology." Presentation. Annual Convention of the American Association for the Advancement of Science, Boston, MA.
- 567. **Scheufele, Dietram A.** May, 2007. "Public Perceptions and Understanding of Nanotechnology." Presentation. Center for Nanoscale Science and Technology (CNST) Nanotechnology Workshop, University of Illinois, Urbana-Champaign, IL.
- 568. **Scheufele, Dietram A.** March 16, 2007. "Public Perceptions and Understandings of Nanotechnology." Presentation. Nano and Giga Challenges in Electronics and Photonics conference, Tempe, AZ.
- 569. **Scheufele, Dietram A.** March 08, 2007. "Risky Business? Risk Perception & Nano Business." Panel. Symposium, Illinois Institute of Technology, Center on Nanotechnology and Society, Chicago, IL.
- 570. **Scheufele, Dietram A.** January 30, 2007. "How Media and Audiences Make Sense of Scientific Issues: The Case of Nanotechnology." Presentation. CMCIS Research Lecture Series, University of South Carolina, Columbia, SC.
- 571. **Scheufele, Dietram A.** 2007. "Understanding the Opinion and Communication Dynamics Surrounding Nanotechnology." Presentation. Symposium on the Social Studies of Nanotechnology, University of Pennsylvania, Wharton School of Business & Chemical Heritage Foundation, Philadelphia, PA.
- 572. **Scheufele, Dietram A.** 2006. "Influences on Public Opinion about Nanotechnology." Presentation. Public Participation in Nanotechnology & Nanoscale Science workshop, National Nanotechnology Coordination Office, Washington, DC.
- 573. **Scheufele, Dietram A.** 2006. "It's Not All About Information: Exploring People's Attitudes Toward New Technologies." Lecture. Science, Democracy, and Public Policy colloquium, La Follette School of Public Affairs, University of Wisconsin, Madison, WI.
- 574. **Scheufele, Dietram A.** 2006. "Public Communication and Policy Making About Nanotechnology." Talk. Nano Workshop for Policy Makers, Materials Research Science and Engineering Center and Engineering Center on Nanostructured Interfaces, University of Wisconsin, Madrid, WI.
- 575. **Scheufele, Dietram A.** 2006. "Successful Public Communication about Nanotechnology." Talk. The Baldwin Nano Workshop for Journalists, Materials Research Science and Engineering Center and Engineering Center on Nanostructured Interfaces, University of Wisconsin, Madison, WI.
- 576. **Scheufele, Dietram A.** 2006. "Successful Public Communication about Nanotechnology." Talk. Integration of Societal Implications into Science workshop, U.S. Department of Energy, Washington, DC.

- 577. **Scheufele, Dietram A., Dominique E. Brossard** and **Kajsa E. Dalrymple**. November 16, 2007. "Whose Voice Matters Most? Public Opinion about the Role of Scientists, Religious Groups, Officials, and Citizens in Public Discourse about Science." Presentation. Annual Convention of the Midwest Association for Public Opinion Research, Chicago, IL.
- 578. **Scheufele, Dietram A.**, **Elizabeth A. Corley, Elliott D. Hillback**, Tsung-Jen Shih, **Sharon Dunwoody** and **David H. Guston**. October 13, 2007. "Nano Attitudes among Scientists and the Public." Presentation. Annual Convention of the Society for Social Studies of Science, Montreal, Canada.
- 579. Scheufele, Dietram A., Elizabeth A. Corley, Tsung-Jen Shih, Kajsa E. Dalrymple and Shirley S. Ho. November, 2008. "Public Opinion Dynamics Surrounding Emerging Technologies in Europe and the U.S." Presentation. Annual convention of the Midwest Association for Public Opinion Research.
- 580. Schuurbiers, Daan. May 04, 2009. "In and Out of the Lab." Lab Meeting. Center for Bioenergy and Photosynthesis, Arizona State University, Tempe, AZ.
- 581. Schuurbiers, Daan. January 19, 2009. "Bugs in the Petri Dish and Beyond Results from a Midstream Modulation Study in a Microbiology Lab in Delft." Presentation. STIR Workshop 1: Constructing Foundations, Tempe, AZ.
- 582. Schuurbiers, Daan. January 17, 2009. "Can Shadows Shed Light." Presentation. STIR Workshop 1: Constructing Foundations, Tempe, AZ.
- 583. Schuurbiers, Daan. January 15, 2009. "Midstream Modulation as Part of a PhD on Social Responsibility in Science." Presentation. CNS All Hands Meeting, Tempe, AZ.
- 584. Schuurbiers, Daan. September 19, 2008. "Of Social Responsibility and Scientific Practice Midstream Modulation in Two Microbiology Laboratories." Presentation. CSG Workshop "Doing Society and Genomics", Nijmegen, The Netherlands.
- 585. **Seager, Thomas P., Diane Gruber** and **David Uhlman**. November 18, 2011. "Will Our Products Last? Or is it Just a Thing of the Past." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 586. **Selin, Cynthia**. 2013. "Mediating Urban Imaginaries: Designing and Doing the Futurescape City Tours." Presentation. Society for the Social Studies of Science. San Diego, CA.
- 587. **Selin, Cynthia**. February, 2012. "Materializing Futures: How Artifacts, Prototypes and Objects Support Public Deliberation of Alternative Futures." Presentation. ASU School of Public Affairs Colloquium series, Tempe, AZ.
- 588. **Selin, Cynthia**. December, 2011. "Climate of Uncertainty: Civic Scenarios for Decision Making." Presentation. New Tools for Science Policy, CSPO, Washington, DC.
- 589. **Selin, Cynthia**. December, 2011. "Museums as Mediums for Engaging Citizens in Climate Change Adaptation Scenario Planning." Presentation. Dupont Summit 2011: Pressing Issues, Little Time, Washington, DC.

- 590. **Selin, Cynthia**. July, 2011. "Urban Foresight: Rethinking Technology in Complex Systems." Invited Talk. Joint Research Centre, European Commission, Ispra, Italy.
- 591. **Selin, Cynthia**. May, 2011. "Diagnosing Futures: How Scenarios Support Reflexive Governance of Socio-Technical Systems." Presentation. School of Sustainability. Future Scenarios of Nanotechnology. Society for the Study of Nanotechnology and Emerging Technologies, Tempe, AZ.
- 592. **Selin, Cynthia**. May, 2011. "Futuring and Foresight in Nanotechnology." Presentation. CNS Private Sector Engagement Workshop, Tempe, AZ.
- 593. **Selin, Cynthia**. March 14, 2011. "Rethinking Urban Governance: Knitting together Foresight and Sustainability." Presentation. Resilience, Innovation and Sustainability: Navigating the Complexities of Global Change, Tempe, AZ.
- 594. **Selin, Cynthia**. March, 2011. "Scenaric Thinking and Earth Systems Engineering and Management: A Generative Dialogue." Presentation. CESEM Distinguished Lecture Series, Arizona State University, Tempe, AZ.
- 595. **Selin, Cynthia**. March, 2011. "Diagnosing Futures: How Scenarios Support Reflexive Governance of Socio-Technical Systems." Presentation. School of Sustainability Brown Bag, Arizona State University, Tempe, AZ.
- 596. **Selin, Cynthia**. December, 2010. "Plausibility Reasoning and Nanotechnology Futures." Presentation. Society for Risk Analysis Annual Conference, Salt Lake City, UT.
- 597. **Selin, Cynthia**. November, 2010. "Foresight and Innovation." Presentation. Practices of Anticipatory Governance Workshop, Arizona State University, Tempe, AZ.
- 598. **Selin, Cynthia**. October, 2010. "Foresight and Scenarios." Presentation. Nanoscale Informal Science Education Network Annual Meeting, San Francisco, CA.
- 599. **Selin, Cynthia**. September, 2010. "Plausibilistic Reasoning in Nanotechnology Futures." Presentation. Society for the Study of Nanotechnology and Emerging Technologies, Darmstadt, Germany.
- 600. **Selin, Cynthia**. August, 2010. "Nanotechnology & Plausibility." Presentation. Society for the Social Studies of Science, Tokyo, Japan.
- 601. **Selin, Cynthia**. July, 2010. "The Future of Sustainable Phoenix." Presentation. Institute for the Future, Palo Alto, CA.
- 602. **Selin, Cynthia**. May, 2010. "The Future of Organizing." Presentation. Organization Design Forum Annual Meeting, Denver, CO.
- 603. **Selin, Cynthia**. April, 2010. "The Future of Nanotechnology." Presentation. Nanotechnology Law and Policy Course, Arizona State University, Tempe, AZ.

- 604. **Selin, Cynthia**. March, 2010. "Anticipation and Foresight." Presentation. International Study of the Long-term Impacts and Future Opportunities for Nanoscale Science and Engineering Worksho, Chicago, IL.
- 605. **Selin, Cynthia**. March, 2010. "Envisioning Solar To Fuels." Workshop on Energy Futures, Policy and Society. Arizona State University, Tempe, AZ.
- 606. Selin, Cynthia. November, 2009. "Plausibility." ASU Plausibility Workshop, Tempe, AZ.
- 607. **Selin, Cynthia**. October, 2009. "Diagnosing Futures." Presentation. Society for the Social Studies of Science, Washington, DC.
- 608. **Selin, Cynthia**. September, 2009. "Deliberation and Anticipation." Presentation. Society for the Study of Nanoscience and Emerging Technologies, Seattle, WA.
- 609. **Selin, Cynthia**. June, 2009. "Anticipation and Deliberation on the Nano City." Risoe National Laboratory, Denmark.
- 610. **Selin, Cynthia**. April, 2009. "Using Scenarios and Foresight to Manage Turbulence." Presentation. Organizational Design Forum, Tacoma, WA.
- 611. **Selin, Cynthia**. May, 2008. "Managing the Uncertainty of Nanotechnologies." Panel. Challenges to Law, Ethics, and Policy MakingConference at University of Padua, Padua, Italy.
- 612. **Selin, Cynthia**. February, 2008. "Evidencing the Future and other Dilemmas Working in the Future Tense." Presentation. Anthropology Department, Rice University, Houston, TX.
- 613. **Selin, Cynthia**. October 12, 2007. "Between Hope and Prudence: Experiments with Scenaric Learning." Presentation. Society for the Social Studies of Science, Annual Meeting, Montreal, Canada.
- 614. **Selin, Cynthia**. October, 2007. "The Future Tense: The Ways and Means of Anticipation." Presentation. CSPO Enlightening Lunch, Tempe, AZ.
- 615. **Selin, Cynthia**. September, 2007. "The Future of Nano & Bio Technologies." Panel. CRN conference on Challenges & Opportunities, Tucson, AZ.
- 616. Selin, Cynthia. July, 2007. "Real Time Technology Assessment: Anticipation, Integration, & Engagement." Presentation. Program on Technology Scenarios, Risoe, National Laboratory, Roskilde, Denmark.
- 617. Selin, Cynthia. April, 2007. "Hope and Prudence: Experiments in Scenaric Learning." Presentation. Futures of Life: Acquiring and Creating Anticipatory Knowledge, Cornell University, Ithaca, NY.
- 618. Selin, Cynthia. March 23, 2007. "Anticipatory Governance through Scenarios." Presentation. Workshop on Global Environmental Futures: Interrogating the Practice and Politics of Scenarios, Watson Institute for International Studies, Brown University, Providence, RI.

- 619. Selin, Cynthia. September, 2006. "The Center for Nanotechnology in Society." Presentation. NanoTX Conference, Dallas, TX.
- 620. Selin, Cynthia and Ira Bennett. November 19, 2006. "Visions of Nanotechnology." Talk. CNS-ASU Science Cafe, Changing Hands Bookstore, Tempe, AZ.
- 621. **Selin, Cynthia**, Kathryn de Ridder-Vignone and **David Tomblin**. June 13, 2014. "Deliberating Differently: The Futurescape City Tours." Presentation. CSPO New Tools for Science Policy Breakfast Seminar.
- 622. **Selin, Cynthia**, Kathryn de Ridder-Vignone and Gretchen Gano. 2013. "Incorporating the Temporal, Sensual and Material in Public Engagement with Nanotechnology." Presentation. Science and Its Publics: Exploring Emergent Forms of Public Engagement. University of California, Irvine.
- 623. **Selin, Cynthia** and **Prasad Boradkar**. 2013. "Prototyping Nanotechnology: Experiences with Design Education." Presentation. Invited talk, Technology and Innovation Management Research Seminar. Danish Technological University.
- 624. **Selin, Cynthia**, Sarah R. Davies, Gretchen Gano and **Angela Pereira**. December, 2010. "Material Deliberation: Tapping the Dilemmas of Water, Technology, and the City." Presentation. Spaces and Flows Conference, University of California, Los Angeles, CA.
- 625. **Selover, Nancy** and **Ray Quay**. October 21, 2011. "Will Arizona's Climate Change Leave us Thirsty." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 626. **Selover, Nancy** (Presenter) and Rider W. Foley (Moderator). October 17, 2013. "Citizen Science: the Community Collaborative Rain, Hail & Snow Network." Presentation. CNS-ASU Science Cafe. Arizona Science Center. Phoenix, AZ.
- 627. Shanley, Lea A. September, 2006. "Control and Access: GIS Legal Issues for Indian Nations in the United States." Presentation. URISA 2006 Annual Conference, Chicago, IL.
- 628. Shanley, Lea A. June, 2006. "Privacy and Security: Internet Publication of Digital Spatial Data and Land Records in Wisconsin." Presentation. Presentation at WLIA Regional Meeting on Privacy, Copyright, Data Distribution and GIS Law, Elkhart Lake, WI.
- 629. Shanley, Lea A. and Steve J. Ventura. August, 2007. "Land Records and Map Services: Internet Privacy Policies in Wisconsin." for URISA 2007Annual Conference, Chicago, IL.
- 630. **Shapira, Philip**. November 21, 2012. "Nanotechnology: Trajectories and Policies, Challenges in Science, Technology and Innovation Policy." Presentation. Executive Program, University of Manchester, United Kingdom.
- 631. **Shapira, Philip**. October 19, 2012. "The Future of Nanotechnology: History, Status, and Prospects." Presentation. OECD-HSE International Conference, Foresight for Innovative Responses to Grand Challenges, Moscow, Russia.

- 632. **Shapira, Philip**. March 27, 2012. "The Economic Contributions of Nanotechnology to Green and Sustainable Growth"." Presentation. OECD/NNI International Symposium on assessing the Economic Impact of Nanotechnology, Washington, DC.
- 633. **Shapira, Philip**. October 14, 2011. "The Emergence of Distributed Technology Assessment in the USA, Research Workshop: Foresight and Science, Technology and Innovation Policies: Best Practices." Panel. Policy Instruments for Science, Technology and Innovation (Evaluation of Science and Technology Policies). National Research University Higher School of Economics, Moscow.
- 634. **Shapira, Philip**. March 29, 2011. "Trajectories of Nanotechnology Research and Innovation." Presentation. Grenoble Ecole de Management's Winter School on Emerging Nanotechnologies, Autrans, France.
- 635. **Shapira, Philip**. December, 2010. "Trajectories of Nanotechnology Research and Innovation." Presentation. 2010 NSF Nanoscale Science and Engineering Grantees Conference, Arlington, VA.
- 636. **Shapira, Philip**. October 01, 2010. "Innovation System Dynamics and the Globalization of Nanotechnology Innovation." Presentation. S.NET Conference 2010, Darmstadt, Germany.
- 637. **Shapira, Philip**. March, 2010. "Nanotechnology Innovation and Commercialization." Panel. Innovative and Responsible Governance to Address Grand Challenges of Human Development, Workshop on the Long-term Impacts and Future Opportunities for Nanoscale Science and Engineering (NANO2), Chicago, IL.
- 638. **Shapira, Philip**. June, 2009. "Anticipating Nanotechnology: Applying Real-Time Technology Assessment to Develop Strategic Insights for Nanotechnology Research and Innovation." Seminar. Centre for Self Organising Molecular Systems (SOMS), University of Leeds, UK.
- 639. **Shapira, Philip**. May, 2009. "From Lab to Market: Pathways of Research Commercialization in Nanotechnology Firms in China." Presentation. Colloquium on Nanotechnology Innovation and Commercialization in China, Manchester, UK.
- 640. **Shapira, Philip**. April, 2009. "State Models for Supporting Emerging Nanotechnology." Presentation. Workshop on Regional, State and Local Initiatives in Nanotechnology, National Nanotechnology Initiative, Oklahoma City, OK.
- 641. **Shapira, Philip**. March, 2009. "Anticipating Nanotechnology: Real-Time Technology Assessment of Research and Innovation Systems." Presentation. School of Management and Economics, Knowledge Management and Data Analysis Laboratory, Beijing Institute of Technology, Beijing, China.
- 642. **Shapira, Philip**. March, 2009. "Anticipating Nanotechnology: Real-Time Technology Assessment and the Center for Nanotechnology in Society." Presentation. Institute for Future Technology (IFTECH), Tokyo, Japan.
- 643. **Shapira, Philip**. March, 2009. "Emergence of Distributed Technology Assessment in the USA: From OTA to the Center for Nanotechnology in Society." Presentation. International Workshop on Innovation and Institutionalization of TA in Japan, I2TA, University of Tokyo, Tokyo, Japan.

- 644. **Shapira, Philip**. June 20, 2007. "Nanotechnology in Society: Research and Innovation Systems Program Assessment." Presentation. Beijing Institute of Economic Management, Chinese Academy of Science, June 19, 2007; and at Institute of Policy and Management, Chinese Academy of Sciences, Beijing, China.
- 645. **Shapira, Philip**. February, 2007. "Societal Assessment of Nanotechnology U.S. Experience." Presentation. Symposium on Nanotechnology by the Ministry of Research, Science and Technology at the Advanced Materials and Nanotechnology (AMN-3) 2007 Conference, Wellington, New Zealand.
- 646. **Shapira, Philip** and **Alan L. Porter**. March 23, 2009. "Nanotechnology: Will it Drive a New Innovation Economy for the US." Presentation. Project on Emerging Nanotechnologies, Woodrow Wilson International Center for Scholars, Washington, DC.
- 647. **Shapira, Philip** and **Alan L. Porter**. September, 2005. "Mapping the Nanotechnology Enterprise." Presentation. American Political Science Association Annual Meeting, Washington, DC.
- 648. **Shapira, Philip**, **Alan L. Porter** and **Jan Youtie**. August, 2006. "Refining Search Terms for Nanotechnology." Presentation. Presented at the National Science Foundation, Arlington, VA.
- 649. **Shapira, Philip**, **Alan L. Porter**, **Jan Youtie** and Li Tang. September, 2008. "Nanotechnology Questions, Methods, Metrics and Results: CNS." Presentation. Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 650. **Shapira, Philip** and **David H. Guston**. March, 2007. "Societal Assessment of Nanotechnology US Experience." Presentation. Ministry of Research, Science and Technology, Wellington, New Zealand.
- 651. **Shapira, Philip** and **Jan Youtie**. January, 2013. "Research and Innovation Systems Assessment of Emerging Technologies." Co-taught Modules. CNS Winter School on Anticipatory Governance of Emerging Technology, Mesa, AZ.
- 652. **Shapira, Phili**p and **Jan Youtie**. December 07, 2012. "Interpreting Trajectories of Nanotechnology Research and Innovation (and, is there a "Nanotechnology Paradox?")." Presentation. Center for Nanotechnology in Society at Santa Barbara, Santa Barbara, CA.
- 653. **Shapira, Philip** and **Jan Youtie**. January, 2011. "RTTA 1 Research Program Assessment." Presentation. Center for Nanotechnology in Society at ASU, Tempe, AZ.
- 654. **Shapira, Philip** and **Jan Youtie**. March, 2010. "Transatlantic Workshop on Nanotechnology Innovation and Policy." Presentation. Transatlantic Workshop on Nanotechnology Innovation and Policy, Atlanta, GA.
- 655. **Shapira, Philip** and **Jan Youtie**. May, 2008. "What's New about Emerging Metropolitan Nanodistricts in the United States and Europe? Characteristics of Research and Commercialization." Presentation. The NBER Conference on Emerging Industries: Nanotechnology and NanoIndicators, Cambridge, MA.

- 656. **Shapira, Philip**, **Jan Youtie** and **Alan L. Porter**. November 11, 2011. "Trajectories of Global Nanotechnology Commercialization." Presentation. IGERT Seminar, Georgia Institute of Technology, Atlanta, GA.
- 657. **Shapira, Philip**, **Jan Youtie** and Luciano Kay. October, 2009. "Global Developments in Nanotechnology Commercialization." Presentation. 2nd Manchester International Workshop on Nanotechnology, Society and Policy, Manchester, UK.
- 658. **Shapira, Philip**, **Jan Youtie** and Sanjay Arora. November, 2011. "Probing Early Patterns of Commercialization in Graphene." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 659. **Shapira, Philip** and Jue Wang. April, 2008. "From Lab to Market: Strategies and Issues in the Commercialization of Nanotechnology in China." Presentation. Panel on Cultures Meet Technology: New Approaches to Innovation and Economic Development in Asia and the West, Association for Asian Studies, 2008 Annual Meeting, Atlanta, GA.
- 660. Shih, Tsung-Jen, **Dietram A. Scheufele** and **Elizabeth A. Corley**. June, 2010. "Exploring Item Non-Response in Public Opinion Surveys about Nanotechnology: Evidence from 21 Countries." Presentation. Annual Convention of the International Communication Association, Singapore.
- 661. Shih, Tsung-Jen, **Dietram A. Scheufele** and **Elizabeth A. Corley**. June, 2010. "A Multilevel Model of Risk and Benefit Perception." Presentation. Annual Convention of the International Communication Association, Singapore.
- 662. Simis, Molly J. May 2014. "Predicting Adherence to the Defecit Model: Research I Scientists' Perceptions of How Lay Individuals Form Attitudes toward Nanotechnology." Presentation. 13th International Public Communication of Science and Technology Conference. Salvador, Brazil.
- 663. **Slade, Catherine**. December 04, 2009. "Public Values in Nanomedicine." Presentation. The Dupont Summit on Science and Technology Policy. "The New Administration Challenges on Science and Technology: Staying the Course in Times of Crisis." Policy Studies Organization, Carnegi, Washington, DC.
- 664. **Slade, Catherine**, Derrick Anderson, **Erik Fisher** and **Barry Bozeman**. August, 2009. "Public Value Mapping of Nanotechnology: A Developing Approach for Tracking Public and Social Values in Science and Innovation Policies." Presentation. Annual Meeting of the American Sociological Association. August 7-11, 200, San Francisco, CA.
- 665. **Sommerfield, Milton R.**, **Mark Edwards** and **David Conz**. January 15, 2010. "Bugs for Fuels: Microbes in out Energy Future." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix. AZ.
- 666. Soumonni, Ogundiran, **Susan Cozzens**, **Thomas Woodson**, et al. November, 2011. "Assessing Equity and Equality in South Africa's Nanotechnology Initiative." Presentation. *Society for the Study of Nanoscience and Emerging Technologies (S.NET) Meeting*, Tempe, AZ.

- 667. Soumonni, Diran. February, 2012. "Assessing South Africas Nanotechnology Strategy: What Role for the Private Sector in Pro-Poor Innovation." Presentation. Workshop on Original Policy Research (WOPR) seminar in the School of Public Policy, Atlanta, GA.
- 668. Soumonni, Ogundiran. August, 2012. "Nanotechnology and Renewable Energy Development in China and South Africa: Bridging the Gap between Research and Innovation." Presentation. Globelics Doctoral Academy, Rio de Janeiro, Brazil.
- 669. Soumonni, Ogundiran and **Susan Cozzens**. September 26-28, 2013. "Innovation in Nanotechnology for Renewable Energy Applications: A Comparative Analysis of South Africa and the U.S.A." Presentation. Atlanta Conference on Science and Innovation Policy. School of Public Policy. Georgia Institute of Technology. Atlanta, GA.
- 670. **Stone, Anne** and **William H. Kimbel**. September 17, 2010. "Who Are You Calling Neandertal? Tracing Our Ancient Ancestors." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 671. **Strumsky, Deborah**. November 16, 2011. "How Green is Nano." Presentation. The Institute for Operations Research and the Management Sciences (INFORMS) Annual Conference, Charlotte, NC.
- 672. Su, Leona Yi-Fan, **Ashley A. Anderson**, **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. May, 2012. "Public Opinion and Uncertain Science: Exploring the Dynamics behind Real and Perceived Expertise Gaps in Nanotechnology." Paper Presentation. The Annual Conference of the American Association for Public Opinion Research, Orlando, FL.
- 673. Su, Leona Yi-Fan, **Dietram A. Scheufele**, **Dominique E. Brossard** and **Michael A. Xenos**. August, 2012. "Seeking Information about Complex Science: The Interplay of Risk-Benefit Perceptions and Prior Knowledge." Paper Presentation. The Annual Convention of the Association for Education in Journalism and Mass Communication, Chicago, IL.
- 674. Su, Leona Yi-Fan, **Dominque E. Brossard**, Ashley A. Anderson and **Dietram A. Scheufele**. April, 2012. "Audience Tectonics: Implications of Changing News Environments for Public Understanding of Science." Paper Presentation. The Annual Convention of the International Network on Public Communication of Science and Technology (PCST), Florence, Italy.
- 675. Su, Leona Yi-Fan, Heather E. Akin, **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. Under review, "Science Audience Tectonics: News Consumption Pattern and its Implication for Public Understanding." Paper Presentation. The Annual Conference of the Association for Education in Journalism and Mass Communication, Washington, DC.
- 676. Su, Leona Yi-Fan, Michael A. Cacciatore, Dominique E. Brossard, Dietram A. Scheufele, Michael A. Xenos and Elizabeth A. Corley. 2013. "Attitudinal Gap: How Experts and Lay Audiences Form Policy Attitudes toward Controversial Science." Presentation. Association for Public Policy Analysis & Management (APPAM). Washington, DC.
- 677. Su, Leona Yi-Fan, Xuan Liang, Nan Li, **Dietram A. Scheufele**, **Dominique E. Brossard** and **Michael A. Xenos**. May, 2013. "Public Sentiments Online: New Tools of Measurement Combining Human- and Computer-Based Coding." Paper Presentation. The Annual Convention of the American Association for Public Opinion, Boston, MA.

- 678. **Suchman, Mark C.** 2007. "The Implications of Nanotechnology for Social Science and Social Policy." Presentation. Cornell CNF Public Interest Talk Series, Ithaca, NY.
- 679. **Suchman, Mark C.** 2007. "Sharing is (S)caring on the Digital Frontier: The Challenges of Information Technology Governance in Health Care Organizations." Presentation. Cornell Center for the Study of Economy and Society, 2006-2007 Seminar Series on Institutions, Market Processes, and the Firm and to Brown University Department of Sociology Colloquium, Ithaca, NY.
- 680. **Suchman, Mark C.** 2007. "HIT or Miss? The Governance Challenges of Health Information Technology." Presentation. Cornell Law School Faculty Workshop; and to Duke Law School Faculty Workshop, Ithaca, NY.
- 681. **Suchman, Mark C.** 2006. "Taming the Market for Medical Information: Sharing is (S)caring on the Digital Frontier." Presentation. University of California-Irvine Critical Legalities Symposium, Irvine, CA.
- 682. Tang, Li. April, 2008. "Networks of Research Collaboration in China: Evidence from Nanotechnology Publication Activities, 1990-2006." Presentation. Invited Presentation at the University of Maastricht, The Netherlands, Maastricht, the Netherlands.
- 683. Tang, Li. February, 2008. "Nanotechnology Knowledge Networks in China." Presentation. PRIME Nanotechnology Winter School, Grenoble, France.
- 684. Tang, Li. October, 2007. "Networks of Research Collaboration in China: Evidence from Nanotechnology Publication Activities, 1990-2006." Presentation. Atlanta Science and Technology Policy Conference, Atlanta, GA.
- 685. Tang, Li. October, 2007. "New Argonauts & Scientific Networks: Evidence from Chinas Nanotech Publication." Presentation. Atlanta Science and Technology Policy Conference, Atlanta, GA.
- 686. Thoreau, Francois. September 08, 2009. "Integrated Research and Protected Spaces: A New Role for ST." Poster presentation. Society for the Study of Nanoscience and Emerging Technologies, Seattle, WA.
- 687. **Thorpe, Michael** and **Eric Ramsey**. April 20, 2007. "Could a Computer Become Sentient? Reductionism and Emergence in Science." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 688. **Thorpe, Michael** and **Manfred Laubichler**. April, 2007. "Reductionism and Emergence in Science: New versus Old Views of Nature and the Universe." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 689. **Tomblin, David**. In review. June 27, 2014. "Futurescape City Tours and Citizen-driven Deliberations: What are the Possibilities for Generative Justice?." Presentation. Workshop: Generative Justice Values from the Bottom-up.
- 690. **Tomblin, David**. 2014. "The Influence of Demographic Diversity on the Outcomes of Futurescape City Tours: A Multi-site Comparison." Presentation. Dupont Summit on Science Policy 2014.

- 691. Triplican, Dwarakanath R., Benjamin A. Wender, **Thomas P. Seager**, and Matthew P. Fraser. 2013. "Towards Anticipatory Life Cycle Assessment of Photovoltaics." Proceeding of the IEEE Photovoltaics Specialist Conference. Tampa, FL.
- 692. Valdivia, Walter. August, 2008. "Technology, Growth, and Inequality." Poster presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 693. Valdivia, Walter. June, 2008. "Inequality and Nanotechnology." Presentation. Workshop on Inequality and Emerging Technologies, Valleta, Malta.
- 694. Valdivia, Walter. January, 2008. "Science Policy and Inequality." Presentation. First Indo-American Institute of Nano-scale Science and Engineering, Chennai, India.
- 695. Valdivia, Walter. January, 2008. "Science Policy and Inequality: A Research Program." Presentation. NISTADS, New Delhi, India.
- 696. Valdivia, Walter. October, 2007. "Non-Cooperative Games in Science Policy." Presentation. Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 697. Valdivia, Walter. March, 2007. "Anticipatory Governance of Emerging Technologies." Presentation. Science-Society Interface at Universite de Lausanne, Lausanne, Switzerland.
- 698. **Vermaas, Willem**, **Michael White** and **Barry Ritchie**. February 15, 2008. "Evolution and Faith: Room for Both." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 699. Vortherms, Kaitlin. March 28, 2014. "Engineering Empathy". Poster presentation. Living Compassion Conference. Northern Arizona University, Flagstaff, AZ.
- 700. Vortherms, Kaitlin. April 7 & 9, 2014. "Empathy, Awareness and Perspective." Presentation. CEE300 Engineering Business Practices, Arizona State University, Tempe, AZ.
- 701. Vortherms, Kaitlin. March 5, 2014. "Engineering Empathy." Sustainable Engineering & Built Environment, Graduate Symposium, Arizona State University, Tempe, AZ.
- 702. Wang, Jing, **Elizabeth A. Corley** and **Eric Welch**. 2009. "Barriers and Motivators for the Adoption of Public Sector Environmental Management Systems." Paper Presentation. Western Social Science Association.
- 703. Wang, Jue. February, 2008. "From Lab to Market: Strategies and Issues in the Commercialization of Nanotechnology in China." Presentation. Presentation at the National Academy of Sciences, Student Forum on Science and Technology Policy, Washington, DC.
- 704. Wang, Jue. September, 2007. "From Lab to Market: Strategies and Issues in the Commercialization of Nanotechnology in China." Presentation. National Academy of Science, Conference on the Dragon and the Elephant: Understand the Development of Innovation Capacity in China and India, Washington, DC.

- 705. Wang, Jue. September, 2006. "Resource Spillover from Academia to High Tech Industry: Evidence from Nanotech Start-up Enterprises." Presentation. 2006 Technology Transfer Society Conference, Atlanta, GA.
- 706. Wang, Wenping. November 05, 2011. "Analyzing the Effect of Interdisciplinary Research on Patent Evaluation: Case Studies in NBS and DSSCs." Session. Measuring Research Interdisciplinarity and Knowledge Diffusion, American Evaluation Association.
- 707. Wender, Benjamin A., **Thomas P. Seager**, **Rider W. Foley.** November 4-6, 2014. "Anticipatory LCA for Responsible Innovation of Nanotechnology." Presentation. 3rd Sustainable Nanotechnology Organization (SNO) Conference. Boston, MA.
- 708. Wender, Benjamin A. September 2014. "Advancing Life Cycle Assessment for Emerging technologies." Presentation. Institute for Technology Assessment and Systems Analysis (ITAS). Karlsruhe, Germany.
- 709. Wender, Benjamin A. April 2014. "LCA 101 for QESST Scholars." Presentation. Quantum Energy and Sustainable Solar Technologies (QESST) Scholar Symposium. Tempe, AZ.
- 710. Wender, Benjamin A. January 2014. "Sustainable Solar Scenarios." Presentation. CA 101 for QESST Scholars." Presentation. Quantum Energy and Sustainable Solar Technologies (QESST) Workshop. Tempe, AZ.
- 711. Wender, Benjamin A., **Rider W. Foley**, Valentina Prado-Lopez, Dan Eisenberg, Troy Hottle Jathan Sadowski, Dwarak Triplican, **Thomas P. Seager**, **David H. Guston**, and Matt Fraser. May 19-20, 2014. "Anticipatory Life Cycle Assessment for Environmentally Responsible Innovation" International Symposium on Sustainable Systems and Technology. Oakland, CA.
- 712. Wender, Benjamin A. and Dwarakanath R. Triplican. 2013. "Anticipatory Life Cycle Assessment of Photovoltaics." Presentation. Arizona Student Energy Conference (AzSEC). Tucson, AZ.
- 713. Wender, Benjamin A. April 2013. "Social and Technical Barriers and Burdens to TW-Scale PV." Presentation. Graduates in Integrative Society and Environmental Research QESST Sustainability Workshop. Tempe, AZ.
- 714. Wender, Benjamin A. June 2014. "Anticipatory LCA of Photovoltaics." Presentation. Palo Alto Research Center (PARC). Palo Alto, CA.
- 715. Wender, Benjamin A. May 2014. "Life Cycle Assessment: Beyond Compliance." Presentation. Intel Corp. Chandler, AZ.
- 716. Wender, Benjamin A. April 2014. "LCA 101 for PV Engineers." Presentation. EEE 498. Solar Energy. Tempe, AZ.
- 717. Wender, Benjamin A. December 2012. "Anticipatory Life Cycle Assessment of PV Technologies." Presentation. First Scholar Inc. Tempe, AZ.

- 718. Wender, Benjamin A. June 2012. "Life Cycle Assessment for Risk Analysts." Presentation. US Army Corps of Engineers. Concord, MA.
- 719. Wender, Benjamin A. December 2010. "Closing the Anthropogenic Carbon Cycle." Presentation. SOS 324. Sustainable Energy, Materials, and Technology. Tempe, AZ.
- 720. Wender, Benjamin A., Dwarakanath R. Triplican, **Thomas P. Seager** and **Matthew P. Fraser**. 2012. "Anticipatory LCA of Photovoltaics." Presentation. Invited Presentation for First Solar Inc. Tempe, AZ.
- 721. Wender, Benjamin A., Rider W. Foley and **Thomas P. Seager**. November 2013. "Towards Anticipatory Life Cycle Assessment." Presentation. 2nd Sustainable Nanotechnology Organization Conference (SNO). Santa Barbara, CA.
- 722. Wender, Benjamin A., Rider W. Foley, **Thomas P. Seager** and **David H. Guston**. 2013. "Anticipatory Life Cycle Assessment and Responsible Innovation." Presentation. Society for the Study of Nano and Emerging Technologies (S.NET). Boston, MA.
- 723. Wender, Benjamin A., Rider W. Foley, **Thomas P. Seager** and **David H. Guston**. 2012. "Anticipatory Governance and Anticipatory Life Cycle Assessment." Presentation. Poster Presentation at the Gordon Research Symposium on Science and Technology Policy. Waterville Valley, NH.
- 724. Wender, Benjamin A. and **Thomas P. Seager**. 2012. "Anticipatory Life Cycle Assessment." Presentation. Poster Presentation at the International Symposium on Sustainable Systems and Technology. Boston, MA.
- 725. Wender, Benjamin A. November 2014. Anticipatory LCA of Emerging PV Technologies." Presentation at the Society of Environmental Toxicology and Chemistry. Long Beach, CA.
- 726. Wender, Benajamin A. and **Thomas P. Seager**. 2011. "Prospective LCA of Nano-enabled Lithium ion Batteries." Presentation. Society for the Study of Nanoscience and Emerging Technologies (S.NET). Tempe, AZ.
- 727. Wender, Benajamin A. November 2011. "LCA Under Uncertainty: Evaluating the Environmental Impacts of Emerging Technologies." Presentation. Society for the Study of Nanoscience and Emerging Technologies (S.NET). Tempe, AZ.
- 728. Wender, Benajamin A. June 2011. "LCA, Nanotech, and Scale: Assessing the Promise of Photocatalytic Reduction of CO2." Presentation. International Society for Industrial Ecology. Berkeley, CA.
- 729. Wender, Benjamin A. and **Thomas P. Seager**. 2011. "Towards Prospective Life Cycle Assessment: Single Wall Carbon Nanotubes for Lithium-ion Batteries." Presentation. International Symposium on Sustainable Systems and Technologies. Chicago, IL.

- 730. Wender, Benjamin A., **Thomas P. Seager**, **David H. Guston** and **Matthew P. Fraser**. 2013. "Anticipatory LCA for Emerging Nanotechnologies." Presentation. Gordon Research Conference on Nanomaterials for Energy Applications. Ventura, CA.
- 731. **Westerhoff, Paul** and Meredith Gartin. January 21, 2011. "A Drop to Drink: What could Wind Up in Our Water." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 732. **Wetmore, Jameson**. December 1, 2014. "Tools for Sustainable Development of Impoverished Areas." Presentation. Materials Research Society Annual Meeting. Boston, MA.
- 733. **Wetmore, Jameson**. December 1, 2014. "Engaging the Public in Constructive Conversation about the Future of Technology." Presentation. Materials Research Society Annual Meeting. Boston, MA
- 734. Wetmore, Jameson. August 12, 2014. "Social and Ethical Implications of Nanotechnology. Presentation. NNIN Research Experience for Undergraduates Convocation. Atlanta, GA.
- 735. **Wetmore, Jameson**. December 12, 2012. "Engaging the Public in Conversations about Nano and Society." Presentation. NISE Net Network Wide Meeting, Cambridge, MA.
- 736. **Wetmore, Jameson**. November 28, 2012. "Teaching Ethics, Policy and Societal Implications of Research to Scientists and Engineers: Outlining Content." Presentation. Materials Research Society Fall Meeting, Boston, MA.
- 737. **Wetmore, Jameson**. November 08, 2012. "Facilitating Conversations on the Science Museum Floor: Engaging Visitors in the Social Aspects of Science and Technology." Workshop. ESRC Genomics Policy and Research Forum, University of Edinburgh, Scotland.
- 738. **Wetmore, Jameson**. October 24, 2012. "STS Concepts and Educational Approaches for Engaging the Public in Nanotechnology and Society." Presentation. Annual Meeting of the Society for the Study of Nanoscience and Emerging Technologies (S.NET), University of Twente, Twente, the Netherlands.
- 739. **Wetmore, Jameson**. October 08, 2012. "The Need for Local Sensitivities in International Standards." International Workshop. Engineering Ethics for a Globalized World (EGW12), University of Illinois, Champaign, IL.
- 740. **Wetmore, Jameson**. October, 2012. "Whose Nano is it anyways? Exploring the Equity Implications of Nanotechnology through an Interactive Game." Presentation. Annual Meeting for the Society for Social Studies of Science, Copenhagen, Denmark.
- 741. **Wetmore, Jameson**. October, 2012. "STS Engagements with Science Centers: Bringing Broader Implications to the Museum Floor." Panel Organizer. Society for Social Studies of Science, Copenhagen, Denmark.
- 742. **Wetmore, Jameson**. September 25, 2012. "Social Studies of Technology and Religion." Presentation. Nano Impacts Group, University of Notre Dame, Notre Dame, IN.

- 743. **Wetmore, Jameson** September 24, 2012. "Facilitating Reflection on Nanotechnology and Society: Actively Engaging the Public to Think about our Collective Future." Presentation. ND Nano Seminar, University of Notre Dame, Notre Dame, IN.
- 744. **Wetmore, Jameson**. September 24, 2012. "Nanotechnology and Society: Actively Engaging the Public to Think about our Collective Future." Facilitating Reflection. ND Nano Seminar, University of Notre Dame, Notre Dame, IN.
- 745. **Wetmore, Jameson**. September 15, 2012. "World Wide Views on Biodiversity." Facilitator. Arizona State University, Tempe, AZ.
- 746. **Wetmore, Jameson**. August 08, 2012. "Inclusive Innovation for Inclusion Development." Discussion Leader. Gordon Research Conference on Science and Technology Policy, Waterville Valley, NH.
- 747. **Wetmore, Jameson**. August 05, 2012. "Ecology Governance Challenges Presented by Emerging Technologies." Moderator. Science Beyond the Field: a Policy (dis)Orientation Workshop, Portland, OR.
- 748. **Wetmore, Jameson**. March 09, 2012. "A Users Guide to Everyday Technology." Keynote Speech. Issue Day, Maumee Valley Country Day School, Toledo, OH.
- 749. **Wetmore, Jameson**. March 09, 2012. "Amish Technology." Workshop. Issue Day, Maumee Valley Country Day School, Toledo, OH.
- 750. **Wetmore, Jameson**. March 09, 2012. "Nano Equity Game: Whose Nano is it?" Workshop. Issue Day, Maumee Valley Country Day School, Toledo, OH.
- 751. **Wetmore, Jameson**. March, 2012. "Nano Equity Game: Whose Nano is it?" Presentation. Nano and Society training program, Arizona Science Center, Phoenix, AZ.
- 752. **Wetmore, Jameson**. January, 2012. "Nano Equity Game: Whose Nano is it?" Presentation. NISENet Program committee meeting, Oregon Museum of Science & Industry.
- 753. **Wetmore, Jameson**. December, 2011. "Nano Equity Game: Whose Nano is it?" Presentation. Adult Night, Arizona Science Center, Phoenix, AZ.
- 754. **Wetmore, Jameson**. November, 2011. "Equity, Equality, and Responsibility." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 755. **Wetmore, Jameson**. November, 2011. "Congress on Teaching the Social and Ethical Implications of Research." Conference Organizer. Joint Meeting of the NNIN SEI Coordinators, NSEC SEI Coordinators, ASUs three EESE grants, and NISENets social implications group, Tempe, AZ.
- 756. **Wetmore, Jameson**. November, 2011. "The Challenges of Equity, Equality, and Development." Panel Organizer. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.

- 757. **Wetmore, Jameson**. November, 2011. "Teaching Social and Ethical Implications of Research to Scientists and Engineers." Panel Organizer. Society for Social Studies of Science, Cleveland, OH.
- 758. **Wetmore, Jameson**. November, 2011. "Nano Equity Game: Whose Nano is it?" Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 759. **Wetmore, Jameson**. October, 2011. "The Challenge of Path Dependency and the Need for Anticipatory Governance." Presentation. CSPO in DC: New Tools for Science Policy, Washington, DC.
- 760. **Wetmore, Jameson**. September 20, 2011. "New Technologies New Risks? What are the Implications of a Technologically Complex World on the Way we think about the Risks of Novel Technologies and Practices?" Panel Presentation. Symposium on Risk Uncertainty and Sustainable Innovation, University of Michigan, Ann Arbor, MI.
- 761. **Wetmore, Jameson**. July 18, 2011. "Swimming Upstream: When Scientists and Engineers are More Concerned about Science & Technology than the Public." Presentation. Upstream Engagement with Science and Technology: Opportunities and Challenges, a mini-symposium, ESRC Genomics Network, University of Edinburgh, Scotland.
- 762. **Wetmore, Jameson**. March 14, 2011. "The Challenges of Path Dependence and the Need for Anticipatory Governance." Presentation. CNS-ASU Resilience 2011 Workshop at ASU, Tempe, AZ.
- 763. **Wetmore, Jameson**. April 03, 2010. "Nanodays-Student Presentations of Basic Science and Nanotechnology Applications." Arizona Science Center, Phoenix, AZ.
- 764. **Wetmore, Jameson**. March 25, 2010. "Opportunities for Engaging with the Public." Asilomar International Conference on Climate Intervention Technologies, Pacific Grove, CA.
- 765. **Wetmore, Jameson**. March, 2010. "Nanodays-Student Presentations of Basic Science and Nanotechnology Applications." Tempe Festival of the Arts. March 26-28, 2010, Tempe, AZ.
- 766. **Wetmore, Jameson**. February 22, 2010. "Lessons of Engagement: Learning from Policymakers and the Public." Presentation. Annual Meeting of the American Association for the Advancement of Science.
- 767. **Wetmore, Jameson**. December 09, 2009. ""Overview of CNS-ASU" with David H. Guston." Presentation. 2009 NSF Nanoscale Science and Engineering Grantees Conference, Arlington, VA.
- 768. **Wetmore, Jameson**. December 09, 2009. "Best Practices of NSEC's and MRSEC's for Advancing NSE Education-Diversity Aspects." Presentation. 2009 NSF Nanoscale Science and Engineering Grantees Conference, Arlington, VA.
- 769. **Wetmore, Jameson**. November 08, 2009. "Technology and the City." Presentation. On the Cutting Edge...Today's Jewish Women Symposium, Scottsdale, AZ.

- 770. **Wetmore, Jameson**. October 30, 2009. "Begging for Regulation: The Quest to Tame Nanotechnology." Presentation. Annual Meeting of the Society for Social Studies of Science, Washington, DC.
- 771. **Wetmore, Jameson**. July 22, 2009. "Anticipatory Governance of Emerging Technologies." Presentation. National Institute for Nano-Engineering Summer Student Program, Sandia National Labs. Invited.
- 772. **Wetmore, Jameson**. July 08, 2009. "Nanotechnology and Society." Presentation with Troy Benn. Arizona Science Center's Junior Science Correspondents Program, Phoenix, AZ.
- 773. **Wetmore, Jameson**. June 16, 2009. "What Should Everyone Know about Technology?" Panel discussion. American Society for Engineering Education Annual Conference, Austin, TX.
- 774. **Wetmore, Jameson**. June 15, 2009. "Integrating Microethics and Macroethics in Graduate Science and Engineering Education: Developing Instructional Models." Presentation with Joe Herkert. American Society for Engineering Education Annual Conference, Austin, TX.
- 775. **Wetmore, Jameson**. March, 2009. "Innovation and Graduate Education." Presentation. Presented at Centers, Universities, and the Scient, Arlington, VA.
- 776. **Wetmore, Jameson**. December, 2008. "Amish Sociologists: Building Society with Technology." Presentation. National Nanotechnology Infrastructure Network, Indian Institute of Technology, Kanpur Winter School on Organic Electronics, Kanpur, India.
- 777. **Wetmore, Jameson**. November, 2008. "Nanotechnology the Promise, Politics, and Personal Impacts." Presentation. Presentation to the Women's Symposium, co-sponsored by the Jewish Studies Department at Arizona State University and the Bureau of Jewish Education of Greater Phoenix, Phoenix, AZ.
- 778. **Wetmore, Jameson**. August, 2008. "A Dialogue on Nanotechnology and Religion: Using Religious Expertise to Build Nanotechnology." Poster Presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 779. **Wetmore, Jameson**. June, 2008. "The Challenge of Path Dependence." Presentation. IEEE Symposium on Technology & Society, Fredericton, New Brunswick, Canada.
- 780. **Wetmore, Jameson**. April, 2008. "What Do You Think About a Technology You Can't Even Se." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 781. **Wetmore, Jameson**. December, 2007. "Amish Technology." Presentation. Spirit of the Senses Salon, Phoenix, AZ.
- 782. **Wetmore, Jameson**. November, 2007. "ASB 591: Seminar on Professionalism, on the Academic job search." Presentation. Seminar on Professionalism.

- 783. **Wetmore, Jameson**. October, 2007. "Building a Better Air Bag: the Continuing Search for a Technical Fix." Presentation. Mobility History, Heritage and Design Fifth Annual Conference on History of Transport, Traffic and Mobility (T2M), Helmond, the Netherlands.
- 784. **Wetmore, Jameson**. September, 2007. "Bureaucrats, Lobbyists, and Regulators, Oh My! Introducing Graduate Students to Science Outside the Lab." Presentation. CSPOs Enlightening Lunch, with Ira Bennett, Arizona State University, Tempe, AZ.
- 785. **Wetmore, Jameson**. August, 2007. "Cats Cradle, by Kurt Vonnegut." Presentation. Spirit of the Senses Salon, Scottsdale, AZ.
- 786. **Wetmore, Jameson**. June, 2007. "Teaching the Ethics and Social Implications of Emerging Technologies to Graduate Level Students." Presentation. American Society for Engineering Education Annual Conference, Honolulu, HI.
- 787. **Wetmore, Jameson**. March, 2007. "Transferring Western Technology to Developing Countries: Good Intentions, Unexpected Outcomes." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 788. **Wetmore, Jameson**. March, 2007. "STS in the Trenches: Engaging Scientists and Engineers." Presentation. STS Engaged Workshop, University of Virginia Department of Science, Technology and Society, Charlottesville, VA.
- 789. **Wetmore, Jameson**. February, 2007. "Nanotech and Religion: Ambitions, Influence, and Policy." Presentation. CNS-UCSB, Santa Barbara, CA.
- 790. Wetmore, Jameson. August, 2006. "Religious Forays into Nanotechnology Policy." Presentation. Gordon Research Conference on Science and Technology Policy, Big Sky, MT.
- 791. **Wetmore, Jameson** and **Andrea Lewis**. January 20, 2012. "What's in our Skincare?" Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 792. **Wetmore, Jameson** and **Ira Bennett**. August 14, 2013. "Social and Ethical Implications of Nanotechnology." Presentation. NNIN REU Convocation. Atlanta, GA.
- 793. **Wetmore, Jameson** and **Ira Bennett**. July 10, 2013. "Education and Training Panel." Presentation. Sixth International Meeting on Synthetic Biology (SB 6.0), Imperial College. London.
- 794. **Wetmore, Jameson** and **Ira Bennett**. July 4, 2013. "Nano around the World." Presentation. 1st Common Summer school of ERASynBio and ST-Flow: Synthetic Biology in Action. Madrid, Spain.
- 795. **Wetmore, Jameson** and **Ira Bennett**. January, 2012. "SEI Professional Development Plans." Presentation. Societal and Ethical Implications Meeting, Nanoscale Informal Science Education Network, Oregon Museum of Science and Industry, Portland, OR.
- 796. **Wetmore, Jameson**, **Shobita Parthsarathy** and Regula Valerie Burri. October 30, 2009. "The New Sentinels of Progress? Investigating Emerging Approaches to Governing Technology." Panel

- Organizer. Series of three panels, Society for Social Studies of Science Annual Meeting, Washington, DC.
- 797. **White, Dave** and Troy M. Benn. May 15, 2009. "To Drink or Not to Drink: What Should We Do to Have Good-Tasting, Safe and Sustainable Water into the Future." Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 798. **Wiek, Arnim**. November, 2011. "STIR and the City: Integration Research and Sustainability Science." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 799. **Wiek, Arnim**. March 14, 2011. "Resilience, Sustainability, and Anticipatory Governance Pieces of the Puzzle." Presentation. Resilience 2011, Arizona State University, Tempe, AZ.
- 800. **Wiek, Arnim** and **Cynthia Selin**. 2009. "The Future of Phoenix Crafting Sustainable Development Strategies for Phoenix." Presentation. School of Sustainability. Arizona State University.
- 801. **Wiek, Arnim** and Rider W. Foley. March 16, 2012. "Will Science and Technology put the Able into Sustainable?" Talk. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 802. **Wiek, Arnim** and Rider W. Foley. December 19, 2011. "The Dragon beneath Phoenix: Meaningful Community Involvement, Effective Remediation, and Sustainable Urban Development at the M52 Superfund Site in Phoenix." Presentation. Jiangsu Senior Executive Public Administration Training Program. Arizona State University, Phoenix, AZ.
- 803. **Wiek, Arnim** and Rider W. Foley. November, 2011. "Nanotechnology for Sustainability? Analyzing the Demand for and Supply of Nanotechnology in City Environments." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 804. **Wiek, Arnim**, Rider W. Foley and **David H. Guston**. November 2013. "Broken Promises and Breaking Ground Anticipatory Governance Research against Business-as-Usual in Nanotechnology Innovation." Presentation. 2nd Sustainable Nanotechnology Organization Conference (SNO). Santa Barbara, CA.
- 805. **Wolbring, Gregor**. August, 2006. "Governance of Nano-bio-info-cogno-synbio." Presentation. NABIS Conference, Chicago, IL.
- 806. **Wolbring, Gregor**. December, 2005. "The Triangle of Enhancement Medicine, Disabled People, and the Concept of Health: A New Challenge for HTA, Health Research, and Health Policy. Health Technology Assessment (HTA) Initiative #23." Presentation. Alberta Heritage Foundation for Medical Research, Edmonton, Alberta, Canada.
- 807. **Woodbury, Neal**. April, 2006. "Evolution on a Chip: Making Molecules Work for U." Presentation. CNS-ASU Science Cafe, Arizona Science Center, Phoenix, AZ.
- 808. **Woodbury, Neal** and **David H. Guston**. November 02, 2010. "Life Saver or Privacy Invasion? Identifying Disease before Symptoms." Presentation. Spirit of the Senses, Tempe, AZ.

- 809. **Woodson, Thomas**. March, 2014. "Nanotechnology for the poor: A look at water, energy, food and health technologies". Lecture presentation. *College for Nanoscale Science and Engineering, University of Albany*, Albany, New York.
- 810. **Woodson, Thomas**. February, 2014. "Nanotechnology for the poor: A look at water, energy, food and health technologies". Lecture presentation. *Oregon State University*, Corvallis, Oregon.
- 811. **Woodson, Thomas**. June, 2013. "Emerging Nanotechnologies for the Poor: How nanomedicine and public-private partnerships are used to address diseases of poverty". Lecture presentation. *Universidade Federal do Parana*, Parana, Brazil.
- 812. **Woodson Thomas**. November, 2014. "Private Public Partnerships and Disease of Poverty Research". Poster presentation. *Democratizing Technologies*, Santa Barbara, CA.
- 813. Woodson, Thomas. November 6-9, 2013. "Inequality in Nanomedicine." Presentation. Association for Public Policy Analysis and Management.
- 814. **Woodson, Thomas**. October, 2104. "Private Public Partnerships and Disease of Poverty Research". Presentation. *Globelics*, Addis Ababa, Ethiopia.
- 815. **Woodson, Thomas**, Thema Monroe-White. September, 2013. "Inequalities in Scholarly Knowledge: International Co-Authorship Patterns of African Factor Driven Economies." Presentation. *The Atlanta Conference on Science and Innovation Policy*, Atlanta, GA.
- 816. **Woodson, Thomas.** September, 2013. "Inequality and Public Private Partnerships in Nanomedicine." Presentation. *The Atlanta Conference on Science and Innovation Policy*, Atlanta, GA.
- 817. **Woodson, Thomas**, Matthew Harsh. November, 2011. "Pro-Poor Nanotechnology Applications for Water: Characterizing Private Sector Research." Presentation. *Society for the Study of Nanoscience and Emerging Technologies (SNET) Meeting*, Tempe, AZ.
- 818. **Woodson, Thomas**. April, 2011. "Pro-Poor Nanotechnology: A Bibliometric Analysis of Water Nanotechnology." Presentation. *ST Global*, Washington D.C.
- 819. Woodson, Thomas. September 26-28, 2013. "Inequality in Nanomedicine." Presentation. Atlanta Conference on Science and Innovation Policy. School of Public Policy. Georgia Institute of Technology. Atlanta, GA.
- 820. Woodson, Thomas. June 3-5, 2013. "Inequality in Nanomedicine." Presentation. Universidade Federal do Paraná.
- 821. Woodson, Thomas. May 17-31, 2013. "Inequality in Nanomedicine." Presentation. Globelics Academy.

- 822. Woodson, Thomas. March, 2013. "Research Inequality in Nanomedicine." Poster Presentation. Georgia Tech Graduate Student Conference, Atlanta, GA.
- 823. Woodson, Thomas. August, 2012. "Research Inequality in Nanomedicine." Poster Presentation. Gordon Research Conference: Science and Technology Policy, Waterville Valley Resort, Waterville Valley, NH.
- 824. Woodson, Thomas and Vrishali Subram Anian. November, 2011. "Nanotechnology in India: An examination of the Productivity and Equitable Nature of their Research Program." Presentation. Third Annual Conference of the Society for the Study of Nanoscience and Emerging Technologies, Tempe, AZ.
- 825. Woodson, Thomas. September, 2011. "The 10/90 Gap in Health Related Nanotechnology Research." Presentation. The Atlanta Conference on Science and Innovation Policy, Atlanta, GA.
- 826. Woodson, Thomas and **Susan Cozzens**. April 28-May 1, 2011. "New Technologies, New Power Relationships?: The Case of Nanomedicine." Presentation. Conference on the Political Sociology of Science and Technology, Rensselaer, NY.
- 827. Woodson, Thomas, Susan Cozzens, Jameson M. Wetmore, Matthew Harsh, Ogundiran Soumonni and Rodrigo Cortes Lobos. October, 2012. "Assessing Equity and Equality in South Africa's Nanotechnology Intiative." Presentation. Society for the Social Studies of Science, Copenhagen.
- 828. **Xenos, Michael A., Dietram A. Scheufele, Dominique E. Brossard**, Doo-Hun Choi, Michael A. Cacciatore, Sara K. Yeo and Leona Yi-Fan Su. June 2013. "News Media Use and the Informed Public in the Digital Age." Presentation. Annual Convention of the International Communication Association. Lodon, United Kingdom.
- 829. **Xenos, Michael A., Dietram A. Scheufele, Dominique E. Brossard**, Doo-Hun Choi and Michael A. Cacciatore. August, 2012. "News Media Use and the Informed Public in the Digital Age." Paper Presentation. The American Political Science Association (APSA) Political Communication Pre-Conference, Baton Rouge, LA.
- 830. Xenos, Michael A., **Dietram A. Scheufele**, **Dominique E. Brossard**, Doo-Hun Choi, Michael A. Cacciatore, Sarah K. Yeo, et al. Forthcoming, June, 2013. "News Media use and the Informed Public in the Digital Age." Paper Accepted for Presentation. Annual Convention of the International Communication Association, London, England.
- 831. Yeo, Sara K., Ashley A. Anderson, Nan Li, Kristin K. Runge, **Dominique E. Brossard**, **Dietram A. Scheufele**, et al. November, 2012. "Exploring the Interplay of Values and Use of Information Channels on Public Opinion of Nuclear Energy." Paper Presentation. The 2012 Behavior, Energy, and Climate Change (BECC) Conference, Sacramento, CA.
- 832. Yeo, Sara K., **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. May, 2013. "Dangerous Disconnects? How Public Discourse about Nanotechnology is Missing the Point." Paper Presentation. The Annual Convention of the American Association for Public Opinion Research, Boston, MA.

- 833. Yeo, Sara K., **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. November, 2012. "Is the Online Environment Changing the Construction of Scientific Controversies?" Paper Presentation. The Annual Convention of the Midwest Association for Public Opinion Research, Chicago, IL.
- 834. Yeo, Sara K., Kristin K. Runge, Nan Li, **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. May, 2012. "The Opinion Dynamics Surrounding Nuclear Energy in the U.S.: Exploring the Interplay of Risk Perceptions, Values, Mass Media Use and Knowledge on Public Support for Nuclear Energy." Paper Presentation. The Annual Conference of the American Association for Public Opinion Research, Orlando, FL.
- 835. Yeo, Sara K., **Michael A. Cacciatore**, **Dominique E. Brossard**, **Dietram A. Scheufele** and **Michael A. Xenos**. May 2014. "Twitter as the Social Media of Choice for Sharing Science." Presentation. 13th International Public Communication of Science and Technology Conference. Salvador, Brazil.
- 836. Yeo, Sara K., **Michael A. Xenos**, **Dominique E. Brossard** and **Dietram A. Scheufele**. May 2014. "Contextual Cues, Selective Exposure, and Information Utility." Presentation. Annual Convention of the International Communication Association (ICA). Seattle, WA.
- 837. Yeo, Sara K., **Michael A. Xenos**, **Dominique E. Brossard** and **Dietram A. Scheufele**. Under review, "Information Seeking in an Age of (Un)Reliable Information." Paper Presentation. The Annual Pre-Conference of the American Political Science Association, Chicago, IL.
- 838. **Youtie, Jan**. November 05, 2011. "Societal Dimensions of the Nano Science and Technology Center Program." Session. Evaluation of a Nano Science and Technology Centers Program: Mixed Methods Approach to Assessing its Realization of Policy Objectives, American Evaluation Association.
- 839. **Youtie, Jan**. December 03, 2010. "Anticipating Developments in Nanotechnology Commercialization: The Potential Economic Impacts of Nanoelectronics." Presentation. Federal Reserve Bank of Dallas and the Semiconductor Industry, Austin, TX.
- 840. **Youtie, Jan**. October 26, 2010. "Silos or Systems in Emerging Science Domains." Presentation. Nano@Tech, Atlanta, GA.
- 841. **Youtie, Jan**. October 23, 2010. "Silos or Systems in Emerging Science Domains." Presentation. National Organization of Black Chemists and Chemical Engineers, Atlanta, GA.
- 842. **Youtie, Jan**. October 02, 2010. "Silos or Systems in Emerging Science Domains." Keynote. S.NET Conference 2010, Darmstadt, Germany.
- 843. **Youtie, Jan**. December, 2009. "Anticipating Developments in Nanotechnology Commercialization." Presentation. 2009 NSF Nanoscale Science and Engineering Grantees Conference December 7-9, 2009, Arlington, VA.
- 844. **Youtie, Jan**. August, 2009. "Understanding and Stimulating Highly Creative Research: Measurement and Analysis U.S. and Europe." Special Session. Developing a Social Science of Science and Innovation Policy, American Sociological Association Annual Meeting, San Francisco, CA.

- 845. **Youtie, Jan**. August, 2009. "Center for Nanotechnology in Society." Presentation. Georgia Tech President, Dr. G.P. (Bud) Peterson, Atlanta, GA.
- 846. **Youtie, Jan**. January, 2009. "Center for Nanotechnology in Society." Presentation. Biotechnology and Public Forum, Georgia Tech, Atlanta, GA.
- 847. **Youtie, Jan**. November, 2007. "Nanotechnology Workshop: Definitions, Directions, Debate." Presentation. National Organization for the Professional Advancement of Black Chemists and Chemical Engineers, Atlanta, GA.
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 - October 18, 2010 Georgia Tech News. http://www.gatech.edu/newsroom/release.html?nid=62364
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Invention Disclosure

- 1. Scio: A Nano-enhanced, Convenient, Portable Cancer Biomarker Testing Device. (2008, April).
- 2. Flux: A Cast with Adjustable Rigidity that Allows for Faster Recovery. (2008, April).

- 3. Explore: A Mobile Haptic Text to Braille Translator. (2008, April).
- 4. Nome: An Energy-producing Shelter for Natural Disaster Victims. (2009, April).
- 5. Everwell: A Device for Rural Users that Converts Air Humidity into Potable Water. (2009, April).
- 6. Tangent: A Solar-powered Individualized Urban Transportation. (2009, April).

Patents Awards or Filed

1. Walsh, John P., and Li Tang. "Identification disambiguation in databases." U.S. Patent No. 8,799,237. 5 Aug. 2014.

16. Biosketches

New investigators for this grant year include the following team leaders :

- 1. Rider Foley assistant professor, University of Virginia
- 2. Kathryn de Ridder-Vignone assistant professor, James Madison University

Please note that their biosketches follow in this section.

Rider W. Foley

(a) Professional Preparation

| University of New Hampshire, Durham NH | Environmental Science | BS, 2001 |
|--|--------------------------------|-------------|
| Harvard University, Cambridge, MA | Environmental Management | MLA, 2008 |
| Arizona State University, Tempe, AZ | Sustainability | Ph.D. 2013 |
| Arizona State University, Tempe, AZ | Consortium for Science, Policy | , |
| γ, γ, | & Outcomes | 2013 - 2014 |
| | | |

(b) Appointments

| () | |
|----------------|--|
| 2014 – Current | Assistant Professor, Engineering and Society, University of Virginia |
| 2012 - Current | Research Collaborator, Sustainability Science Education project, Arizona |
| | State University (ASU) |
| 2014 - Current | Co-Leader, Center for Nanotechnology in Society, ASU |
| 2013 – 2014 | Postdoctoral Scholar, Consortium for Science, Policy & Outcomes, ASU |
| 2012 – 2013 | Faculty Associate, Mary Lou Fulton Teachers College, ASU |
| 2011 – 2012 | Faculty Associate, School of Sustainability, ASU |
| 2010 - 2013 | Graduate Research Assc., Center for Nanotechnology in Society, ASU |
| 2006 - 2010 | General Manager, Triumvirate Environmental |
| 2004 – 2006 | On-site Services Manager, Triumvirate Environmental |
| 2002 - 2004 | Environmental Chemist, Triumvirate Environmental |
| | |

(c) Publications

- 1. **Foley, Rider W.,** Arnim Wiek. (2014). "Scenarios of Nanotechnology Innovation vis-à-vis Sustainability Challenges" *Futures 64*, DOI.10.1016/j.futures.2014.09.005
- 2. **Foley, Rider W.,** Arnim Wiek. (2013). "Patterns of Nanotechnology Innovation and Governance within a Metropolitan Area." *Technology in Society* 35(4): 233-247.
- 3. **Foley, Rider W.,** Ira Bennett, Jameson M. Wetmore. (2012). "Practitioners' Views on Responsibility: Applying Nanoethics." *Nanoethics* 6(3): 231-241.
- 4. Wiek, Arnim, **Rider W. Foley**, David H. Guston. (2012). "Nanotechnology for Sustainability What Does Nanotechnology Offer to Address Complex Sustainability Problems?" *Journal of Nanoparticle Research* 14: 1093.
- 5. Bernstein, Michael J., **Rider W. Foley**, Ira Bennett. (2014). "An Operationalized Postnormal Science Framework for Assisting in the Development of Complex Science-policy Solutions: The Case of Nanotechnology Governance." *Journal of Nanoparticle Research* 16(7): 2492.

Other Publications

- 1. **Foley, Rider W**., David H. Guston, Daniel Sarewitz. (accepted, 2015) "Toward the Anticipatory Governance of Geoengineering." In J. Blackstock, C. A. Miller, & S. Rayner (eds.) *Engineering our Climate? Ethics, Politics and Governance of Climate Engineering.* Earthscan-Routledge.
- Wender, Ben, Rider W. Foley, Valentina Prado-Lopez, Daniel Eisenberg, Dwarakanath Ravikumar, Troy Hottle, Jathan Sadowski, William Flanagan, Angela Fisher, Lisa Laurin, Thomas P. Seager, Matthew Fraser, David H. Guston. (2014). "Illustrating Anticipatory Life Cycle Assessment for Emerging Photovoltaic Technologies" *Environmental Science and Technology 48* (18): 10531-10538. DOI.10.1021/es5016923
- 3. Wender, Ben A., **Rider W. Foley,** Troy Hottle, Jathan Sadowski, Valentina Prado-Lopez, Dan E. Eisenberg, Lise Laurin, Thomas P. Seager. (2014) "Anticipatory Life Cycle Assessment for Responsible Research and Innovation." *Journal of Responsible,* 1(2): 200-207

Foley - 1

- 4. Arora, Sanjay, **Rider W. Foley**, Jan Youtie, Philip Shapira, Arnim Wiek. (2014). "Drivers of Technology Adoption—The Case of Nanomaterials in Building Construction." *Technological Forecasting and Social Change* 87: 232–244.
- 5. Wender, Benjamin, **Rider W. Foley**, Thomas P. Seager, David H. Guston, Arnim Wiek. (2012-2013). "Anticipatory Governance and Anticipatory Life Cycle Assessment of Single Wall Carbon Nanotube Anode Lithium Ion Batteries." *Journal of Nanotechnology Law and Business* 9(3): 201-216.

(d) Synergistic Activities

I combine synergistic activities with my professional and scholarly duties. Below are five cases:

- 1. I developed curriculum materials for an innovative pedagogical approach that couples classroom activities and digital storytelling as part of the Sustainability Science Education project. The curriculum development teams worked collaboratively with contributions from experts in pedagogy, sustainability science, videographers, and graphic artists.
- 2. I am researching the effectiveness of integrating online learning and classroom activities and a book chapter demonstrates my commitment to the science of learning.
- 3. In another research project with the Community Involvement Group at the Motorola 52nd Street Superfund Site I involved students at the Bioscience High School in Phoenix, a public magnet school dedicated to science, mathematics, engineering and technology education and with a highly diverse population through activity-based learning and advising.
- 4. My research with the Community Involvement Group explored a novel approach for participatory technology assessment and design, called *Collaborative On-site Technology Exploration* that allows scientists and engineers to more effectively share knowledge with community members, which will be published in an upcoming book chapter.
- 5. I have led the development of the Nanotechnology in City Environments (NICE) database, which is an interactive catalogue of nanotechnology applications that supports research and education. The database attracts 1,174 and 1,578 new users per month last year.

(e) Collaborators & Other Affiliations

Collaborators and Co-Editors.

Archambault, Leanna, ASU Arora, Sanjay, Ernst Young Bernstein, Michael J., ASU Bennett, Ira., ASU Eisenberg, Dan E., ASU Fraser, Matthew, ASU Hottle, Troy, ASU Guston, David H., ASU Keeler, Lauren, Luephana University Prado-Lopez, Valentina, ASU Rushforth, Richard, ASU Sadowski, Jathan, ASU Sarewitz, Daniel, ASU Seager, Thomas P., ASU Shapira, Philip, Georgia Tech Warren, Annie E., ASU Wender, Benjamin A., ASU Wetmore, Jameson M., ASU Wiek, Arnim, ASU Youtie, Jan, Georgia Tech

• Graduate Advisors and Postdoctoral Sponsors.

None

Thesis Advisor and Postgraduate-Scholar Sponsor.

Arnim Wiek, School of Sustainability, ASU

David H. Guston, Consortium for Science, Policy & Outcomes, Arizona State University Thomas P. Seager, Sustainable Engineering & Built Environment, Arizona State University Benjamin A. Minteer, School of Sustainability, Arizona State University

KATHRYN DUSHANE DE RIDDER-VIGNONE

College of Integrated Science and Engineering James Madison University vignonkd@jmu.edu (864) 918 9110

CURRENT POSITION Assistant Professor

August 2014 - present Department of Integrated Science & Technology (ISAT),

James Madison University

PREVIOUS EXPERIENCE

August 2012 – August 2014 Post-doctoral Research Associate

Center for Nanotechnology in Society

Consortium for Science Policy & Outcomes (CSPO)

Arizona State University

EDUCATION

2013 Ph.D. Department of Science & Technology Studies

Cornell University

Dissertation: Democratizing Nanotechnology: The Nanoscale Informal Science Education

Network and the Meaning of Civic Education

Committee: Bruce Lewenstein (Chair), Michael Lynch, and Ronald Kline, Stephen

Hilgartner (outside reader)

2008 M.A. Department of Science & Technology Studies

Cornell University

Concentrations: Science Communication, Museums Studies, Images and Visualizations in Science, Users in 19th and 20th century History of Technology, Emerging Technologies

2005 Baccalaureus Artium et Scientiae South Carolina Honors College

University of South Carolina

Concentrations: Interdisciplinary Sciences, History, Art History, Literature, Cultural

Studies

Honors Thesis: Presenting Images Accurately to Maintain the Integrity of

Nanotechnologies as They Are Communicated to the Public: The Visual Artist's Role in

the Process

AREAS OF RESEARCH EXPERTISE

Social and ethical issues of emerging technologies, public understanding of and engagement with emerging technologies, civic education and learning in the governance of emerging technologies, materiality in science communication, users as agents of sociotechnical and political change, museum studies, visual studies and visualizations in science policy and communication, issues of sustainability in shaping urban spaces, qualitative research methods, including visual studies

17. Honors and Awards

Arora, Sanjay. Best Doctoral Student 2014, School of Public Policy, Georgia Institute of Technology.

Bernstein, Michael, Kiera Reifschneider, Ira Bennett & Jamey Wetmore. Best Student Poster in the Social Sciences, 2015 AAAS, for poster on Science Outside the Lab (SOtL).

Boradkar, Prasad. Promoted to Professor, The Design School, Arizona State University and Co-Director of the Bio-Mimicry Center.

Brossard, Dominique. Received Romnes Faculty Fellowship, March 2015.

Conley, Shannon. Appointed Assistant Professor, Department of Integrated Science and Technology, James Madison University.

Corley, Elizabeth. 2014 Nominee for ASU Commission on the Status of Women Outstanding Contribution and Achievement Award.

Corley, Elizabeth. Chosen for Cohort III of the ASU Leadership Academy for 2015-2016.

De Ridder-Vignone, Kathryn. Appointed Assistant Professor of Social Context, Integrated Science and Technology, James Madison University.

Fisher, Erik. President, Steering Committee, Communities of Integration Network for 2014-2015.

Foley, Rider. Appointed Assistant Professor, Science, Technology, & Society, University of Virginia.

Jabbehdari, Sahra. Best Undergraduate 2014, School of Public Policy, Georgia Institute of Technology.

Keys, Cameron. Presidential Management Fellow at U.S. Army, January 2015.

Porter, Alan. Research Publication Award, International Association of Management of Technology, May 2014.

Reifschneider, Kiera. Joined U.S. Government Accountability Office as Senior Physical Scientist.

Scheufele, Dietram. Received Vilas Distinguished Achievement Professorship, University of Wisconsin—Madison, 2015.

Scheufele, Dietram. Elected member, Deutsche Akademie der Technikwissenschaften (German National Academy of Science and Engineering), 2014.

Scheufele, Dietram. Web of Science Top 1% Highly Cited Paper in General Social Sciences, "Framing, agenda setting, and priming: The evolution of three media effects models" (with David Tewksbury), 2014.

Scheufele, Dietram. Kellett Mid-Career Award, University of Wisconsin – Madison, April 2014.

Selin, Cynthia. Marie Curie Fellowship, Management Engineering Department, Technical University of Denmark.

Vortherms, Kaitlin. Miss Phoenix 2015, CNS-ASU Engineering fellow at ASU School of Sustainable Engineering and the Built Environment.

Wender, Ben. Environmental Protection Agency Science to Achieve Results (EPA-STAR) graduate fellowship, August 2014.

Wetmore, Jameson. Recipient of the 2014 SHESC Director's Award for Excellence in Undergraduate Teaching for the School of Human Evolution and Social Change, Arizona State University.

Woodson, Thomas. Appointed Assistant Professor, Department of Technology and Society, Stonybrook University.

Youtie, Jan. Research Publication Award, International Association of Management of Technology, May 2014.

18. Fiscal Sections

a. Statement of Unobligated Funds

CNS-ASU is projected to expend \$5,803,207 of the \$6,669,900 grant funds received (including one supplement of \$172,900) by August 31, 2015, the current end of the CNS-ASU grant for NSF Grant Award #0937591. This will leave a projected residual of \$866,693.

In order to fully complete the scope of work under the award, CNS-ASU will apply for a one-year, no-cost extension immediately following the submission of this annual report. It is expected that the funds which will be remaining as of August 31, 2015, will support the additional year and be fully expended by the new projected end date of the grant, August 31, 2016.

In addition, CNS-ASU received a second supplement during the reporting year for Broadening Participation in the project in the amount of \$237,498. These funds are planned to be expended primarily in the summers of 2015 and 2016 (after a one year, nocost extension).

b. Grant Budgets

There are several budget reports and associated budget justifications reported in this section as follows:

- i. Actual budget expenses from September 1, 2014 through February 28, 2015.
- ii. Projected budget expenses from March 1, 2015 through August 31, 2015.
- iii. Projected budget expenses from September 1, 2015 to August 31, 2016.

c. Subaward Grant Budgets

Subaward budget reports and budget justifications are reported in this section as follows:

Georgia Tech

- iv. Actual budget expenses from September 1, 2014 through February 28, 2015.
- v. Projected budget expenses from March 1, 2015 through August 31, 2015.
- vi. Projected budget expenses from September 1, 2015 to August 31, 2016.

University of Wisconsin - Madison

- vii. Actual budget expenses from September 1, 2014 through February 28, 2015.
- viii. Projected budget expenses from March 1, 2015 through August 31, 2015.

University of Virginia

- ix. Actual budget expenses from September 1, 2014 through February 28, 2015.
- x. Projected budget expenses from March 1, 2015 through August 31, 2015.

20. Leverage

The Center for Nanotechnology in Society at Arizona State University (CNS-ASU) has developed over its nine years in operation relationships/partnerships with two hundred seventy-two (272) academic partnering institutions and two hundred thirty-seven (237) non-academic partnering institutions, both domestic and international. The partners are listed in Table 6, at the end of this section.

Arizona State University (ASU) provides salary support for most of the faculty who work on CNS-ASU projects. Table 5 shows the amount of financial support CNS-ASU will receive from ASU and its subawards (Georgia Institute of Technology and University of Wisconsin, Madison) between September 1, 2013 and August 31, 2014

Some successful partnerships include:

- 1. Consortium for Science, Policy and Outcomes (CSPO) CNS-ASU receives support from CSPO that includes office space, desktop computers for all CNS-ASU faculty, staff, post-doctoral associates, and students, as well as access to servers, laptop computers, printers, copiers, scanners, projectors, fax machine, telephones, and a conference room with videoconferencing capability. CSPO also provides back-up for CNS-ASU staff.
- 2. *Emerge: Artists and Scientists Redesign the Future* is a special event held late each winter at ASU uniting artists, engineers, bio-scientists, social scientists, storytellers, and designers to build, draw, write, and rethink the future of the human species and the environments that we share. Together, participants create provocative and evocative stories, games, performances, and objects from which visions of our futures emerge. CNS-ASU has been involved since the first Emerge in 2013, with large contributions from the Sandra Day O'Connor College of Law, the School of Arts, Media and Engineering, the Center for Science and the Imagination, the Lincoln Center for Applied Ethics, the Ira A. Fulton Schools of Engineering, and the Global Institute of Sustainability.
- 3. InnovationSpace -- an entrepreneurial joint venture among the Herberger Institute for Design and the Arts, the Ira A. Fulton Schools of Engineering, and the W.P. Carey School of Business at ASU. The goal of this transdisciplinary education and research lab is to teach students how to develop products that create market value, while serving real societal needs and minimizing impacts on the environment. Students learn to create products that are progressive, possible, and profitable, which also have a meaningful impact on the daily lives of ordinary people. Innovation Space utilizes two fundamental strategies for creating sustainable innovation: a model of new product development known as Integrated Innovation and the emerging field of biomimicry. CNS-ASU contributes \$30,000 annually to this endeavor.
- 4. The Biodesign Institute plays a critical role in advancing the research mission of ASU to conduct use-inspired research, fuse intellectual disciplines, and value entrepreneurship. Encompassing 350,000 square-feet of award-winning, state-of-the-art, LEED-certified buildings, the Biodesign Institute represents the State of Arizona's largest research infrastructure investment in bioscience-related research. ASU is the first university in the US to create an interdisciplinary research institute entirely devoted to bio-inspired innovation principles, representing a vast expansion of ASU's state-of-the-art research capacity, and also serving a core mission to engage the talents of its multidisciplinary scientists to find solutions to some of society's largest challenges. The three major areas in which the Biodesign Institute is working to make a difference are: biomedicine & health

outcomes, sustainability, and security. This framework allows the Institute to address these critical global challenges by creating "use-inspired," as well as "bio-inspired" solutions.

CNS-ASU and the Biodesign Institute offer fellowships to two graduate students. The purpose of this program is to train students to work in cross-functional teams toward real-world outcomes. Since all research has implications beyond the laboratory, CNS-ASU invests in graduate students to study some of these outcomes by paying a percentage of their salary, employee related expenses, and tuition. CNS-BDI Fellows participate in CNS-ASU sponsored curricular and co-curricular activities, including special courses, seminars, lectures, science cafes, and other opportunities, in addition to adding a "societal implications" chapter to their dissertation, the "PhD plus" component, which discusses the societal context of their research.

- 5. Ira A. Fulton Schools of Engineering play a pivotal role in producing engineers and innovations to address the changing needs of society. FSE emphasizes problem-solving, innovation, entrepreneurship, multi-disciplinary interactions, societal context and connections. FSE ranks in the top 50 engineering schools in the United States, and offer 15 degree programs. It also is one of the largest engineering schools, with more than 200 faculty, more than 7,700 students, and more than \$78 million in externally funded research. CNS-ASU and FSE offer fellowships to two graduate students. The purpose of this program is to train students to work in cross-functional teams toward real-world outcomes. Since all research has implications beyond the laboratory, CNS-ASU invest in graduate students to study some of these outcomes by paying a percentage of their salary, employee related expenses, and tuition. CNS-FSE Fellows participate in CNS-ASU sponsored curricular and co-curricular activities, including special courses, seminars, lectures, science cafes, and other opportunities, in addition to adding a "societal implications" chapter to their dissertation, the "PhD plus" component, which discusses the societal context of their research.
- 6. Barrett Honors College Barrett students have the unique advantage of experiencing a small, intellectually, and socially vibrant environment, while having access to the vast resources of the major research university at ASU. Barrett students simultaneously benefit from being with others of the same intellectual preparation and commitment, and enjoy the advantages of a university environment actively engaged in exploring all areas of human interest and concern. All students who enter ASU through Barrett, The Honors College, also enroll in a disciplinary college, and pursue one or more of the 275+ available disciplinary majors and concentrations. Their education is the result of the integration of all colleges at ASU, including Barrett, that cultivate the talents and interests of Barrett students and endeavor to meet their changing needs as they develop academically and socially. Barrett students, hired as CNS-ASU student interns, participate in the CNS-ASU poster session at the All Hands Meeting and the site visit from the National Science Foundation. Barrett students who have worked with CNS-ASU have gone on to win Fulbright fellowships and Presidential Management Fellowships.
- 8. Center for Science and the Imagination brings writers, artists, and other creative thinkers into collaboration with scientists, engineers, and technologists to reignite humanity's grand ambitions for innovation and discovery. CNS-ASU partnered with CSI to present a lecture on human enhancement and the singularity in the reporting year. CSI is also working with CSPO and CNS-ASU on the Frankenstein Bicentennial Project.

- 9. University of Notre Dame and CNS-ASU will host a collaborative research workshop on the "Anticipatory Governance of Complex Engineered Nanomaterials," including advanced generation nanosystems. The workshop will be a joint effort of the Centers for Environmental Implications of Nanotechnology, the Centers for Nanotechnology in Society, the Center for Nano Science and Technology, and other relevant groups. The workshop will generate new knowledge about the prospective governance challenges of CENMs, and will take full advantage of the dissemination abilities of the centers and/or groups involved.
- 10. Nanoscience and Emerging Technologies in Society: Sharing Research and Learning Tools (NETS) project investigates digital resources to advance the collection, dissemination, and preservation of this body of research, addressing the challenge of marshaling resources, academic collaborators, appropriately skilled data managers, and digital repository services for large-scale, multi-institutional and disciplinary research projects. The central activity of this project involves a workshop that will gather key researchers in the field and digital librarians together to plan the development of a disciplinary repository of data, curricula, and methodological tools. Partners include CNS-ASU, CNS-UCSB, University of Michigan's Inter-University Consortium for Political and Social Research, and the University of Massachusetts, Amherst Libraries.

TABLE 5: Other Support (NSF Grant #093791)

| Designation | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 |
|---------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Designation | 9/15/10-9/14/11 | 9/15/11-9/14/12 | 9/15/12-9/14/13 | 9/15/13-9/14/14 | 9/15/14-9/14/15 |
| Other NSF | \$0 | \$0 | \$172,500 | \$0 | \$237,498 |
| Other Federal | \$0 | \$0 | \$0 | \$0 | \$0 |
| State | \$0 | \$0 | \$0 | \$0 | \$0 |
| Industry | \$0 | \$0 | \$0 | \$0 | \$0 |
| University | \$1,025,128 | \$1,723,232 | \$2,640,847 | \$2,775,665 | \$2,051,772 |
| Foreign | \$0 | \$0 | \$0 | \$0 | \$0 |
| Other Total | \$1,025,128 | \$1,723,232 | \$2,813,347 | \$2,775,665 | \$2,289,270 |

| Tak | la 4 | Dartnering Institutions (cumulative) | | | I | I | | 1 | | | 1 |
|--------|-------|--|--|----------------------|--------------------------|------------------------|---------|----------|---------|---------------|--|
| rab | ie 6: | Partnering Institutions (cumulative) | | | | | | | | | |
| | | | Receives | Continuotes | , | remale Sonting | | | | | |
| | | | Financial Support | financial support to | Servicing Institution | Serving Institution | | Industry | Musaum | International | |
| | | Name of Institution | from Center | the center | Partner | Partner | Partner | Partner | Partner | Partner | Other |
| | | | | | | | | | | | |
| I.a. A | Acade | mic Partnering Institutions (ASU) | | | | | | | | | |
| | | Applied Learning Technologies Institute Arizona Institute for Nano-Electronics (AINE) | Х | | | | | | | | L |
| | | Arizona Tristitute for Nano-Electronics (AINE) Arizona Technology Enterprises (AzTE) | | | | | | | | | X |
| | | Arts, Media & Engineering | | | | | | | | | X |
| | | Axon Technologies | | | | | | | | | Х |
| | | Barrett, The Honors College | | | | | | | | | Х |
| | | Biodesign Institute Center for Biology & Society | Х | Х | | | | | | | Х |
| | | CRESMET | | | | | | | | | X |
| | | Center for the Study of Religion and Conflict | | | | | | | | | Х |
| | | Center for Law, Science and Innovation | Х | | | | | | | | |
| | | Center for Science and the Imagination Center for Solid State Electronics Research | | | | | | | | | Х |
| | | Center for Study of Institutional Diversity | X X | | | | | | | | |
| | | College of Liberal Arts and Sciences | | Х | | | | | | | |
| | | College of Public Programs | Х | | | | | | | | |
| | | College of Technology & Innovation | Х | | | | | | | | |
| | | Complex Adaptive Systems Initiative (CASI) Consortium for Science, Policy and Outcomes | | x | - | | | | | | Х |
| | | Decision Theater for a Desert City | <u> </u> | ^ | | | | | | | х |
| | | Foundation, ASU | | | | | | | | | X |
| | | Global Institute of Sustainability | | | | | | | | | Х |
| | | Graduate College | Х | | | | | | | | |
| | | Hayden Library Health Services | | | - | | | | | | X |
| | | Herberger Institute for Design and the Arts | Х | | | | | | | | |
| | | Hispanic Research Center | | | Х | | | | | | |
| | | Institute for Human Origin | | | | | | | | | Х |
| | | Ira A. Fulton Schools of Engineering Learning Sciences Institute | Х | Х | | | | | | | х |
| | | LightWorks | х | | | | | | | | ^ |
| | | Mary Lou Fulton School of Education | Х | | | | | | | | |
| | | New Interdisciplinary Arts & Sciences | | | | | | | | | Х |
| | | Office of China Intitatives and Strategy Office of Knowledge and Enterprise Development (OKED) | | | | | | | | | Х |
| | | Office of Public Affairs | | Х | | | | | | | х |
| | | Office of the President | | Х | | | | | | | X |
| | | Office of Vice President and Provost | | | | | | | | | Х |
| | | Office of University Initiatives | | | | | | | | | Х |
| | | Occupational Health and Safety Phoenix Urban Research Laboratory | х | | | | | | | | Х |
| | | SOLS-Responsible Conduct of Research Program | X | | | | | | | | х |
| | | Sandra Day O'Connor School of Law | Х | | | | | | | | |
| | | School of Earth & Space Exploration | | | | | | | | | Х |
| | | School of Government, Politics, and Global Studies | Х | Х | | | | | | | |
| | | School of Human Evolution and Social Change | X | Х | | | | | | | |
| | | School of International Languages and Cultures School of Letters and Sciences | X | | | | | | | | |
| | | School of Life Sciences | Х | | | | | | | | |
| | | School of Mathematical and Statistical Sciences | | | | | | | | | Х |
| | | School of Philosophical, Historical, and Religious Studies School of Social Transformation | | | - | | | | | | X |
| | | School of Social Transformation School of Sustainability | х | | | | | | | | ^ |
| | | Science Policy Assessment and Research on Climate (SPARC) | | | | | | | | | Х |
| | | Stardust Center | х | | | | | | | | |
| | | Technology Based Learning Research | ļ | | | | | | Х | | X |
| | | Transformative Healthcare Networks University Art Museum | | | - | | | | | | X |
| | | University Public Schools | | | | | | | | | X |
| | | W.P. Carey School of Business | Х | | | | | | | | |
| | | Walter Cronkite School of Journalism and Mass Communication | | | | | | | | | Х |
| | | | <u> </u> | | ļ | | | | | | <u> </u> |
| I.b. 4 | Acade | emic Partnering Institutions | | | - | | | | | | |
| | | Aarhus University, Denmark | 1 | | | | | | | х | |
| | | Ansal Institute of Denmark | | | | | | | | Х | |
| | | Antwerp University | | | | | | | | Х | |
| | | Austrian Academy of Science Baylor College of Medicine | 1 | | - | - | | | | Х | L. |
| | | Baylor College of Medicine Beijing Institute of Technology, China | х | | | | | | | х | Х |
| | | Bioscience High School | | | | | | | | | х |
| | | Boise State University | | | | | | | | | х |
| | | Bowling Green State University | | | | | | | | | Х |
| | | Brown University California State University, Sacramento | Х | | - | | | | | | Х |
| | | Cardiff University | х | | | | | | | | _^ |
| | | Carnegie Mellon University | | | | | | | | х | |
| | | | | | | | _ | | | | |

| able 6: | Partnering Institutions (cumulative) | | | | | | | | | |
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| \perp | | Receives | Continuites | IVIII IOI ILY | геппане | เงลแบกลเ | \vdash | <u> </u> | | <u> </u> |
| | | Financial | financial | Servicing | | Lab/other | | İ | | |
| | | Support | support to | Institution | | | Industry | Museum | International | |
| ı | Name of Institution | from Center | the center | Partner | Partner | Partner | Partner | Partner | Partner | Othe |
| - | Case Western Reserve University | | | | | | | | | х |
| (| Chandler Gilbert Community College | | | | | | | | | х |
| | Chinese Academy of Sciences | | | | | | | | | |
| | Claremont Graduate University | | | | | | | | | Х |
| | Clark University | | | | | | | | | Х |
| | Collins College | | | | | | | | | Х |
| | Colorado School of Mines | Х | | | | | | | | <u> </u> |
| | Columbia College Chicago | | | | | | | | | Х |
| | Columbia University | | | | | | — | | | X |
| | Concordia University | ., | | | | | | | | Х |
| | Copenhagen Business School, Denmark Corinthian College | Х | | | | | — | | Х | |
| | Cornell University | | | | | | | | | X |
| | Dalian University of Technology, China | х | | | | | | - | х | ^ |
| | Delft Technical University, the Netherlands | _^_ | | | | | — | | X | ╁ |
| | DeVry University | | | | | | | | | х |
| | Dublin City University | | | | | | | | х | <u> </u> |
| | Durham University, United Kingdom | | | | | | | | Х | 1 |
| | Ecoles des Mines, France | | | | | | | | Х | |
| | ETH Zurich | | | | | | | | Х | |
| | Eugene Lang College the New School for Liberal Arts | | | | | | | | | Х |
| | Ewha Women's University | | | | Х | | | | Х | |
| | Federal University of Parana, Brazil | Х | | | | | | | Х | |
| | Federal University Santa Catarina, Brazil | Х | | | | | | | Х | |
| | Flemish Institute of Science & Technology | | | | <u> </u> | | | <u> </u> | Х | |
| | Florida International University | | | | <u> </u> | | | | | Х |
| | George Mason University | | | | | | ₩ | — | ├ | Х |
| | George Washington University | | | | | | | | | Х |
| | Georgetown University | | | | | | | | | Х |
| | Georgia Institute of Technology | Х | | | | | | | | <u> </u> |
| | Glendale Community College | ., | | | | | | | | Х |
| | Grenoble Institute of Technology | Х | | | | | - | | Х | , |
| | Grove City College Harvard University | | | | | | | | | X |
| | Howard University | | | | | | | | | X |
| | Illinois Institute of Technology | | | | | | | | | X |
| | Indiana University | Х | | | | | — | | | _^ |
| | Institute of International Sociology of Gorizia | x | | | | | — | | Х | 1 |
| | Institut d'Etudes Politiques de Grenoble, France | X | | | | | | | X | 1 |
| | Iowa State University | | | | | | | | | х |
| | James Martin Institute for Science and Civilization, Oxford, UK | | | | | | | | Х | t |
| , | Johns Hopkins University | | | | | | | | | Х |
| | Karlsruhe Institute of Technology, Germany | Х | | | | | | | Х | |
| | Keele University | | | | | | | | | Х |
| | Korea Institute of Science and Technology, Seoul, Korea | | | | | | | | Х | |
| | Lancaster University | | | | | | | | Х | |
| | Leeds University Business School, UK | Х | | | | | | | Х | |
| | Lehigh University | | | | | | | <u> </u> | | Х |
| | Litchfield Elementary School District | | | | | | | | | Х |
| | Long Island University | | | | <u> </u> | | | <u> </u> | | X |
| | Macalester College Maricopa Community Colleges | | | | | | — | | | X |
| | | | | | | | | | | X |
| | McGill University Mesa Biotech Academy | 1 | | | | | | | | X |
| + 1 | Mesa Community College | + | | | $\vdash \vdash$ | | \vdash | \vdash | | X |
| | Mesa High School | | | | \vdash | | — | \vdash | | X |
| | Michigan State University | 1 | | 1 | | | | — | † | X |
| | MIT SENSEable City Lab | | | | | | | | | X |
| | Montana State University | | | | | | | | <u> </u> | X |
| | Nagoya University, Japan | х | | 1 | | | | | Х | m |
| | National Academy of the Sciences | | | | | | | | | Х |
| | National University of Singapore & Asia | | | | | | | | Х | |
| I | New York University | Х | | | | | | | | |
| | North Carolina State University | Х | | | | | | | | 匚 |
| | Northeastern University | | | | | | | | | Х |
| | Northern Alberta Institute of Technology | | | | <u> </u> | | | <u> </u> | | X |
| | Northwestern University | | | | <u> </u> | | | | | X |
| | Norwegian University of Science & Technology, Norway | | | | | | 1 | — | Х | <u> </u> |
| | NSEC/CNS-University of California, Santa Barbara (UCSB) | | | | — | | | ₩ | | X |
| | Ohio State University | | | <u> </u> | | <u> </u> | lder | — | | ₩ |
| | Osaka University, Japan | | | | | | ₩ | — | Х | <u> </u> |
| | Pennsylvania State University | 1 | | - | | | ⊢— | ⊢— | ├ |) |
| | Plymouth University | 1 | | 1 | | <u> </u> | | ₩ | ├ |) |
| | Portland State University | | | - | | | ⊢— | ⊢— | ├ | > |
| | Purdue University | Х | | 1 | | | 1 | — | | |
| | Queens University | | | | | | \vdash | $\vdash \!$ | X X | \vdash |
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| | Radboud University Rensselaer Polytechnic Institute | х | | | | | | | | - |

| : Partnering Institutions (cumulative) | | | | | | | | |
|--|-------------|--------------|--------------|--|-----------|--|--|---------------|
| | Receives | Continuutes | IVIIIIOITILY | геппане | INALIUHAI | | | |
| | Financial | financial | Servicing | Serving | Lab/other | | | |
| | Support | support to | Institution | Institution | govt. | Industry | Museum | International |
| Name of Institution | from Center | the center | Partner | Partner | Partner | Partner | | Partner |
| Rice University | morn contor | tilo dolitoi | r ditiroi | T di ti loi | T GITTION | T GITTION | 1 ditiror | 1 ditirior |
| Rice University/ICON | | V | | | | | | |
| | | Х | | | | | | |
| Rio Salado College | | | | | | | | |
| Rochester Institute of Technology | | | | | | | | |
| Roger L. Putnam Vocational Technical Academy | | | | | | | | |
| Rutgers, The State University of New Jersey | Х | | | | | | | |
| RWTH Aachen University | | | | | | | | Х |
| Said Business School, Oxford | | | | | | | | Х |
| Sapieza University of Rome | | | | | | | | |
| Scottsdale Community College | | | | | | | | |
| Simon Fraser University, British Columbia | | | | | | | | Х |
| S.I.W.S. N.R. Swamy College, India | Х | | | | | | | Х |
| South Mountain Community College | | | | | | | | |
| Stanford University | Х | | | | | | | |
| State University of Campinas | _ ^ | | | | | | | |
| | | | | | | | | |
| Stony Brook University | | | | | | | | |
| Tamkang University | | | | | | | | Х |
| Technical University of Delft | | | | | | ļ | ļ | Х |
| Technical University of Denmark | | | | <u></u> | <u> </u> | <u></u> | | Х |
| Technical University of Darmstadt | | | | | | | | Х |
| Tennessee State University | | | | | | | | |
| Texas State University, San Marcos | Х | | | | | | | |
| The Center for International Development, Harvard University | | | | | | | | |
| Tokyo University | х | | 1 | 1 | 1 | | | Х |
| Tsinghua University, China | ^ | | | | | | | X |
| UCLA/Harvard/NBER: Collaborative Research; Personnel Exchanges | | | | - | | - | - | |
| | | | | | | | | |
| UMC St. Radboud | | | | | | | | |
| Unicamp University, Brazil | | | | ļ | | ļ | | Х |
| University de Zacatecas, Mexico | Х | | | | | | | Х |
| Universidad del Pais Vasco, Spain | | | | | | | | х |
| Universita Ca' Foscari Venezia | | | | | | | | Х |
| University College London | | | | | | | | |
| University at Albany | | | | | | | | |
| University of Alberta | | | | | | | | Х |
| University of Amsterdam | 1 | | | | | | | X |
| University of Antwerp, Belgium | | | | | | | | |
| | Х | | | | | | | Х |
| University of Arizona | | | | | | | | Х |
| University of Athens | | | | | | | | Х |
| University of Basel | | | | | | | | Х |
| University of Basque Country | | | | | | | | |
| University of Bergen, Norway | x | | | | | | | х |
| University of Bielefeld, Germany | Х | | | | | | | Х |
| University of British Columbia | | | | | | | | Х |
| University of Calgary, Canada | Х | | | | | | | Х |
| University of California, Berkeley | X | | | | | | | |
| University of California, Davis | | | | | | | | |
| , | | | | | | | | |
| University of California, Irvine | | | | | | | | |
| University of California, Los Angeles | | | | | | | | |
| University of California, San Diego | Х | | | | | | | |
| University of California, Santa Barbara | | | | ļ | | | | |
| University of Cape Town | | | | <u> </u> | | | | |
| University of Central Florida | | | | | | | | |
| University of Chicago | | | | | | | | |
| University of Colorado, Boulder | Х | | | | | | | |
| University of Colorado, Denver | X | | | | | | | |
| University of Copenhagen | † · · · | | 1 | | 1 | 1 | | Х |
| University of Denver | 1 | | 1 | 1 | 1 | | | _^ |
| University of Edinburgh | | | | | | | | v |
| | Х | - | 1 | | | | | X |
| University of Exeter, United Kingdom | | | | | | | | Х |
| University of Florida | | | | ļ | | ļ | | |
| University of Geneva | <u> </u> | | | | | | | Х |
| University of Georgia | Х | | | | | | | |
| | | | | | | | | Х |
| University of Gothenburg, Sweden | | | | | | | | Х |
| | | | | | | | | |
| University of Gothenburg, Sweden | | | | | | | | |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago | | | | | | | | |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield | | | | | | | | |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign | | | | | | | | |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Iowa | | | | | | | | ., |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Iowa University of Jiangsu, China | | | | | | | | х |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Joan University of Jiangsu, China University of Lausanne, Switzerland | | | | | | | | Х |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Journal University of Jiangsu, China University of Lausanne, Switzerland University of Leeds | X | | | | | | | X X |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Iowa University of Jiangsu, China University of Lausanne, Switzerland University of Leeds University of Leeds University of Liege, Belgium | X | | | | | | | Х |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Journal University of Jiangsu, China University of Lausanne, Switzerland University of Leeds | | | | | | | | X X |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Iowa University of Jiangsu, China University of Lausanne, Switzerland University of Leeds University of Leeds University of Liege, Belgium University of Manchester, United Kingdom | х | | | | | | | X X |
| University of Gothenburg, Sweden University of Groningen, the Netherlands University of Illinois, Chicago University of Illinois, Springfield University of Illinois, Urbana-Champaign University of Iowa University of Jiangsu, China University of Lausanne, Switzerland University of Leeds University of Liege, Belgium University of Manchester, United Kingdom University of Maryland | х | | | | | | | X X |
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| Tah | la 6: | Partnering Institutions (cumulative) | | 1 | | | | | 1 | | |
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| | | University of Nebraska, Lincoln | Х | | | | | | | | |
| | | University of Nevada, Las Vegas | | | | | | | | | Х |
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| | | University of New South Wales, Australia University of North Carolina, Chapel Hill | | | | | | | | Х | |
| | | University of North Carolina, Charlotte | | | | | | | | | X |
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| | | Exploratorium, San Francisco | | | | | | | Х | | |
| | | Federal Aviation Administration Office of Environment & Energy | | | | | | Х | | | |
| | | FBI Weapons of Mass Destruction | | | | | Х | | | | |
| | | Food and Drug Administration (FDA) | | | | | Х | | | | |
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| | | Winnipeg Art Gallery | | | | | | | | | |
| | | Woodrow Wilson International Center for Scholars | | | | | | | | | |
| 27 7 | Total | Number Non-academic Partners: | | | | 1 | | | l — | | |

Following are the Current and Pending Support documents for the PI and all thrust leaders:

- 1. Ira Bennett Assistant Director, Education
- 2. Elizabeth A. Corley co-PI; RTTA 2 co-team leader
- 3. Susan Cozzens TRC 1 co-team leader
- 4. Erik Fisher RTTA 4 team leader; Associate Director, Integration
- 5. David H. Guston PI and Center Director
- 6. Jose Lobo RTTA 1 co-team leader
- 7. Dietram Scheufele co-PI; RTTA 2 co-team leader
- 8. Cynthia Selin RTTA 3 co-team leader; Associate Director, Anticipation
- 9. Philip Shapira RTTA 1 co-team leader
- 10. Sander E. van der Leeuw TRC 2 co-team leader
- 11. Jameson M. Wetmore TRC 1 co-team leader; Associate Director, Engagement
- 12. Arnim Wiek TRC 2 co-team leader
- 13. Jan Youtie co-PI; RTTA 1 co-team leader

(See GPG Section II.D.8 for guidance on information to include on this form.)

| The following information should be provided for eac information may delay consideration of this proposal | | er senior personne | el. Failure to provide this |
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| miormation may delay consideration of this proposal | Other agencies (including | NSF) to which this pro | oposal has been/will be submitted |
| Investigator: Ira Bennett | | | |
| Support: | Submission Planned | in Near Future | ☐ *Transfer of Support |
| Project/Proposal Title: | | | |
| Science Center Public Forums: Community Enga | gement for Environn | nental Literacy, I | mproved Resilience, and |
| Decision-Making | | • | • |
| Source of Support: National Oceanic and Atmospheric | Admin (NOAA) | | |
| Total Award Amount: \$499,901 Total Aw | ard Period Covered: 10/ | /1/15-9/30/18 | |
| Location of Project: Arizona State University | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: |
| Support: | Submission Planned | in Near Future | |
| Project/Proposal Title: | | | |
| Cultivating Ethical Reflection through Museum E | ngagement (CERME) | • | |
| Source of Support: National Science Foundation | | | |
| | ard Period Covered: 10/ | /1/15-9/30/18 | |
| Location of Project: Arizona State University | ara i ciloa coverca. 10/ | 1710 3700710 | |
| Person-Months Per Year Committed to the Project. | Calı | Acad: | Sumr: 0.25 |
| Support: Current Pending | Cal: Submission Planned | | *Transfer of Support |
| Project/Proposal Title: | Submission Flamed | iii Neai Future | ☐ Transier or Support |
| STS and Science Center Collaborations (S2C2): I | Povolonina Canacity | to Engago Broad | I Public Audioness in |
| Science, Technology, and Society | Developing Capacity | to Eligage Broat | i Fublic Addictices III |
| Source of Support: National Science Foundation | | | |
| | ard Period Covered: 10/ | /1/15-9/30/18 | |
| Location of Project: Arizona State University | ara i ciloa coverca. 10/ | 1710 3700710 | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 0.10 |
| Support: Current Pending | Submission Planned | | *Transfer of Support |
| Project/Proposal Title: | Submission Flamed | iii Neai i utule | Transier or Support |
| PFI:BIC: Restoring Pain-Free Mobility through Sn | nart Personalized Mo | bile Devices (Th | is Proposal) |
| Source of Support: National Science Foundation | | • | • , |
| | ard Period Covered: 8/1 | /2015 – 7/31/2018 | 3 |
| Location of Project: Arizona State University | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 0.25 |
| Support: | Submission Planned | in Near Future | *Transfer of Support |
| Project/Proposal Title: | | | |
| SciStarter 2.0: A Dashboard to Drive Research, P | articination, and Cor | nmunity-huilding | ı in Citizen Science |
| Source of Support: National Science Foundation | articipation, and cor | illinaility-ballalite | , in Onizen Gelende |
| | ard Period Covered: 6/1 | /15 _ 5/31/17 | |
| • | ald I ellod Coveled. V/ I | 713 – 3/31/17 | |
| Location of Project: Arizona State University | 0.1 | ۸ ا | 0 05 |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 0.5 |
| Support: | Submission Planned | in Near Future | |
| Investigating approaches to develop, disseminat | e and evaluate new | tools for science | nolicy: The case of nub- |
| lic value in federal research programs | e, and evaluate new | loois for science | policy. The case of pub- |
| Source of Support: National Science Foundation | | | |
| | ard Period Covered: 05/ | 01/2015 – 4/30/201 | 7 |
| Location of Project: Arizona State University | | 5.720.3 TO01201 | - |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 1 |
| . S. SS | Jui. | | |

| Support: |
|---|
| Project/Proposal Title: |
| ASU Site: National Nanotechnology Infrastructure Network – SEI Activities Supplement |
| Source of Support: National Science Foundation (sub to Cornell University) |
| Total Award Amount: \$115,000 Total Award Period Covered: 7/1/13 – 8/31/15 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: Acad: Sumr: 1 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: |
| Participatory Technology Assessment of NASA's Asteroid Initiative |
| Source of Support: National Aeronautics and Space Administration |
| Total Award Amount: \$196,908 Total Award Period Covered: 4/1/14 – 6/31/15 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: Acad: Sumr: 1 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: |
| NSEC: Center for Nanotechnology in Society at Arizona State University (CNS-ASU) Renewal |
| Source of Support: National Science Foundation |
| Total Award Amount: \$6,500,000 Total Award Period Covered: 10/1/10 – 9/30/15 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: Acad: 4.5 Sumr: |
| Support: |
| Project/Proposal Title: NCCLCs: Material Life Cycle of Nanomaterials (LCNano) |
| Source of Support: Environmental Protection Agency |
| Total Award Amount: \$5,000,000 Total Award Period Covered: 12/1/2013 – 11/30/2018 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: Acad: Sumr: 0.5 |
| |
| Support: Support Support Support |
| Support: |
| Project/Proposal Title: Broadening Participation in the Social Studies of Emerging Technologies |
| Project/Proposal Title: Broadening Participation in the Social Studies of Emerging Technologies Source of Support: National Science Foundation |
| Project/Proposal Title: Broadening Participation in the Social Studies of Emerging Technologies Source of Support: National Science Foundation Total Award Amount: \$237,489 Total Award Period Covered: |
| Project/Proposal Title: Broadening Participation in the Social Studies of Emerging Technologies Source of Support: National Science Foundation |



(See GPG Section II.D.8 for guidance on information to include on this form.) The following information should be provided for each investigator and other senior per Other agencies (including NSF) to which this proposal has been/will be submitted.

| Investigator: Elizabeth A. Corley | National Science Found | dation | opecaac 200., 20 002 |
|--|----------------------------|-----------------|----------------------------------|
| Support: | Submission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| Center for Nanotechnology in Society - ASU (Role: | Co-Principal Investigator) | 1 | |
| | | | |
| Source of Support: National Science Foundation | | | |
| Total Award Amount: \$12,700,000 Total A | Award Period Covered: Od | ctober 2005 – S | September 2015 |
| Location of Project: Arizona State University | | | |
| Person-Months Per Year Committed to the | Cal: 0 | Acad: 0 | Sumr: 0 |
| Support: | Submission Planned in | Near Future | ☐ *Transfer of Support |
| Project/Proposal Title: | | | |
| Goodness of Fit Mentoring as Informal Learning Am | nong STEM Students (Rol | e: Co-Principal | Investigator) |
| | | | |
| Source of Support: National Science Foundation | | | |
| | Award Period Covered: Se | eptember 2015 | September 2019 |
| Location of Project: Arizona State University | | | |
| Person-Months Per Year Committed to the | Cal: 0 | Acad: 0 | Sumr: 0 |
| Support: | Submission Planned in | Near Future | ☐ *Transfer of Support |
| Project/Proposal Title: | | | |
| | | | |
| | | | |
| Source of Support: | | | |
| | Award Period Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the | Cal: | Acad: | Sumr: |
| Support: | Submission Planned in | Near Future | ☐ *Transfer of Support |
| Project/Proposal Title: | | | |
| | | | |
| Course of Course of | | | |
| Source of Support: | North Device I Occupant | | |
| | Award Period Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the | Cal: | Acad: | Sumr: |
| Support: Current Pending | Submission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| | | | |
| Source of Support: | | | |
| | Award Period Covered: | | |
| Location of Project: | twara i onoa ooverea. | | |
| Person-Months Per Year Committed to the | Cal: | Acad: | Sumr: |
| *If this project has previously been funded by anoth | | | |
| ceding funding period. | o. agono,, piodoo not and | | and the intrinsical actory pro |

NSF Form 1239 (10/99)

| The following information should be provided for each invinformation may delay consideration of this proposal. | estigator and other | senior personn | el. Failure to provide this |
|--|--------------------------|----------------------|------------------------------------|
| | er agencies (including N | SF) to which this pr | oposal has been/will be submitted. |
| Investigator: Cozzens, Susan | | , | • |
| Support: | mission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| COLLABORATIVE RESEARCH: WOMEN IN SCIENCE | AND TECHNOLO | GY POLICY | |
| <u>SES-1152980</u> | | | |
| Source of Support: National Science Foundation | | | |
| · · · · · · · · · · · · · · · · · · · | eriod Covered: 5/15/ | /12 – 4/30/16 | |
| Location of Project: GTRC Atlanta, GA | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: .05 |
| Support: ☐ Current ☐ Pending ☐ Sub Project/Proposal Title: | mission Planned in | Near Future | *Transfer of Support |
| CENTER FOR NANOTECHNOLOGY IN SOCIETY (CN | S-ASU) AT GEOR | RGIA TECH | |
| SES-0937591 | , | | |
| Source of Support: Arizona State University | | | |
| | eriod Covered: 10/1/ | /10 – 8/14/15 | |
| Location of Project: GTRC Atlanta, GA | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: .05 |
| • | mission Planned in | | *Transfer of Support |
| Project/Proposal Title: | | | |
| , , | | | |
| | | | |
| Source of Support: | | | |
| Total Award Amount: \$ Total Award F | eriod Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: |
| Support: Current Pending Sub | mission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| | | | |
| | | | |
| Source of Support: | | | |
| Total Award Amount: \$ Total Award F | eriod Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: |
| Support: Current Pending Sub | mission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| | | | |
| | | | |
| Source of Support: | | | |
| Total Award Amount: \$ Total Award F | eriod Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: |
| *If this project has previously been funded by another age | ncy, please list and | d furnish informa | ation for immediately pre- |
| ceding funding period. | • | | |

NSF Form 1239 (10/99)



Current and Pending Support

Annual Report for Award #0937591 Current and Pending Support

I See GPG Section II.D.8 for guidance on information to include on this form.) 2014 - August 31, 2015

| Investigator: Foley, Ri | der W. | Other agencies (| including NSF) to which | this proposal has bee | en/will be submitted. |
|----------------------------|--|--------------------|-------------------------|-----------------------|-----------------------|
| Support Current: | X Pending: | Submission Plann | ed in Near Future: | Transfer o | f Support: |
| Project/Proposal Title: | TRC2: Urban Design, M | aterials, & the Bu | ilt Environment or | "Nano in the Cit | y" |
| Source of Support | Arizona State Universit | у | | | |
| Total Award Amount: | \$ 39,004.00 | Total Award I | Period Covered: | 11/1/2014 to | 10/31/2015 |
| Location of Project: | University of Virginia | | | | |
| Person-Months Per Yea | r Committed to the Proje | ect Cal: | Acad: | Sumr: | 2 |
| Support Current: | Pending: X | Submission Plann | ed in Near Future: | Transfer o | f Support: |
| Project/Proposal Title: | Collaborative Research Cultures (The 4C Project | • | | | • |
| Source of Support | NSF | | | | |
| Total Award Amount: | \$ 112,214.00 | Total Award F | Period Covered: | 1/1/2016 to | 12/31/2020 |
| Location of Project: | University of Virginia | | | | |
| Person-Months Per Yea | r Committed to the Proje | ect Cal: | Acad: | Sumr: | 1 |
| Support Current: | Pending: X | Submission Plann | ed in Near Future: | Transfer o | f Support: |
| Project/Proposal Title: | NNCI: Materials Charae (Co-PI) | cterization With S | pecialized Capaciti | es in Nanoscale | Thermometry |
| Source of Support | NSF | | | | |
| Total Award Amount: | \$ 5,276,432.00 | Total Award I | Period Covered: | 9/1/2015 to | 8/31/2020 |
| Location of Project: | University of Virginia | | | | |
| Person-Months Per Yea | r Committed to the Proje | ect Cal· | Acad: | Sumr | 1 |

(See GPG Section II.D.8 for guidance on information to include on this form.)

| The following information should be provided for eac may delay consideration of this proposal. | h investigator a | and other senior | personnel. Failu | re to provide this information |
|--|---------------------|-------------------|---------------------|---|
| Investigator: David Guston | Other age | encies (including | NSF) to which th | nis proposal has been/will be |
| Support: | Submission | n Planned in Nea | ar Future | ☐ *Transfer of Support |
| Project/Proposal Title: Increasing Citizen Efficacy thr | | | | • • |
| Source of Support: National Science Foundation | - | | | - · |
| Total Award Amount: \$2,981,187 Total | Award Period | Covered: July 1 | , 2015 – June 30 |), 2019 |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Project. | 0.50 | Cal: 0.50 | Acad: 0.00 | Sumr: 0.00 |
| Support: | | n Planned in Nea | | |
| Project/Proposal Title: Informal Learning And Schola Creativity And Societal Responsibility | rship In Scienc | e And Society: A | A Multi-Disciplina | ry Workshop On Scientific |
| Source of Support: National Science Foundation | | | | |
| | Award Period | Covered: March | 1, 2014 – Febru | ary 28, 2015 |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Pro- iect. | 0.50 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.00 |
| Support: | | n Planned in Nea | | |
| Project/Proposal Title: Workshop on Research Agen Source of Support: National Science Foundation | das in the Soci | etal Aspects of S | Synthetic Biology | |
| Total Award Amount: \$149,924 Total | Award Period | Covered: Augus | st 1, 2014 – July 3 | 31, 2015 |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Project. | 0.00 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.00 |
| Support: | Submission | n Planned in Nea | ar Future | |
| Project/Proposal Title: Collaborative Research: Work | shop on the Ar | nticipatory Gover | rnance of Comple | ex Engineered Nanomaterials |
| Source of Support: National Science Foundation | | | | |
| | Award Period | Covered: July 1, | , 2012 – June 30 | , 2015 |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Project. | 0.00 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.00 |
| Support: | | n Planned in Nea | ar Future | |
| Project/Proposal Title: Virtual Institute for Responsib | le innovation | | | |
| Source of Support: National Science Foundation Total Award Amount: \$499,725 Total | Award Period | Covered: Augus | st 1, 2012 – July 3 | 31 2015 |
| Location of Project: Arizona State University | Awaiu i ellou | Covered. Augus | t 1, 2012 – July 3 | 71, 2013 |
| Person-Months Per Year Committed to the Pro- | 0.00 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.00 |
| ject. | 0.00 | Cal. 0.00 | Acad. 0.00 | Sullii. 0.00 |
| Support: | Submission | n Planned in Nea | ar Future | *Transfer of Support |
| Project/Proposal Title: IGERT: Solar Utilization Netw | ork (SUN) | | | |
| Source of Support: National Science Foundation | | | | |
| Total Award Amount: \$3,498,193 Total | Award Period | Covered: July 20 | 012 – June 2017 | |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Pro- | 0.00 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.50 |
| ject. Support: ⊠ Current □ Pending | ☐ Submission | n Planned in Nea | or Futuro | *Transfer of Support |
| Support: | Innovation Poli | cy through Narra | | ☐ *Transfer of Support on Fostering Narrative Non- |
| Source of Support: National Science Foundation | | | | |
| Total Award Amount: \$249,949 Total | Award Period | Covered: March | 2012 – February | y 2015 |
| Location of Project: Arizona State University | | | | |
| Person-Months Per Year Committed to the Project. | 0.00 | Cal: 0.00 | Acad: 0.00 | Sumr: 0.00 |

Annual Report for Award #0937591 September 1, 2014 - August 31, 2015 Support: Pending ☐ Submission Planned in Near Future □ Current *Transfer of Support Project/Proposal Title: QESST: ERC for Quantum Energy and Sustainable Solar Technologies Source of Support: National Science Foundation Total Award Amount: \$18.5million Total Award Period Covered: March 1, 2011 - February 29, 2016 Location of Project: Arizona State University Person-Months Per Year Committed to the Pro-0.00 Cal: 0.00 Sumr: 0.00 Acad: 0.00 ject. Support: □ Current ☐ Pending ☐ Submission Planned in Near Future Project/Proposal Title: NSEC: Center for Nanotechnology and Society at ASU Renewal Source of Support: National Science Foundation Total Award Period Covered: October 1, 2010 - September 30, 2015 Total Award Amount: \$6,500,000 Location of Project: Arizona State University Person-Months Per Year Committed to the Pro-Cal: Acad:0 Sumr: ject.

| information may delay consideration of this proposal. | vestigator and other | senior personn | el. Failure to provide this |
|---|--|--|---|
| Of the proposal. | her agencies (including N | ISF) to which this p | roposal has been/will be submitted. |
| Investigator: Jose Lobo | | | |
| Support: X Current Pending Su | bmission Planned in | Near Future | |
| Project/Proposal Title: | | | |
| NSEC: Center for Nanotechnology in Society at Arizona | State University | | |
| | | | |
| Source of Support: National Science Foundation | | | |
| | Period Covered: Sep | tember 2010-Au | ıgust 2015 |
| Location of Project: Arizona State University | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 1.0 |
| Support: X Current Pending Support: Project/Proposal Title: | bmission Planned ir | Near Future | *Transfer of Support |
| Dynamics of Global Informal Settlements | | | |
| | | | |
| Source of Support: Bill & Melinda Gates Foundation | | | |
| Total Award Amount: \$19,038 Total Award | Period Covered: Janu | uary 2013 – Jan | uary 2015 |
| Location of Project: Santa Fe Institute | | • | • |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: 2.0 |
| · · · · · · · · · · · · · · · · · · · | bmission Planned ir | Near Future | |
| Project/Proposal Title: | | | |
| Forecasting Progress in Solar Energy Technologies | | | |
| Source of Support: U.S. Department of Energy | | | |
| | | | |
| | Period Covered: Apri | l1, 2013 – Aril1, | 2016 |
| Total Award Amount: \$61,340 Total Award | Period Covered: Apri | 11, 2013 – Aril1, | 2016 |
| | Period Covered: Apri Cal: | 11, 2013 – Aril1, Acad: 2.0 | 2016 Sumr: |
| Total Award Amount: \$61,340 Total Award Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. | · | Acad: 2.0 | |
| Total Award Amount: \$61,340 Total Award Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. | Cal: | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Support: Current Pending Support: Current Pending Support: Current Description: Current Description: Current Description: Current Current | Cal: | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Support: Current Pending Support: Current Pending Support: Current Description: Current Description: Current Description: Current Current | Cal: | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Support: Current Pending Support: Current Pending Support: Current Description: Current Description: Current Description: Current Current | Cal: | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: | Cal: | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: | Cal: bmission Planned ir | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award | Cal: bmission Planned ir | Acad: 2.0 | Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Location of Project: Person-Months Per Year Committed to the Project. | Cal: bmission Planned ir Period Covered: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Person-Months Person- | Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award Location of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Support: | Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award Location of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Support: | Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award Location of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Support: | Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Total Award Amount: \$ Total Award Amount: \$ Location of Project: Person-Months Per Year Committed to the Project. Support: Person-Months Per Year Committed to the Project. Support: Pending Support: Support: Pending Support: Support: Pending Support: Source of Support: | Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Total Award Amount: \$ Total Award Amount: \$ Location of Project: Person-Months Per Year Committed to the Project. Support: Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: | Cal: bmission Planned in Period Covered: Cal: bmission Planned in | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Total Award Amount: \$ Total Award Location of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Support: Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award Award Amount: \$ Total Award Award Amount: \$ Total Award | Cal: bmission Planned in Period Covered: Cal: bmission Planned in | Acad: 2.0 Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Total Award Amount: \$61,340 Location of Project: UNC-Charlotte Person-Months Per Year Committed to the Project. Support: Current Pending Support: Total Award Amount: \$ Total Award Location of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Support: Person-Months Per Year Committed to the Project. Support: Current Pending Support: Project/Proposal Title: Source of Support: Total Award Amount: \$ Total Award Location of Project: | Cal: bmission Planned ir Period Covered: Cal: bmission Planned ir Period Covered: Cal: | Acad: 2.0 Near Future Acad: Near Future Acad: Acad: | Sumr: Sumr: Sumr: *Transfer of Support Sumr: Sumr: |

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| The following information should be provided for each invest information may delay consideration of this proposal. | igator and other | senior personn | el. Failure to provide this |
|--|----------------------|-----------------------|-------------------------------------|
| Other a | gencies (including N | ISF) to which this pr | roposal has been/will be submitted. |
| Investigator: Dietram Scheufele | | | |
| Support: x Current Pending Submis | ssion Planned in | Near Future | |
| Project/Proposal Title: NIRT: Center for Nanotechnology in Society | at Arizona State | University | |
| PI: David Guston | | | |
| | | | |
| Source of Support: NSF | | | |
| Total Award Amount: \$6.5mio. Total Award Peri | od Covered: 2010 | 0-15 | |
| Location of Project: ASU with UW-Madison subcontract | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1.5 | Acad: 0 | Sumr: 0 |
| Support: x Current Pending Submis | ssion Planned in | Near Future | |
| Project/Proposal Title: Developing a user experience for the next g | eneration nuclear | fuel cycle simulat | or |
| PI: Paul Wilson | | | |
| | | | |
| Source of Support: Department of Energy | | | |
| Total Award Amount: \$1.2mio Total Award Peri | od Covered: 2011 | 1-14 | |
| Location of Project: UW-Madison | | | |
| Person-Months Per Year Committed to the Project. | Cal: 0.25 | Acad: 0 | Sumr: 0 |
| Support: x Current Pending Submis | ssion Planned in | Near Future | |
| Project/Proposal Title: UW Nanoscale Science and Engineering Ce | enter on Template | d Synthesis and A | Assembly at the Nanoscale I |
| PI: Padma Gopalan | | | |
| | | | |
| Source of Support: NSF | | | |
| Total Award Amount: \$14.7mio Total Award Peri | od Covered: 2009 | 9-14 | |
| Location of Project: UW-Madison | | | |
| Person-Months Per Year Committed to the Project. | Cal: 0.25 | Acad: 0 | Sumr: 0 |
| _ | ssion Planned in | | *Transfer of Support |
| Project/Proposal Title: Subcontract to "Nanoscale Informal Science | Education Netwo | ork" | |
| Pls: Larry Bell, Paul Martin & Robert J. Semper | | | |
| | | | |
| Source of Support: NSF | | | |
| Total Award Amount: \$159,989 Total Award Peri | od Covered: 2013 | 3-15 | |
| Location of Project: UW-Madison | | | |
| Person-Months Per Year Committed to the Project. | Cal: 0.25 | Acad: | Sumr: |
| | ssion Planned in | Near Future | *Transfer of Support |
| Project/Proposal Title: | | | |
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| Source of Support: | | | |
| Total Award Amount: \$ Total Award Peri | od Covered: | | |
| Location of Project: | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: |
| *If this project has previously been funded by another agenc ceding funding period. | y, please list and | d turnish informa | ation for immediately pre- |

NSF Form 1239 (10/99)



(See GPG Section II.D.8 for guidance on information to include on this form.)

| information may delay consideration of this proposal. | | senior personn | el. Failure to provide this |
|--|---|----------------------|--|
| | Other agencies (including N | SF) to which this pr | oposal has been/will be submitted. |
| Investigator: Philip Shapira | NSF | | |
| Support: | Submission Planned in | Near Future | |
| Project/Proposal Title: Center for Nanotechnology in | Society - Arizona State | University | • |
| | | | |
| | 101 11 | | |
| Source of Support: Arizona State University and Natio | | | |
| . , , | ward Period Covered: 10 | 0/1/10-9/30/15 | |
| Location of Project: Georgia Tech | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 | Acad: | Sumr: |
| | Submission Planned in | | *Transfer of Support |
| Project/Proposal Title: Supplement to I-Corps: A Transfo | rmative National Research | n Commercializat | ion Network (#1239590) |
| | | | |
| Course of Course to National Colores Foundation | | | |
| Source of Support: National Science Foundation | | 1/4/0044 4/00/00 | 15 |
| • • | ward Period Covered: 11 | 1/1/2014-4/30/201 | 15 |
| Location of Project: Georgia Tech | 0.1.0.5 | | 0 |
| Person-Months Per Year Committed to the Project. | Cal: 0.5 | Acad: | Sumr: |
| • | Submission Planned in | | Transfer of Support |
| Project/Proposal Title: Disruptive Innovation Systems | s: Bridging the Gap betw | een Data Minir | ig and Foresignt |
| | | | |
| Source of Support: National Science Foundation | | | |
| ··· | ard Period Covered: 11/1/2 | 2015-10/31/2018 | |
| Location of Project: Georgia Tech | ara i crioa coverca. 1 1/ 1/2 | 2010 10/01/2010 | |
| Location of Froject. Georgia Fech | | | |
| Person-Months Per Vear Committed to the Project | Cal: 0.5 | Acad: | |
| Person-Months Per Year Committed to the Project. | Cal: 0.5 | Acad: | Sumr: |
| Support: Current Pending | Cal: 0.5 Submission Planned in | | |
| | | | Sumr: |
| Support: Current Pending | | | Sumr: |
| Support: Current Pending Project/Proposal Title: | | | Sumr: |
| Support: Current Pending Support: Source of Support: | | | Sumr: |
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| Support: Current Pending Support: Current Project/Proposal Title: Source of Support: Total Award Amount: Total Award Amount: | Submission Planned in | | Sumr: |
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| Support: | Submission Planned in ard Period Covered: Cal: | Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Total Award Cation of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: | Submission Planned in ard Period Covered: Cal: | Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Total Award Cation of Project: Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: | Submission Planned in ard Period Covered: Cal: Submission Planned in | Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Total Award Amount: Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Total Award Amount: Total Award Amount: Total Award Amount: | Submission Planned in ard Period Covered: Cal: Submission Planned in | Near Future Acad: | Sumr: *Transfer of Support Sumr: |
| Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Total Award Amount: Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Source of Support: Total Award Amount: Submission Planned in ard Period Covered: Cal: Submission Planned in ard Period Covered: Cal: | Acad: Acad: Acad: | Sumr: Sumr: Sumr: *Transfer of Support Sumr: Sumr: Sumr: |

NSF Form 1239 (10/99)

| The following information should be provided for e proposal. | each investigator and other senior personnel. Failure to provide this information may delay consideration of this |
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| nvestigator: van der Leeuw, Sander | Other agencies(including NSF) to which this proposal has been/will be submitted |
| Support: Current Pending Project/Proposal Title: | ☐ Submission Planned in Near Future ☐ *Transfer of Support Urbanization and Global Environmental Change International Project Office |
| • | NSF-ENG-BCS Total Award Period Covered: 9/1/2012 - 2/29/2016 ate University d to the Project. Cal: 0 Acad: 0 Sumr: 0 |
| Support: Current Pending Project/Proposal Title: | ☐ Submission Planned in Near Future ☐ *Transfer of Support Urbanization and Global Environmental Changes (UGEC) Project |
| Source of Support: Total Award Amount: \$585,000.0 Location of Project: Arizona Sta Person-Months Per Year Committee | ate University |
| | □ Submission Planned in Near Future □*Transfer of Support Sustaining Digital Antiquity - Preservation and Access for Archaeological Information A.W. MELLON FDN |
| Total Award Amount: \$1,200,000. | .00 Total Award Period Covered: 3/1/2012 - 2/28/2015 te University |
| Support: Current Pending Project/Proposal Title: | □ Submission Planned in Near Future □ *Transfer of Support NSEC/Center for Nanotechnology at ASU |
| Source of Support: Total Award Amount: \$6,525,890. Location of Project: Arizona State Person-Months Per Year Committee | ate University |
| Support: | □ Submission Planned in Near Future □ *Transfer of Support NSF 12-560 Pathways: Learning through Observation: Expanding the CoCoRaHS Network |
| Source of Support: Total Award Amount: \$249,977.0 Location of Project: Arizona Sta Person-Months Per Year Committee | ate University |
| Support: ☐ Current ☐ Pending | ☐ Submission Planned in Near Future ☐ *Transfer of Support |

Period.

| | Project/Proposal Title: | SAVI: Building an AIMES 2.0 Virtual Institute to Advance the Transdisciplinary Understanding of Coupled Human-Environment Dynamics in the Anthropocene | | | | | | |
|-----|---------------------------|---|--|--|--|--|--|--|
| | Source of Support: | NSF | | | | | | |
| | Total Award Amount: | \$1,940,537.00 Total Award Period Covered: 1/1/2014 - 12/31/2018 | | | | | | |
| | Location of Project: | Arizona State University | | | | | | |
| | Person-Months Per Yea | ar Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 | | | | | | |
| | Support: | ☑ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support | | | | | | |
| | Project/Proposal Title: | Sustainability as a Socio-Cultural Challenge | | | | | | |
| | | | | | | | | |
| | Source of Support: | Qatar Univ. | | | | | | |
| | Total Award Amount: | \$0.00 Total Award Period Covered: - | | | | | | |
| | Location of Project: | Arizona State University | | | | | | |
| | Person-Months Per Yea | ar Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 | | | | | | |
| | Support: | ■ Pending ■ Submission Planned in Near Future ■ *Transfer of Support | | | | | | |
| | Project/Proposal Title: | A Systems Science Approach to Coupled Model Environments for Bio-Social Systems | | | | | | |
| | | | | | | | | |
| | Source of Support: | HHS-NIH | | | | | | |
| | Total Award Amount: | \$2,964,862.00 Total Award Period Covered: 9/1/2014 - 8/31/2018 | | | | | | |
| | Location of Project: | Arizona State University | | | | | | |
| | Person-Months Per Ye | ar Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 | | | | | | |
| *If | this project has previous | sly been funded by another agency, please list and furnish information for immediately preceding funding | | | | | | |

| The following information should be provided for each investigator and other senior personnel. Failure to provide this information may delay consideration of this proposal. |
|--|
| Investigator: Wetmore, Jameson Michael Other agencies(including NSF) to which this proposal has been/will be submitted |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support Project/Proposal Title: NSECCenter for Nanotechnology at ASU |
| Source of Support: NSF-SES Total Award Amount: \$6,698,390.00 Total Award Period Covered: 9/15/2010 - 8/31/2015 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 1 Sumr: 0 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support Project/Proposal Title: Participant Support: NSEC - Center for Nanotechnology in Society at ASU |
| Source of Support: NSF-SES Total Award Amount: \$28,490.00 Total Award Period Covered: 8/5/2011 - 8/31/2015 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 |
| Support: ■ Current □ Pending □ Submission Planned in Near Future □ *Transfer of Support Project/Proposal Title: IGERT: Person-centered Technologies and Practices for Individuals with Disabilities |
| Source of Support: NSF-EHR Total Award Amount: \$2,972,183.00 Total Award Period Covered: 8/15/2011 - 7/31/2016 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 |
| Support: ■ Current Pending Submission Planned in Near Future *Transfer of Support Cost of Education - IGERT: Person-centered Technologies and Practices for Individuals with Disabilities |
| Source of Support: NSF-EHR Total Award Amount: \$545,600.00 Total Award Period Covered: 8/15/2011 - 7/31/2016 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 |
| Support: Current Pending Submission Planned in Near Future *Transfer of Support Project/Proposal Title: ASU Site: National Nanotechnology Infrastructure Network - SEI Activities Supplement |
| Source of Support: CORNELL UNIV Total Award Amount: \$115,000.00 Total Award Period Covered: 7/1/2013 - 8/31/2015 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 1 Sumr: 0 |
| |

| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
|--|
| Project/Proposal Title: Capacity Building in Computer Science as a Driver of Innovation |
| Source of Support: NSF-SES Total Award Amount: \$248,101.00 Total Award Period Covered: 9/15/2013 - 8/31/2015 Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0.5 Sumr: 0 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support Project/Proposal Title: NCCLCs: Material Life Cycle of Nanomaterials (LCNano) |
| Source of Support: USEPA-HQ Total Award Amount: \$5,000,000.00 Total Award Period Covered: 12/1/2013 - 11/30/2017 Location of Project: Arizona State University Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0 Sumr: 0 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: Collaborative Research: Foundations of Social and Ethical Responsibility Among Undergraduate Engineering Students: Comparing Across Time Institutions |
| Source of Support: NSF-SES / Purdue University |
| Total Award Amount: \$260,491.00 Total Award Period Covered: 5/15/2015 - 4/30/2019 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: 0 Acad: .25 Sumr: 0 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: Doctoral Dissertation Research: Imagining Community Renewable Electricity |
| Source of Support: NSF |
| Total Award Amount: \$14,883.00 Total Award Period Covered: 2/1/2014 - 1/131/2015 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: 0 Acad: 0.5 Sumr: 0 |
| Support: ☐ Current ☐ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: Hazards Sees: Portfolio Approach to Enhancing Energy Infrastructure Resilience to Extreme hazards and Disasters |
| Source of Support: NSF |
| Total Award Amount: \$2,918,812.00 Total Award Period Covered: 8/15/2015 - 8/14/2020 |
| Location of Project: Arizona State University |
| Person-Months Per Year Committed to the Project. Cal: 0 Acad: .5 Sumr: 0 |

| Support: | ☑ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
|--------------------------------------|--|
| Project/Proposal Title: | STS and Science Center Collaborations (S2C2): Developing Capacity to Engage Broad Public Audiences in Science, Technology, and Society |
| | |
| Source of Support: | NSF |
| Total Award Amount: | \$432,000 Total Award Period Covered: 10/1/15-9/30/18 |
| Location of Project: | Arizona State University |
| Person-Months Per Ye | ar Committed to the Project. Cal: 0 Acad: 0 Sumr: 0.25 |
| Support: | ☑ Pending ☐ Submission Planned in Near Future ☐ *Transfer of Support |
| Project/Proposal Title: | Cultivating Ethical Reflection through Museum Engagement (CERME) |
| | |
| Source of Support: | NSF |
| Total Award Amount: | \$398,682 Total Award Period Covered: 10/1/2015-9/30/2018 |
| Location of Project: | Arizona State University |
| Person-Months Per Ye | ear Committed to the Project. Cal: 0 Acad: 0 Sumr: 0.25 |
| | |
| *If this project has previou Period. | sly been funded by another agency, please list and furnish information for immediately preceding funding |

Annual Report for Award #0937591

Current and Pending Support September 1, 2014 - August 31, 2015

(See GPG Section II.D.8 for guidance on information to include on this form)

| delay consideration of Investigator: | Othe | Other agencies (including NSF) to which this proposal has been/will be submitted: | | | | | |
|---|---------|---|--------|--------------------|---------------------------|----------------------|--|
| Support: | Current | Pending | | Submission Plan | nned in Near Future | *Transfer of Support | |
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| Source of Suppo | ort: | | | | | | |
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| Person-Months Per | | to the Project | Cal· | Acad: | Sumr: | | |
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| Location of Proje | | | i Ulai | Awara i enou cov | orou. | | |
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| Source of Suppo | ort: | | | | | | |
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| Source of Suppo | ort: | | | | | | |
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| Person-Months Per | | to the Project: | Cal· | Acad: | Sumr: | | |
| | | | | | list and furnish informat | ion for immediately | |
| preceding funding | | • | | | | • | |

NSF Form 1239 (10/99)



of

Annual Report for Award #0937591

Current and Pending Support September 1, 2014 - August 31, 2015

(See GPG Section II.D.8 for guidance on information to include on this form)

| delay consideration of Investigator: | Othe | Other agencies (including NSF) to which this proposal has been/will be submitted: | | | | | |
|---|---------|---|--------|--------------------|---------------------------|----------------------|--|
| Support: | Current | Pending | | Submission Plan | nned in Near Future | *Transfer of Support | |
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| Source of Suppo | ort: | | | | | | |
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| Project/Proposal Ti | | rending | | Oubillission i lai | ined in Near 1 didie | Transfer of Support | |
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| Location of Proje | | | TOtal | Award Feriod Cov | erea. | - | |
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| Person-Months Per | | to the Project: | Cal· | Acad: | Sumr: | | |
| | | | | | list and furnish informat | ion for immediately | |
| preceding funding | | • | | | | • | |

NSF Form 1239 (10/99)



| Information may delay consideration of this proposal | The following information should be provided for each investigator and other senior personnel. Failure to provide this information may delay consideration of this proposal. | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| minimum of the proposal | Other agencies (including N | ISF) to which this or | oposal has been/will be submitted. | | | | | |
| Investigator: Jan Youtie | NSF | ioi) to willon this pi | oposai nas been wiii be submitted. | | | | | |
| Support: | Submission Planned in | Near Future | | | | | | |
| Project/Proposal Title: Center for Nanotechnology | in Society - Arizona S | State University | | | | | | |
| , 1 | • | , | | | | | | |
| | | | | | | | | |
| Source of Support: Arizona State University and N | ational Science Found | lation | | | | | | |
| Total Award Amount: \$1,139,173 Total Av | ward Period Covered: 1 | 0/1/10-9/30/15 | | | | | | |
| Location of Project: Georgia Tech | | ., | | | | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 | Acad: | Sumr: | | | | | |
| | | | | | | | | |
| Support: | | | ☐ *Transfer of Support | | | | | |
| Project/Proposal Title: Credibility and Use of Scien | tific and Technical Inf | ormation in Sc | ience Policy Making: An | | | | | |
| Analysis of the Information Basis of the National | Research Council's C | ommittee Repo | orts | | | | | |
| | | | | | | | | |
| Source of Support: National Science Foundation | | | | | | | | |
| Total Award Amount: \$350,000 Total Av | ward Period Covered: 9 | /1/2013 - 8/31/2 | 015 | | | | | |
| Location of Project: Arizona State University and C | Georgia Tech | | | | | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 | Acad: | Sumr: | | | | | |
| · | Submission Planned in | | Transfer of Support | | | | | |
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| Project/Proposal Title: Connections: STEM Educat | ionai Research Comr | nunities and k | knowledge Transfer | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Source of Support: National Science Foundation | | | | | | | | |
| Total Award Amount: \$283,269 Total Aw | ard Period Covered: 05/0 1 | 1/2014 -4/30/2016 | Total Award Amount: \$283,269 Total Award Period Covered: 05/01/2014 -4/30/2016 | | | | | |
| I seetled of Darlant, Connell Technical and a 1.5 | | | | | | | | |
| Location of Project: Search Technology and Georg | gia Tech | | | | | | | |
| Person-Months Per Year Committed to the Project. | gia Tech Cal: 1 | Acad: | Sumr: | | | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 | | Sumr: | | | | | |
| Person-Months Per Year Committed to the Project. Support: ☐ Current ☐ Pending ☐ | Cal: 1 Submission Planned in | Near Future | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 Submission Planned in | Near Future | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. Support: ☐ Current ☐ Pending ☐ | Cal: 1 Submission Planned in | Near Future | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. Support: | Cal: 1 Submission Planned in | Near Future | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Supplement to I-Corps: A Transfo | Cal: 1 Submission Planned in ormative National Resear | Near Future ch Commercializ | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Supplement to I-Corps: A Transformation Source of Support: National Science Foundation Total Award Amount: \$99,985.58 Total Award Amount: \$99,985.58 | Cal: 1 Submission Planned in | Near Future ch Commercializ | Sumr: Transfer of Support | | | | | |
| Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Supplement to I-Corps: A Transform Source of Support: National Science Foundation Total Award Amount: \$99,985.58 Location of Project: Georgia Tech | Cal: 1 Submission Planned in prmative National Resear vard Period Covered: 11/1 | Near Future ch Commercializ | Sumr: *Transfer of Support zation Network (#1239590) | | | | | |
| Person-Months Per Year Committed to the Project. Support: Current Pending Support: Current Project/Proposal Title: Supplement to I-Corps: A Transformation Source of Support: National Science Foundation Total Award Amount: \$99,985.58 Location of Project: Georgia Tech Person-Months Per Year Committed to the Project. | Cal: 1 Submission Planned in prmative National Resear vard Period Covered: 11/1 Cal: 1.3 | Near Future ch Commercializ | Sumr: *Transfer of Support cation Network (#1239590) Sumr: | | | | | |
| Person-Months Per Year Committed to the Project. Support: Current Pending Project/Proposal Title: Supplement to I-Corps: A Transform Source of Support: National Science Foundation Total Award Amount: \$99,985.58 Location of Project: Georgia Tech | Cal: 1 Submission Planned in prmative National Resear vard Period Covered: 11/1 | Near Future ch Commercializ | Sumr: *Transfer of Support zation Network (#1239590) | | | | | |
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NSF Form 1239 (10/99)

| The following information should be provided for each investigator and other senior personnel. Failure to provide this information may delay consideration of this proposal. | | | | | |
|--|---------------------------|----------------------|------------------------------------|--|--|
| Oth | er agencies (including NS | SF) to which this pr | oposal has been/will be submitted. | | |
| Investigator: Jan Youtie | SF. | | • | | |
| Support: ☐ Current ☐ Pending ☐ Substitution | mission Planned in | Near Future | ☐ *Transfer of Support | | |
| Project/Proposal Title: Disruptive Innovation System | s: Bridging the Ga | p between D | ata Mining and Fore- | | |
| siaht | | | _ | | |
| | | | | | |
| Source of Support: National Science Foundation | Devie I Oe en el Alf | | 2010 | | |
| ,,,,, | Period Covered: 1/0 |)1/2015 — 10/31/ | 2018 | | |
| Location of Project: Georgia Tech | 0-1-4 | | Current | | |
| Person-Months Per Year Committed to the Project. | Cal: 1 | Acad: | Sumr: | | |
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| Source of Support: Total Award Amount: Total Award | Period Covered: | | | | |
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| Source of Support: | | | | | |
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| Location of Project: | 5.15G 507010G. | | | | |
| Person-Months Per Year Committed to the Project. | Cal: | Acad: | Sumr: | | |
| *If this project has previously been funded by another ag | | | | | |
| ceding funding period. | | | | | |

NSF Form 1239 (10/99)